

RESOLUTION NO. 2021-55

APPROPRIATING THE GREATER CLEVELAND REGIONAL TRANSIT
AUTHORITY'S EXPENDITURES OR OUTLAYS FOR THE FISCAL YEAR
("FY") 2022 CAPITAL IMPROVEMENT BUDGET

WHEREAS, there are both legal and managerial requirements to establish the revenues to be received for the upcoming fiscal year, including all taxes, user fees, and other types of revenues, as well as estimates of all expenditures or outlays for the capital improvement program of the Greater Cleveland Regional Transit Authority ("GCRTA") to be paid or met from said revenue, during each fiscal year; and

WHEREAS, in order to meet those requirements, a Capital Improvement Budget for the GCRTA for the Fiscal Year beginning January 1, 2022 and ending December 31, 2022 ("FY 2022") has been prepared; and

WHEREAS, said budget has been made available for public inspection for at least ten (10) days by having at least two (2) copies thereof on file in the GCRTA Office of Management and Budget; and

WHEREAS, the Operational Planning & Infrastructure Committee of the Board of Trustees discussed the proposed FY 2022 Capital Improvement Budget on May 11, 2021 and one public hearing was held on May 11, 2021 with public notice given by (1) publication in the Cleveland Call & Post on April 21, 2021 and Cleveland Plain Dealer on April 23, 2021, and (2) posting in the Main Office Building of the GCRTA, at the Customer Service Center in the Tower City Rotunda, on the GCRTA Facebook page and Twitter page, and on the GCRTA internet website.

NOW, THEREFORE BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the appropriations for the current expenditures or outlays of the RTA Capital and RTA Development Funds during FY 2022 are as indicated below and the amounts to be expended and encumbered in each classification during FY 2022 are hereby set aside and appropriated:

CAPITAL IMPROVEMENT FUNDS

RTA Capital Fund	\$ 4,198,000
RTA Development Fund	<u>98,436,620</u>
Total Capital Improvements	<u>\$102,634,620</u>

Section 2. That transfers of funds may be made in this capital appropriation budget from one project to another, or from one department to another, or from one line item to another, but not from one fund to another without Board of Trustees approval.


Section 3. That this budget may be amended from time to time in order to appropriate additional capital grant funds that may be received in FY 2022.

Section 4. That this resolution shall become effective immediately upon its adoption.

Adopted: May 25, 2021



President

Attest: 

Secretary-Treasurer



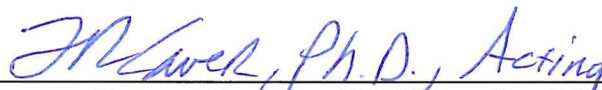
TITLE/DESCRIPTION: APPROPRIATING THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY'S EXPENDITURES OR OUTLAYS FOR THE FISCAL YEAR ("FY") 2022 CAPITAL IMPROVEMENT BUDGET	Resolution No.: 2021-55
	Date: May 20, 2021
	Initiator: Office of Management & Budget
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 PURPOSE/SCOPE: This action will authorize appropriations for Fiscal Year 2022 ("FY 2022") for the Authority's Capital Improvement Budget covering locally funded and grant-funded projects.
- 2.0 DESCRIPTION/JUSTIFICATION: FY 2022 begins January 1, 2022 and ends December 31, 2022. There is a six month process to incorporate new or revised grant funded capital projects into the Northeast Ohio Area-wide Coordinating Agency's ("NOACA") Transportation Improvement Plan ("TIP") and Ohio's State Transportation Improvement Plan ("STIP") prior to their inclusion in any current and future federal and non-federal grant programs. In order to advance the availability of capital grant funds for the upcoming fiscal year, the development of the FY 2022 Capital Improvement Budget began in September 2020 and involved extensive staff analysis and preparation as well as one (1) meeting of the Board's Operational Planning and Infrastructure Committee on May 11, 2021. One public hearing was held on May 11, 2021 to discuss the proposed FY 2022 Capital Improvement Budget. The Capital Improvement Budget proposed for adoption herein represents the Authority's spending plans for capital improvements throughout the transit system in FY 2022.
- 3.0 PROCUREMENT BACKGROUND: Does not apply.
- 4.0 DBE/AFFIRMATIVE ACTION BACKGROUND: Does not apply.
- 5.0 POLICY IMPACT: The FY 2022 Capital Improvement Budget provides for the upkeep of the Authority's existing infrastructure, facilities, equipment, vehicle replacement programs and also provides for the implementation of projects included in the Long-Range Plan.
- 6.0 ECONOMIC IMPACT: The proposed FY 2022 Capital Improvement Budget provides the budget authority for the spending of \$102,634,620 for capital improvement projects, of which \$98,436,620 is for the RTA Development Fund and \$4,198,000 is for the RTA Capital Fund.
- 7.0 ALTERNATIVES: Modify the proposed FY 2022 Capital Improvement Budget by adding or subtracting expenditures or revenues for specific capital projects, or delay adoption of the proposed Capital Improvement Budget, which would delay the availability of grant funds in the upcoming fiscal year.
- 8.0 RECOMMENDATION: The proposed FY 2022 Capital Improvement Budget was reviewed May 11, 2022 by the Operational Planning & Infrastructure Committee and is recommended for adoption by the Board of Trustees. It is recommended that the Board approve the proposed FY 2022 Capital Improvement Budget as proposed herein.

9.0 ATTACHMENTS:

- A. 2022 – 2026 Combined Capital Improvement Plan
- B. 2022 – 2026 RTA Development Fund Capital Improvement Plan
- C. 2022 – 2026 RTA Capital Fund Capital Improvement Plan
- D. Combined Capital Improvement Fund Balance Analysis
- E. RTA Development Fund Balance Analysis
- F. RTA Capital Fund Balance Analysis

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

ATTACHMENT A

2022 - 2026 COMBINED CAPITAL IMPROVEMENT PLAN
Combined Budget Authority

PROJECT CATEGORY	2022 Budget	2023 Plan	2024 Plan	2025 Plan	2026 Plan	2022-2026
Bus Garages	\$700,000	\$3,525,000	\$3,400,000	\$0	\$0	\$7,625,000
Bus Improvement Program	\$21,300,000	\$21,066,750	\$21,066,750	\$21,066,750	\$21,066,750	\$105,567,000
Equipment & Vehicles	\$7,499,620	\$7,171,104	\$4,259,076	\$4,210,160	\$7,237,612	\$30,377,572
Facilities Improvements	\$18,780,000	\$16,715,000	\$13,580,000	\$13,080,350	\$14,986,000	\$77,141,350
Other Projects	\$5,837,500	\$2,637,500	\$2,637,500	\$21,637,500	\$21,637,500	\$54,387,500
Preventive Maint./Oper. Reimb.	\$1,000,000	\$1,000,000	\$1,000,000	\$1,000,000	\$11,500,000	\$15,500,000
Rail Projects	\$31,750,000	\$30,550,000	\$39,400,000	\$34,000,000	\$30,500,000	\$166,200,000
Rail Car Replacement Program	\$15,467,500	\$21,982,500	\$41,892,500	\$41,804,650	\$21,450,000	\$142,597,150
Transit Centers	\$300,000	\$300,000	\$300,000	\$300,000	\$300,000	\$1,500,000
TOTALS	\$102,634,620	\$104,947,854	\$127,535,826	\$137,099,410	\$128,677,862	\$600,895,572

ATTACHMENT B

**2022 - 2026 RTA DEVELOPMENT FUND CAPITAL IMPROVEMENT PLAN
RTA DEVELOPMENT FUND BUDGET AUTHORITY**

PROJECT CATEGORY	2022 Budget	2023 Plan	2024 Plan	2025 Plan	2026 Plan	2022-2026
Bus Garages	\$700,000	\$3,525,000	\$3,400,000	\$0	\$0	\$7,625,000
Bus Improvement Program	\$21,300,000	\$21,066,750	\$21,066,750	\$21,066,750	\$21,066,750	\$105,567,000
Equipment & Vehicles	\$5,756,620	\$5,506,620	\$2,506,620	\$2,506,620	\$5,493,612	\$21,770,092
Facilities Improvements	\$16,325,000	\$14,305,000	\$11,270,000	\$10,770,350	\$12,676,000	\$65,346,350
Other Projects	\$5,837,500	\$2,637,500	\$2,637,500	\$21,637,500	\$21,637,500	\$54,387,500
Preventive Maint./Oper. Reimb.	\$1,000,000	\$1,000,000	\$1,000,000	\$1,000,000	\$11,500,000	\$15,500,000
Rail Projects	\$31,750,000	\$30,550,000	\$39,400,000	\$34,000,000	\$30,500,000	\$166,200,000
Rail Car Replacement Program	\$15,467,500	\$21,982,500	\$41,892,500	\$41,804,650	\$21,450,000	\$142,597,150
Transit Centers	\$300,000	\$300,000	\$300,000	\$300,000	\$300,000	\$1,500,000
TOTALS	\$98,436,620	\$100,873,370	\$123,473,370	\$133,085,870	\$124,623,862	\$580,493,092

ATTACHMENT C

**2022 - 2026 RTA CAPITAL FUND CAPITAL IMPROVEMENT PLAN
RTA CAPITAL FUND BUDGET AUTHORITY**

PROJECT CATEGORY	2022 Budget	2023 Plan	2024 Plan	2025 Plan	2026 Plan	2022-2026
Bus Garages	\$0	\$0	\$0	\$0	\$0	\$0
Bus Improvement Program	\$0	\$0	\$0	\$0	\$0	\$0
Equipment & Vehicles	\$1,743,000	\$1,664,484	\$1,752,456	\$1,703,540	\$1,744,000	\$8,607,480
Facilities Improvements	\$2,455,000	\$2,410,000	\$2,310,000	\$2,310,000	\$2,310,000	\$11,795,000
Other Projects	\$0	\$0	\$0	\$0	\$0	\$0
Preventive Maint./Oper. Reimb.	\$0	\$0	\$0	\$0	\$0	\$0
Rail Projects	\$0	\$0	\$0	\$0	\$0	\$0
Transit Centers	\$0	\$0	\$0	\$0	\$0	\$0
TOTALS	\$4,198,000	\$4,074,484	\$4,062,456	\$4,013,540	\$4,054,000	\$20,402,480

ATTACHMENT D

Amended Capital Improvement Fund Balance Analysis

	2020 Actual	2021 Amended Budget	2022 Plan	2023 Plan	2024 Plan
Beginning Balance	44,377,784	32,437,292	20,369,975	29,187,369	41,574,052
Revenue					
Transfer from General Fund	13,397,525	27,917,636	12,301,120	16,234,243	14,541,450
Transfer from Reserve Fund	0	49,000,000	10,000,000	10,000,000	10,000,000
Investment Income	1,079,699	265,000	265,000	265,000	765,000
Federal Capital Grants	42,000,277	92,633,201	78,749,296	80,698,696	88,283,460
CARES Act / CRRSAA Assistance	111,977,170	67,416,467	0	0	0
State Capital Grants	2,702,681	10,464,375	10,136,598	10,136,598	1,384,658
Debt Service Proceeds	0	0	0	0	0
Other Revenue	12,800	0	0	0	0
Total Revenue	171,170,152	247,696,679	111,452,014	117,334,537	114,974,567
Total Resources	215,547,936	280,133,971	131,821,989	146,521,906	156,548,619
Expenditures					
Capital Outlay	71,133,474	191,174,752	102,634,620	104,947,854	127,535,826
Capital Outlay - CARES Act / CRRSAA	111,977,170	67,416,467	0	0	0
Other Expenditures	0	1,172,777	0	0	0
Total Expenditures	183,110,644	259,763,996	102,634,620	104,947,854	127,535,826
Available Ending Balance	32,437,292	20,369,975	29,187,369	41,574,052	29,012,793

ATTACHMENT E

RTA Development Fund Balance Analysis

	2020 Actual	2021 Amended Budget	2022 Plan	2023 Plan	2024 Plan
Beginning Balance	41,417,033	28,926,433	85,881,763	27,187,369	39,574,052
Revenue					
Debt Service Proceeds	0	0	0	0	0
Transfer from RTA Capital Fund	10,684,255	25,834,255	8,663,543	12,224,759	10,543,994
Transfer from the Reserve Fund	0	49,000,000	10,000,000	10,000,000	10,000,000
Investment Income	920,228	200,000	200,000	200,000	700,000
Federal Capital Grants	42,000,277	92,633,201	78,749,296	80,698,696	88,283,460
CARES/CRRSAA Act Assistance	111,977,170	67,416,467	0	0	0
ARP Assistance		136,014,421			
State Capital Grants	2,702,681	10,464,375	10,136,598	10,136,598	1,384,658
Other Revenue	12,800	0	0	0	0
Total Revenue	168,297,411	381,562,719	107,749,437	113,260,053	110,912,112
Total Resources	209,714,444	410,489,151	193,631,200	140,447,422	150,486,163
Expenditures					
Capital Outlay	68,810,841	188,010,934	98,436,620	100,873,370	123,473,370
CARES Act / CRRSAA	111,977,170	67,416,467	0	0	0
ARP		68,007,211	68,007,211		
Other Expenditures	0	1,172,777	0	0	0
Total Expenditures	180,788,011	324,607,389	166,443,831	100,873,370	123,473,370
Ending Balance	28,926,433	85,881,763	27,187,369	39,574,052	27,012,793

ATTACHMENT F
RTA Capital Fund Balance Analysis

	2020 Actual	2021 Amended Budget	2022 Plan	2023 Plan	2024 Plan
Beginning Balance	2,960,752	3,510,860	2,495,423	2,000,000	1,999,999
Revenue					
Transfer from General Fund	13,397,525	27,917,636	12,301,120	16,234,243	14,541,450
Investment Income	159,471	65,000	65,000	65,000	65,000
Other Revenue	0	0	0	0	0
Total Revenue	13,556,996	27,982,636	12,366,120	16,299,243	14,606,450
Total Resources	16,517,748	31,493,496	14,861,543	18,299,242	16,606,449
Expenditures					
Asset Maintenance	1,053,895	1,581,000	2,455,000	2,410,000	2,310,000
Routine Capital	1,268,738	1,582,818	1,743,000	1,664,484	1,752,456
Other Expenditures	0	0	0	0	0
Transfer to RTA Development Fund	10,684,255	25,834,255	8,663,543	12,224,759	10,543,994
Reimbursement to FTA	0	0	0	0	0
Total Expenditures	13,006,888	28,998,073	12,861,543	16,299,243	14,606,450
Ending Balance	3,510,860	2,495,423	2,000,000	1,999,999	1,999,999