

RESOLUTION NO. 2020-39

MAKING APPROPRIATIONS FOR THE CURRENT EXPENSES AND OTHER EXPENDITURES OF THE CAPITAL IMPROVEMENT BUDGET FOR THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY FOR FISCAL YEAR ("FY") 2021

WHEREAS, there are both legal and managerial requirements to establish the revenues to be received for the upcoming fiscal year, including all taxes, user fees, and other types of revenues, as well as estimates of all expenditures or outlays for the capital improvement program of the Greater Cleveland Regional Transit Authority ("GCRTA") to be paid or met from said revenue; during each fiscal year; and

WHEREAS, in order to meet those requirements, a Capital Improvement Budget for the GCRTA for the Fiscal Year beginning January 1, 2021 and ending December 31, 2021 ("FY 2021") has been prepared; and

WHEREAS, said budget has been made conveniently available for public inspection for at least ten (10) days by having at least two (2) copies thereof on file in the GCRTA Office of Management and Budget; and

WHEREAS, the Operational Planning & Infrastructure Committee of the Board of Trustees discussed the proposed FY 2021 Capital Improvement Budget on May 12, 2020 and one public hearing was held on May 12, 2020 with public notice given by publication in the Cleveland Plain Dealer on April 24, 2020, Cleveland Call & Post on April 29, 2020, and notice was posted in the Main Office Building of the GCRTA, at the Customer Service Center in the Tower City Rotunda, on the GCRTA Facebook page and Twitter page, and on the GCRTA internet website.

NOW, THEREFORE BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the appropriations for the current expenses and other expenditures of the RTA Capital and RTA Development Funds during FY 2021 are as indicated below and the amount to be expended and encumbered in each classification during FY 2021 are hereby set aside and appropriated:

CAPITAL IMPROVEMENT FUNDS


RTA Capital Fund	\$ 3,163,818
RTA Development Fund	\$110,860,934
Total Capital Improvements	<u>\$114,024,752</u>

Section 2. That transfers of funds may be made in this capital appropriation budget from one project to another, or from one department to another, or from one line item to another, but not from one fund to another.

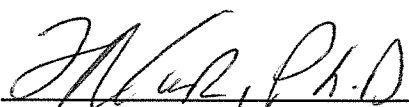
Section 3. That this resolution from time to time may be amended in order to appropriate additional capital grant funds that may be received in FY 2021.

Section 4. That this resolution shall become effective immediately upon its adoption.

Adopted: May 12, 2020



President

Attest: 

Interim Secretary-Treasurer



TITLE/DESCRIPTION: APPROVING THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY'S FISCAL YEAR (FY) 2021 CAPITAL IMPROVEMENT BUDGET	Resolution No.: 2020-39
	Date: May 7, 2020
	Initiator: Office of Management & Budget
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 PURPOSE/SCOPE: This action will authorize an Appropriations Budget for Fiscal Year 2021 ("FY 2021") for the Authority's Capital Improvement Budget covering locally funded and grant-funded projects.
- 2.0 DESCRIPTION/JUSTIFICATION: FY 2021 begins January 1, 2021 and ends December 31, 2021. There is a six month process to incorporate new or revised grant funded capital projects into the Northeast Ohio Area-wide Coordinating Agency's ("NOACA") Transportation Improvement Plan ("TIP") and Ohio's State Transportation Improvement Plan ("STIP") prior to their inclusion in any current and future Federal and non-Federal grant programs. In order to advance the availability of capital grant funds for the upcoming Fiscal Year, the development of the FY 2021 Capital Improvement Budget began in August 2019 and involved extensive staff analysis and preparation as well as one (1) meeting of the Board's Operational Planning and Infrastructure Committee on May 12, 2020. One public hearing was held on May 12, 2020 to discuss the proposed FY 2021 Capital Improvement Budget. The Capital Improvement Budget proposed for adoption herein represents the Authority's spending plans for capital improvements throughout the transit system in FY 2021.
- 3.0 PROCUREMENT BACKGROUND: Does not apply.
- 4.0 DBE/AFFIRMATIVE ACTION BACKGROUND: Does not apply.
- 5.0 POLICY IMPACT: The FY 2021 Capital Improvement Budget provides for the upkeep of the Authority's existing infrastructure, facilities, equipment, vehicle replacement programs and also provides for the implementation of projects included in the Long-Range Plan.
- 6.0 ECONOMIC IMPACT: The proposed FY 2021 Capital Improvement Budget provides the budget authority for the spending of \$114,024,752 for capital improvement projects, of which \$110,860,934 is for the RTA Development Fund and \$3,163,818 is for the RTA Capital Fund.
- 7.0 ALTERNATIVES: Modify the proposed FY 2021 Capital Improvement Budget by adding or subtracting expenditures or revenues for specific capital projects, or delay adoption of the proposed Capital Improvement Budgets, which would delay the availability of grant funds in the upcoming Fiscal Year.
- 8.0 RECOMMENDATION: The proposed FY 2021 Capital Improvement Budget was reviewed May 12, 2020 by the Operational Planning & Infrastructure Committee and is recommended for adoption by the Board of Trustees. It is recommended that the Board approve the proposed FY 2021 Capital Improvement Budget as proposed herein.

9.0 ATTACHMENTS:

- A. 2021 – 2025 Combined Capital Improvement Plan
- B. 2021 – 2025 RTA Development Fund Capital Improvement Plan
- C. 2021 – 2025 RTA Capital Fund Capital Improvement Plan
- D. Combined Capital Improvement Fund Balance Analysis
- E. RTA Development Fund Balance Analysis
- F. RTA Capital Fund Balance Analysis

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.


General Manager, Chief Executive Officer

ATTACHMENT A

2021 - 2025 COMBINED CAPITAL IMPROVEMENT PLAN

Combined Budget Authority

PROJECT CATEGORY	2021 Budget	2022 Plan	2023 Plan	2024 Plan	2025 Plan	2021-2025
Bus Garages	\$0	\$700,000	\$3,525,000	\$1,700,000	\$0	\$5,925,000
Bus Improvement Program	\$21,300,000	\$21,300,000	\$20,800,000	\$20,800,000	\$20,800,000	\$105,000,000
Equipment & Vehicles	\$4,923,246	\$5,530,180	\$3,393,984	\$3,272,000	\$3,313,540	\$20,432,950
Facilities Improvements	\$14,888,260	\$17,000,000	\$12,715,000	\$11,830,000	\$11,335,000	\$67,768,260
Other Projects	\$2,459,576	\$2,637,500	\$2,637,500	\$2,637,500	\$2,637,500	\$13,009,576
Preventive Maint./Oper. Reimb.	\$18,000,000	\$16,500,000	\$16,500,000	\$16,500,000	\$16,500,000	\$84,000,000
Rail Projects	\$20,903,670	\$26,217,500	\$21,682,500	\$21,742,500	\$25,450,000	\$115,996,170
Rail Car Replacement Program	\$31,250,000	\$31,250,000	\$31,250,000	\$31,250,000	\$25,000,000	\$150,000,000
Transit Centers	\$300,000	\$300,000	\$300,000	\$300,000	\$300,000	\$1,500,000
TOTALS	\$114,024,752	\$121,435,180	\$112,803,984	\$110,032,000	\$105,336,040	\$563,631,956

ATTACHMENT B

**2021 - 2025 RTA DEVELOPMENT FUND CAPITAL IMPROVEMENT PLAN
RTA DEVELOPMENT FUND BUDGET AUTHORITY**

PROJECT CATEGORY	2021 Budget	2022 Plan	2023 Plan	2024 Plan	2025 Plan	2021-2025
Bus Garages	\$0	\$700,000	\$3,525,000	\$1,700,000	\$0	\$5,925,000
Bus Improvement Program	\$21,300,000	\$21,300,000	\$20,800,000	\$20,800,000	\$20,800,000	\$105,000,000
Equipment & Vehicles	\$3,340,428	\$4,037,180	\$2,000,000	\$2,000,000	\$2,000,000	\$13,377,608
Facilities Improvements	\$13,307,260	\$15,245,000	\$11,005,000	\$10,220,000	\$9,725,000	\$59,502,260
Other Projects	\$2,459,576	\$2,637,500	\$2,637,500	\$2,637,500	\$2,637,500	\$13,009,576
Preventive Maint./Oper. Reimb.	\$18,000,000	\$16,500,000	\$16,500,000	\$16,500,000	\$16,500,000	\$84,000,000
Rail Projects	\$20,903,670	\$26,217,500	\$21,682,500	\$21,742,500	\$25,450,000	\$115,996,170
Rail Car Replacement Program	\$31,250,000	\$31,250,000	\$31,250,000	\$31,250,000	\$25,000,000	\$150,000,000
Transit Centers	\$300,000	\$300,000	\$300,000	\$300,000	\$300,000	\$1,500,000
TOTALS	\$110,860,934	\$118,187,180	\$109,700,000	\$107,150,000	\$102,412,500	\$548,310,614

ATTACHMENT C

**2021 - 2025 RTA CAPITAL FUND CAPITAL IMPROVEMENT PLAN
RTA CAPITAL FUND BUDGET AUTHORITY**

PROJECT CATEGORY	2021 Budget	2022 Plan	2023 Plan	2024 Plan	2025 Plan	2021-2025
Bus Garages	\$0	\$0	\$0	\$0	\$0	\$0
Bus Improvement Program	\$0	\$0	\$0	\$0	\$0	\$0
Equipment & Vehicles	\$1,582,818	\$1,493,000	\$1,393,984	\$1,272,000	\$1,313,540	\$7,055,342
Facilities Improvements	\$1,581,000	\$1,755,000	\$1,710,000	\$1,610,000	\$1,610,000	\$8,266,000
Other Projects	\$0	\$0	\$0	\$0	\$0	\$0
Preventive Maint./Oper. Reimb.	\$0	\$0	\$0	\$0	\$0	\$0
Rail Projects	\$0	\$0	\$0	\$0	\$0	\$0
Transit Centers	\$0	\$0	\$0	\$0	\$0	\$0
TOTALS	\$3,163,818	\$3,248,000	\$3,103,984	\$2,882,000	\$2,923,540	\$15,321,342

ATTACHMENT D

Capital Improvement Fund Balance Analysis

	2019	2020	2020	2021	2022	2023
	Actual	Budget	Estimate	Proposed Budget	Plan	Plan
Beginning Balance	21,435,743	44,499,555	44,499,555	22,476,019	37,013,146	39,284,703
Revenue						
Transfer from General Fund	12,005,224	13,397,525	13,397,525	7,914,580	8,527,908	8,513,716
Transfer from Reserve Fund	0	0	0	2,400,000	5,200,000	5,200,000
Investment Income	979,003	565,000	565,000	765,000	565,000	565,000
Federal Capital Grants	33,292,740	63,887,602	63,887,602	83,145,701	75,639,795	70,208,000
State Capital Grants	1,384,658	1,384,658	1,384,658	9,336,598	10,136,598	10,136,598
Debt Service Proceeds	30,000,000	0	0	25,000,000	0	0
Other Revenue	0	0	0	0	0	0
Total Revenue	77,661,625	79,234,785	79,234,785	128,561,879	100,069,301	94,623,314
Total Resources	99,097,368	123,734,340	123,734,340	151,037,898	137,082,447	133,908,017
Expenditures						
Capital Outlay	54,597,813	101,258,321	101,258,321	114,024,752	97,797,744	90,863,984
Other Expenditures	0	0	0	0	0	0
Total Expenditures	54,597,813	101,258,321	101,258,321	114,024,752	97,797,744	90,863,984
Available Ending Balance	44,499,555	22,476,019	22,476,019	37,013,146	39,284,703	43,044,033

ATTACHMENT E
RTA Development Fund Balance Analysis

	2019	2020	2020	2021	2022	2023
	Actual	Budget	Estimate	Proposed Budget	Plan	Plan
Beginning Balance	18,099,415	41,417,033	41,417,033	19,584,929	35,340,549	38,151,453
Revenue						
Debt Service Proceeds	30,000,000	0	0	25,000,000	0	0
Transfer from RTA Capital Fund	8,984,255	10,684,255	10,684,255	6,034,255	5,884,255	5,884,255
Transfer from the Reserve Fund	0	0	0	2,400,000	5,200,000	5,200,000
Investment Income	875,565	500,000	500,000	700,000	500,000	500,000
Federal Capital Grants	33,292,740	63,887,602	63,887,602	83,145,701	75,639,795	70,208,000
State Capital Grants	1,384,658	1,384,658	1,384,658	9,336,598	10,136,598	10,136,598
Other Revenue	0	0	0	0	0	0
Total Revenue	74,537,218	76,456,515	76,456,515	126,616,554	97,360,648	91,928,853
Total Resources	92,636,633	117,873,548	117,873,548	146,201,483	132,701,197	130,080,306
Expenditures						
Capital Outlay	51,219,600	98,288,619	98,288,619	110,860,934	94,549,744	87,760,000
Other Expenditures	0	0	0	0	0	0
Total Expenditures	51,219,600	98,288,619	98,288,619	110,860,934	94,549,744	87,760,000
Ending Balance	41,417,033	19,584,929	19,584,929	35,340,549	38,151,453	42,320,306

ATTACHMENT F
RTA Capital Fund Balance Analysis

	2019	2020	2020	2021	2022	2023
	Actual	Budget	Estimate	Proposed Budget	Plan	Plan
Beginning Balance	3,214,558	2,960,752	2,960,752	2,769,320	1,550,827	1,011,481
Revenue						
Transfer from General Fund	12,005,224	13,397,525	13,397,525	7,914,580	8,527,908	8,513,716
Investment Income	103,438	65,000	65,000	65,000	65,000	65,000
Other Revenue	0	0	0	0	0	0
Total Revenue	12,108,662	13,462,525	13,462,525	7,979,580	8,592,908	8,578,716
Total Resources	15,323,220	16,423,277	16,423,277	10,748,900	10,143,736	9,590,197
Expenditures						
Asset Maintenance	1,881,561	1,510,000	1,510,000	1,581,000	1,755,000	1,710,000
Routine Capital	1,496,652	1,459,702	1,459,702	1,582,818	1,493,000	1,393,984
Other Expenditures	0	0	0	0	0	0
Transfer to RTA Development Fund	8,984,255	10,684,255	10,684,255	6,034,255	5,884,255	5,884,255
Total Expenditures	12,362,468	13,653,957	13,653,957	9,198,073	9,132,255	8,988,239
Ending Balance	2,960,752	2,769,320	2,769,320	1,550,827	1,011,481	601,958