

RESOLUTION NO. 2019-55

MAKING APPROPRIATIONS FOR THE CURRENT EXPENSES AND OTHER EXPENDITURES OF THE CAPITAL IMPROVEMENT BUDGET FOR THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY DURING FISCAL YEAR ("FY") 2020

WHEREAS, there are both legal and managerial requirements to establish the revenues to be received for the upcoming fiscal year, including all taxes, user fees, and other types of revenues, as well as estimates of all expenditures or outlays for the capital improvement program of the Greater Cleveland Regional Transit Authority ("GCRTA") to be paid or met from said revenue; during each fiscal year; and

WHEREAS, in order to meet those requirements, a Capital Improvement Budget for the GCRTA for the Fiscal Year beginning January 1, 2020 and ending December 31, 2020 ("FY 2020") has been prepared; and

WHEREAS, said budget has been made conveniently available for public inspection for at least ten (10) days by having at least two (2) copies thereof on file in the GCRTA Office of Management and Budget; and

WHEREAS, the Operational Planning & Infrastructure Committee of the Board of Trustees discussed the proposed FY 2020 Capital Improvement Budget on May 14, 2019 and one public hearing was held on May 14, 2019 with public notice given by publication in the Cleveland Plain Dealer on April 30, 2019, and notice was posted in the Main Office Building of the GCRTA.

NOW, THEREFORE BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the appropriations for the current expenses and other expenditures of the RTA Capital and RTA Development Funds during FY 2020 are as indicated below and the amount to be expended and encumbered in each classification during FY 2020 are hereby set aside and appropriated:

CAPITAL IMPROVEMENT FUNDS

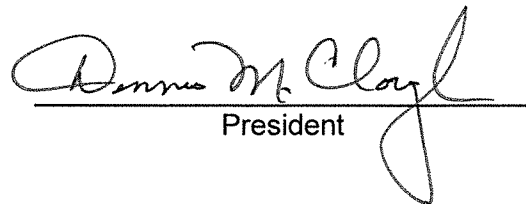
RTA Capital Fund	\$ 2,969,702
RTA Development Fund	<u>\$ 98,288,619</u>
Total Capital Improvements	<u>\$101,258,321</u>

Section 2. That transfers of funds may be made in this capital appropriation budget from one project to another, or from one department to another, or from one line item to another, but not from one fund to another.

Section 3. That this resolution from time to time may be amended in order to appropriate additional capital grant funds that may be received in FY 2020.

Section 4. That this resolution shall become effective immediately upon its adoption.

Adopted: May 14, 2019



President

Attest: 

Interim CEO, General Manager/Secretary-Treasurer



Greater Cleveland Regional Transit Authority
STAFF SUMMARY AND COMMENTS

TITLE/DESCRIPTION: APPROVING THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY'S FISCAL YEAR (FY) 2020 CAPITAL IMPROVEMENT BUDGETS	Resolution No.: 2019-55
	Date: May 9, 2019
	Initiator: Office of Management & Budget
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 PURPOSE/SCOPE: This action will authorize an Appropriations Budget for Fiscal Year 2020 ("FY 2020") for the Authority's Capital Improvement Budgets covering locally funded and grant-funded projects.
- 2.0 DESCRIPTION/JUSTIFICATION: FY 2020 begins January 1, 2020 and ends December 31, 2020. There is a six month process to incorporate new or revised grant funded capital projects into the Northeast Ohio Area-wide Coordinating Agency's ("NOACA") Transportation Improvement Plan ("TIP") and Ohio's State Transportation Improvement Plan ("STIP") prior to their inclusion in any current and future Federal and non-Federal grant programs. In order to advance the availability of capital grant funds for the upcoming Fiscal Year, the development of the FY 2020 Capital Improvement Budgets began in August 2018 and involved extensive staff analysis and preparation as well as one (1) meeting of the Board's Finance Committee on May 14, 2019. One public hearing was held on May 14, 2019 to discuss the proposed FY 2020 Capital Improvement Budget. The Capital Improvement Budget proposed for adoption herein represent the Authority's spending plans for capital improvements throughout the transit system in FY 2020.
- 3.0 PROCUREMENT BACKGROUND: Does not apply.
- 4.0 DBE/AFFIRMATIVE ACTION BACKGROUND: Does not apply.
- 5.0 POLICY IMPACT: The FY 2020 Capital Improvement Budget provides for the upkeep of the Authority's existing infrastructure, facilities, equipment, vehicle replacement programs and also provides for the implementation of projects included in the Long-Range Plan.
- 6.0 ECONOMIC IMPACT: The proposed FY 2020 Capital Improvement Budget provides the budget authority for the spending of \$101,258,321 for capital improvement projects, of which \$98,288,619 is for the RTA Development Fund and \$2,969,702 is for the RTA Capital Fund.
- 7.0 ALTERNATIVES: Modify the proposed FY 2020 Capital Improvement Budget by adding or subtracting expenditures or revenues for specific capital projects, or delay adoption of the proposed Capital Improvement Budgets, which would delay the availability of grant funds in the upcoming Fiscal Year.
- 8.0 RECOMMENDATION: The proposed FY 2020 Capital Improvement Budget was reviewed May 14, 2019 by the Operational Planning & Infrastructure Committee and is recommended for adoption by the Board of Trustees. It is recommended that the Board approve the proposed FY 2020 Capital Improvement Budget as proposed herein.

9.0 ATTACHMENTS:

- A. 2020 – 2024 Combined Capital Improvement Plan
- B. 2020 – 2024 RTA Development Fund Capital Improvement Plan
- C. 2020 – 2024 RTA Capital Fund Capital Improvement Plan
- D. Combined Capital Improvement Fund Balance Analysis
- E. RTA Development Fund Balance Analysis
- F. RTA Capital Fund Balance Analysis

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



Interim CEO, General Manager/Secretary-Treasurer

ATTACHMENT A

2020 - 2024 COMBINED CAPITAL IMPROVEMENT PLAN
Combined Budget Authority

PROJECT CATEGORY	2020 Budget	2021 Plan	2022 Plan	2023 Plan	2024 Plan	2020-2024
Bus Garages	\$0	\$0	\$700,000	\$4,312,665	\$1,662,800	\$6,675,465
Bus Improvement Program	\$21,305,000	\$21,906,000	\$22,062,000	\$20,960,000	\$20,960,000	\$107,193,000
Equipment & Vehicles	\$1,459,702	\$1,645,418	\$3,065,687	\$1,143,984	\$952,000	\$8,266,791
Facilities Improvements	\$14,779,682	\$13,913,599	\$12,214,094	\$15,002,330	\$15,229,500	\$71,139,205
Other Projects	\$2,459,576	\$2,459,576	\$2,459,576	\$2,459,576	\$2,459,576	\$12,297,880
Preventive Maint./Oper. Reimb.	\$20,000,000	\$20,000,000	\$20,000,000	\$20,000,000	\$20,000,000	\$100,000,000
Rail Projects	\$32,954,362	\$30,030,973	\$29,197,680	\$27,592,286	\$37,689,081	\$157,464,382
Rail Car Replacement Program	\$8,000,000	\$31,250,000	\$31,250,000	\$31,250,000	\$23,250,000	\$125,000,000
Transit Centers	\$300,000	\$300,000	\$300,000	\$300,000	\$300,000	\$1,500,000
TOTALS	\$101,258,321	\$121,505,566	\$121,249,037	\$123,020,841	\$122,502,957	\$589,536,722

ATTACHMENT B

2020 - 2024 RTA DEVELOPMENT FUND CAPITAL IMPROVEMENT PLAN
RTA DEVELOPMENT FUND BUDGET AUTHORITY

PROJECT CATEGORY	2020 Budget	2021 Plan	2022 Plan	2023 Plan	2024 Plan	2020-2024
Bus Garages	\$0	\$0	\$700,000	\$4,312,665	\$1,662,800	\$6,675,465
Bus Improvement Program	\$21,305,000	\$21,906,000	\$22,062,000	\$20,960,000	\$20,960,000	\$107,193,000
Equipment & Vehicles	\$0	\$340,428	\$1,822,687	\$0	\$0	\$2,163,115
Facilities Improvements	\$13,269,682	\$12,158,599	\$10,504,094	\$13,392,330	\$13,619,500	\$62,944,205
Other Projects	\$2,459,576	\$2,459,576	\$2,459,576	\$2,459,576	\$2,459,576	\$12,297,880
Preventive Maint./Oper. Reimb.	\$20,000,000	\$20,000,000	\$20,000,000	\$20,000,000	\$20,000,000	\$100,000,000
Rail Projects	\$32,954,362	\$30,030,973	\$29,197,680	\$27,592,286	\$37,689,081	\$157,464,382
Rail Car Replacement Program	\$8,000,000	\$31,250,000	\$31,250,000	\$31,250,000	\$23,250,000	\$125,000,000
Transit Centers	\$300,000	\$300,000	\$300,000	\$300,000	\$300,000	\$1,500,000
TOTALS	\$98,288,619	\$118,445,576	\$118,296,037	\$120,266,857	\$119,940,957	\$575,238,046

ATTACHMENT C

**2020 - 2024 RTA CAPITAL FUND CAPITAL IMPROVEMENT PLAN
RTA CAPITAL FUND BUDGET AUTHORITY**

PROJECT CATEGORY	2020 Budget	2021 Plan	2022 Plan	2023 Plan	2024 Plan	2020-2024
Bus Garages	\$0	\$0	\$0	\$0	\$0	\$0
Bus Improvement Program	\$0	\$0	\$0	\$0	\$0	\$0
Equipment & Vehicles	\$1,459,702	\$1,304,990	\$1,243,000	\$1,143,984	\$952,000	\$6,103,676
Facilities Improvements	\$1,510,000	\$1,755,000	\$1,710,000	\$1,610,000	\$1,610,000	\$8,195,000
Other Projects	\$0	\$0	\$0	\$0	\$0	\$0
Preventive Maint./Oper. Reimb.	\$0	\$0	\$0	\$0	\$0	\$0
Rail Projects	\$0	\$0	\$0	\$0	\$0	\$0
Transit Centers	\$0	\$0	\$0	\$0	\$0	\$0
TOTALS	\$2,969,702	\$3,059,990	\$2,953,000	\$2,753,984	\$2,562,000	\$14,298,676

ATTACHMENT D

Capital Improvement Fund Balance Analysis

	2018	2019	2020	2021	2022	2023	2024
	Actual	Amended Budget	Budget	Plan	Plan	Plan	Plan
Beginning Balance	21,435,743	21,313,973	39,643,485	17,662,457	28,091,999	17,782,197	25,429,627
Revenue							
Transfer from General Fund	15,259,919	12,074,677	13,440,033	12,733,467	16,400,149	10,121,803	22,863,179
Transfer from Reserve Fund	2,000,000	0	0	0	0	0	0
Investment Income	320,733	860,000	565,000	765,000	565,000	765,000	765,000
Federal Capital Grants	56,531,115	42,082,188	63,887,602	67,750,869	65,973,700	68,792,642	68,606,227
State Capital Grants	827,365	1,384,658	1,384,658	1,384,658	1,384,658	1,384,658	1,384,658
Debt Service Proceeds	0	30,000,000	0	25,000,000	0	25,000,000	0
Other Revenue	-30,675	0	0	0	0	0	0
Total Revenue	74,908,457	86,401,523	79,277,293	107,633,994	84,323,507	106,064,104	93,619,064
Total Resources	96,344,200	107,715,496	118,920,778	125,296,451	112,415,505	123,846,300	119,048,692
Expenditures							
Capital Outlay	75,030,227	68,072,011	101,258,321	97,204,453	94,633,309	98,416,673	98,324,366
Other Expenditures	0	0	0	0	0	0	0
Total Expenditures	75,030,227	68,072,011	101,258,321	97,204,453	94,633,309	98,416,673	98,324,366
Available Ending Balance	21,313,973	39,643,485	17,662,457	28,091,999	17,782,197	25,429,627	20,724,326

ATTACHMENT E

RTA Development Fund Balance Analysis

	2018	2019	2020	2021	2022	2023	2024
	Actual	Amended Budget	Budget	Plan	Plan	Plan	Plan
Beginning Balance	20,136,261	18,099,415	36,608,688	16,776,584	26,889,906	16,361,610	23,209,680
Revenue							
Debt Service Proceeds	0	30,000,000	0	25,000,000	0	25,000,000	0
Transfer from RTA Capital Fund	9,434,255	8,984,255	12,684,255	10,034,255	13,884,255	7,184,255	20,584,255
Transfer from the Reserve Fund	2,000,000	0	0	0	0	0	0
Investment Income	258,572	800,000	500,000	700,000	500,000	700,000	700,000
Federal Capital Grants	56,531,115	42,082,188	63,887,602	67,750,869	65,973,700	68,792,642	68,606,227
State Capital Grants	827,365	1,384,658	1,384,658	1,384,658	1,384,658	1,384,658	1,384,658
Other Revenue	-32,530	0	0	0	0	0	0
Total Revenue	69,018,777	83,251,101	78,456,515	104,869,782	81,742,613	103,061,555	91,275,140
Total Resources	89,155,038	101,350,516	115,065,203	121,646,367	108,632,519	119,423,165	114,484,820
Expenditures							
Capital Outlay	71,055,623	64,741,828	98,288,619	94,756,461	92,270,909	96,213,486	95,952,766
Other Expenditures	0	0	0	0	0	0	0
Total Expenditures	71,055,623	64,741,828	98,288,619	94,756,461	92,270,909	96,213,486	95,952,766
Ending Balance	18,099,415	36,608,688	16,776,584	26,889,906	16,361,610	23,209,680	18,532,055

ATTACHMENT F
RTA Capital Fund Balance Analysis

	2018 Actual	2019 Amended Budget	2020 Budget	2021 Plan	2022 Plan	2023 Plan	2024 Plan
Beginning Balance	1,299,482	3,214,558	3,034,797	885,872	1,202,092	1,420,586	2,219,948
Revenue							
Transfer from General Fund	15,259,919	12,074,677	13,440,033	12,733,467	16,400,149	10,121,803	22,863,179
Investment Income	62,161	60,000	65,000	65,000	65,000	65,000	65,000
Other Revenue	1,855	0	0	0	0	0	0
Total Revenue	15,323,935	12,134,677	13,505,033	12,798,467	16,465,149	10,186,803	22,928,179
Total Resources	16,623,417	15,349,235	16,539,829	13,684,339	17,667,241	11,607,390	25,148,126
Expenditures							
Asset Maintenance	1,920,700	1,405,000	1,510,000	1,404,000	1,368,000	1,288,000	1,610,000
Routine Capital	2,053,904	1,925,183	1,459,702	1,043,992	994,400	915,187	761,600
Other Expenditures		0	0	0	0	0	0
Transfer to RTA Development Fund	9,434,255	8,984,255	12,684,255	10,034,255	13,884,255	7,184,255	20,584,255
Total Expenditures	13,408,859	12,314,438	15,653,957	12,482,247	16,246,655	9,387,442	22,955,855
Ending Balance	3,214,558	3,034,797	885,872	1,202,092	1,420,586	2,219,948	2,192,271