

RESOLUTION NO. 2018-47

MAKING APPROPRIATIONS FOR THE CURRENT EXPENSES AND OTHER EXPENDITURES OF CAPITAL IMPROVEMENT FUNDS FOR THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY DURING FISCAL YEAR 2019

WHEREAS, there is both a legal and a managerial requirement to establish the revenues to be received for the upcoming fiscal year, including all taxes, user fees, and other types of revenues, as well as estimates of all expenditures or outlays for the capital programs of this public transportation system to be paid or met from said revenue; during each fiscal year; and

WHEREAS, in order to meet those requirements, Capital Improvement Budgets for the Greater Cleveland Regional Transit Authority ("GCRTA") for the fiscal year beginning January 1, 2019 and ending December 31, 2019 ("FY 2019") have been prepared; and

WHEREAS, said budgets have been made conveniently available for public inspection for at least ten (10) days by having at least two (2) copies thereof on file in the GCRTA Office of Management and Budget; and

WHEREAS, the Operational Planning & Infrastructure Committee of the Board of Trustees discussed the proposed FY 2019 Capital Improvement Budget on May 1, 2018 and one public hearing was held on May 1, 2018 with public notice given by publication in the Cleveland Plain Dealer on April 13, 2018.

NOW, THEREFORE BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the appropriations for the current expenses and other expenditures of the RTA Capital and RTA Development Funds during FY 2019 are as indicated below and the amount to be expended and encumbered in each classification during FY 2019 are hereby set aside and appropriated:

**CAPITAL IMPROVEMENT FUNDS**


RTA Capital Fund	\$2,874,500
<u>RTA Development Fund</u>	<u>\$61,027,828</u>
Total Construction and Acquisition of Transit Facilities and Equipment	\$63,902,328


Section 2. That transfers of funds may be made in this capital appropriation budget from one project to another, or from one department to another, or from one line item to another, but not from one Fund to another.

Section 3. That this resolution from time to time may be amended in order to appropriate additional capital grant funds that may be received in Fiscal Year 2019.

Section 4. That this resolution shall become effective immediately upon its adoption.

Adopted: May 15, 2018

  
\_\_\_\_\_  
President

Attest:   
\_\_\_\_\_  
CEO, General Manager/Secretary-Treasurer



Greater Cleveland Regional Transit Authority  
**STAFF SUMMARY AND COMMENTS**

TITLE/DESCRIPTION:  APPROVING THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY'S FISCAL YEAR 2019 CAPITAL BUDGETS	Resolution No.: 2018-47
	Date: May 10, 2018
	Initiator: Office of Management & Budget
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

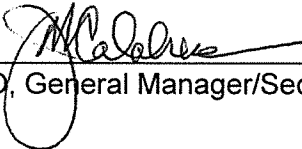
- 1.0 PURPOSE/SCOPE: This action will authorize an Appropriations Budget for Fiscal Year 2019 ("FY 2019") for the Authority's Capital Improvement Budgets covering locally funded and grant-funded projects.
- 2.0 DESCRIPTION/JUSTIFICATION: Fiscal year 2019 begins January 1, 2019 and ends December 31, 2019. There is a six month process to incorporate new or revised grant funded capital projects into the Northeast Ohio Area-wide Coordinating Agency's ("NOACA") Transportation Improvement Plan ("TIP") and Ohio's State Transportation Improvement Plan ("STIP") prior to their inclusion in any current and future Federal and non-Federal grant programs. In order to advance the availability of capital grant funds for the upcoming Fiscal Year the development of the FY 2019 Capital Budgets began in December 2018 and involved extensive staff analysis and preparation as well as one (1) meeting of the Board's Operational Planning & Infrastructure Committee on May 1, 2018. One public hearing was held on May 1, 2018 to discuss the proposed 2019 Capital Improvement Budgets. The Capital Improvement Budgets proposed for adoption herein represent the Authority's spending plans for capital improvements throughout the transit system in FY 2019.
- 3.0 PROCUREMENT BACKGROUND: Does not apply.
- 4.0 DBE/AFFIRMATIVE ACTION BACKGROUND: Does not apply.
- 5.0 POLICY IMPACT: The FY 2019 Capital Improvement Budgets provide for the upkeep of the Authority's existing infrastructure, facilities, equipment, vehicle replacement programs and also provide for the implementation of projects included in the Long-Range Plan.
- 6.0 ECONOMIC IMPACT: The proposed FY 2019 Capital Improvement Budgets provide the budget authority for the spending of \$63,902,328.00 for capital improvement projects and purchases of which \$61,027,828.00 is for the RTA Development Fund and \$2,874,500.00 is for the RTA Capital Fund.
- 7.0 ALTERNATIVES: Modify the proposed FY 2019 Capital Improvement Budgets by adding or subtracting expenditures or revenues for specific capital projects. Delay adoption of the proposed Capital Improvement Budgets and, in turn, delay the availability of grant funds earlier in the upcoming Fiscal Year.
- 8.0 RECOMMENDATION: The proposed FY 2019 Capital Improvement Budgets were reviewed May 1, 2018 by the Operational Planning & Infrastructure Committee. It is recommended that the Board approve the proposed FY 2019 Capital Budget Appropriations as proposed herein.

recommended that the Board approve the proposed FY 2019 Capital Budget Appropriations as proposed herein.

9.0 ATTACHMENTS:

- A. 2019 – 2023 Combined Capital Improvement Plan Budget
- B. 2019 – 2023 RTA Development Fund Capital Improvement Plan Budget
- C. 2019 – 2023 RTA Capital Fund Capital Improvement Plan Budget
- D. Capital Improvement Fund Balance Analysis
- E. RTA Development Fund Balance Analysis
- F. RTA Capital Fund Balance Analysis

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.

  
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CEO, General Manager/Secretary-Treasurer

ATTACHMENT A

**2019 - 2023 COMBINED CAPITAL IMPROVEMENT PLAN**  
**Combined Budget Authority**

PROJECT CATEGORY	2019 Plan	2020 Plan	2021 Plan	2022 Plan	2023 Plan	2019-2023
Bus Garages	\$3,335,938	\$0	\$0	\$0	\$0	\$3,335,938
Bus Improvement Program	\$14,039,810	\$18,220,836	\$18,151,331	\$18,266,327	\$17,388,016	\$86,066,320
Equipment & Vehicles	\$1,469,500	\$1,397,800	\$1,708,000	\$1,566,500	\$1,511,500	\$7,653,300
Facilities Improvements	\$4,926,861	\$14,786,855	\$12,169,206	\$14,924,287	\$13,003,002	\$59,810,211
Other Projects	\$2,637,500	\$2,637,500	\$2,637,500	\$2,637,500	\$2,637,500	\$13,187,500
Preventive Maint./Oper. Reimb.	\$25,000,000	\$20,000,000	\$20,000,000	\$20,000,000	\$20,000,000	\$105,000,000
Rail Projects	\$12,192,719	\$21,203,245	\$21,182,081	\$19,125,000	\$16,537,285	\$90,240,330
Transit Centers	\$300,000	\$300,000	\$300,000	\$300,000	\$300,000	\$1,500,000
<b>TOTALS</b>	<b>\$63,902,328</b>	<b>\$78,546,236</b>	<b>\$76,148,118</b>	<b>\$76,819,614</b>	<b>\$71,377,303</b>	<b>\$366,793,599</b>

ATTACHMENT B

**2019 - 2023 RTA DEVELOPMENT FUND CAPITAL IMPROVEMENT PLAN  
RTA DEVELOPMENT FUND BUDGET AUTHORITY**

PROJECT CATEGORY	2019 Plan	2020 Plan	2021 Plan	2022 Plan	2023 Plan	2019-2023
Bus Garages	\$3,335,938	\$0	\$0	\$0	\$0	\$3,335,938
Bus Improvement Program	\$14,039,810	\$18,220,836	\$18,151,331	\$18,266,327	\$17,388,016	\$86,066,320
Equipment & Vehicles	\$0	\$0	\$0	\$0	\$0	\$0
Facilities Improvements	\$3,521,861	\$13,276,855	\$10,274,206	\$12,981,287	\$11,119,002	\$51,173,211
Other Projects	\$2,637,500	\$2,637,500	\$2,637,500	\$2,637,500	\$2,637,500	\$13,187,500
Preventive Maint./Oper. Reimb.	\$25,000,000	\$20,000,000	\$20,000,000	\$20,000,000	\$20,000,000	\$105,000,000
Rail Projects	\$12,192,719	\$21,203,245	\$21,182,081	\$19,125,000	\$16,537,285	\$90,240,330
Transit Centers	\$300,000	\$300,000	\$300,000	\$300,000	\$300,000	\$1,500,000
<b>TOTALS</b>	<b>\$61,027,828</b>	<b>\$75,638,436</b>	<b>\$72,545,118</b>	<b>\$73,310,114</b>	<b>\$67,981,803</b>	<b>\$350,503,299</b>

ATTACHMENT C

**2019 - 2023 RTA CAPITAL FUND CAPITAL IMPROVEMENT PLAN  
RTA CAPITAL FUND BUDGET AUTHORITY**

PROJECT CATEGORY	2019 Plan	2020 Plan	2021 Plan	2022 Plan	2023 Plan	2019-2023
Bus Garages	\$0	\$0	\$0	\$0	\$0	\$0
Bus Improvement Program	\$0	\$0	\$0	\$0	\$0	\$0
Equipment & Vehicles	\$1,469,500	\$1,397,800	\$1,708,000	\$1,566,500	\$1,511,500	\$7,653,300
Facilities Improvements	\$1,405,000	\$1,510,000	\$1,895,000	\$1,943,000	\$1,884,000	\$8,637,000
Other Projects	\$0	\$0	\$0	\$0	\$0	\$0
Preventive Maint./Oper. Reimb.	\$0	\$0	\$0	\$0	\$0	\$0
Rail Projects	\$0	\$0	\$0	\$0	\$0	\$0
Transit Centers	\$0	\$0	\$0	\$0	\$0	\$0
<b>TOTALS</b>	<b>\$2,874,500</b>	<b>\$2,907,800</b>	<b>\$3,603,000</b>	<b>\$3,509,500</b>	<b>\$3,395,500</b>	<b>\$16,290,300</b>

ATTACHMENT D

Capital Improvement Fund Balance Analysis

	2017 Actual	2018 Estimate	2019 Budget	2020 Plan	2021 Plan
<b>Beginning Balance</b>	<b>33,111,619</b>	<b>21,435,743</b>	<b>35,793,643</b>	<b>12,146,197</b>	<b>20,181,210</b>
<b>Revenue</b>					
Transfer from General Fund	10,271,331	9,933,343	12,086,877	10,809,855	13,598,221
Transfer from the Reserve Fund	0	2,000,000	0	0	0
Investment Income	326,189	270,000	260,000	260,000	260,000
Federal Capital Grants	48,455,538	48,766,900	63,757,536	66,137,500	64,157,980
State Capital Grants	0	1,384,658	1,384,658	1,384,658	1,384,658
Debt Service Proceeds	0	30,000,000	0	25,000,000	0
Other Revenue	329,920	0	0	0	0
<b>Total Revenue</b>	<b>59,382,978</b>	<b>92,354,901</b>	<b>77,489,071</b>	<b>103,592,013</b>	<b>79,400,860</b>
<b>Total Resources</b>	<b>92,494,597</b>	<b>113,790,643</b>	<b>113,282,714</b>	<b>115,738,210</b>	<b>99,582,070</b>
<b>Expenditures</b>					
Capital Outlay	71,058,854	77,997,000	101,136,517	95,557,000	92,982,441
Other Expenditures	0	0	0	0	0
<b>Total Expenditures</b>	<b>71,058,854</b>	<b>77,997,000</b>	<b>101,136,517</b>	<b>95,557,000</b>	<b>92,982,441</b>
<b>Available Ending Balance</b>	<b>21,435,743</b>	<b>35,793,643</b>	<b>12,146,197</b>	<b>20,181,210</b>	<b>6,599,629</b>

**ATTACHMENT E**  
**RTA Development Fund Balance Analysis**

	2017	2018	2019	2020	2021
	Actual	Estimate	Budget	Plan	Plan
<b>Beginning Balance</b>	<b>30,037,486</b>	<b>20,136,261</b>	<b>34,446,074</b>	<b>10,724,006</b>	<b>18,670,419</b>
<b>Revenue</b>					
Debt Service Proceeds	0	30,000,000	0	25,000,000	0
Transfer from RTA Capital Fund	6,844,255	6,934,255	8,984,255	7,684,255	10,434,255
Transfer from the Reserve Fund	0	2,000,000	0	0	0
Investment Income	284,557	250,000	240,000	240,000	240,000
Federal Capital Grants	48,455,538	48,766,900	63,757,536	66,137,500	64,157,980
State Capital Grants	0	1,384,658	1,384,658	1,384,658	1,384,658
Other Revenue	329,920	0	0	0	0
<b>Total Revenue</b>	<b>55,914,270</b>	<b>89,335,813</b>	<b>74,366,449</b>	<b>100,446,413</b>	<b>76,216,893</b>
<b>Total Resources</b>	<b>85,951,756</b>	<b>109,472,074</b>	<b>108,812,523</b>	<b>111,170,419</b>	<b>94,887,312</b>
<b>Expenditures</b>					
Capital Outlay	65,815,495	75,026,000	98,088,517	92,500,000	89,731,441
Other Expenditures	0	0	0	0	0
<b>Total Expenditures</b>	<b>65,815,495</b>	<b>75,026,000</b>	<b>98,088,517</b>	<b>92,500,000</b>	<b>89,731,441</b>
<b>Ending Balance</b>	<b>20,136,261</b>	<b>34,446,074</b>	<b>10,724,006</b>	<b>18,670,419</b>	<b>5,155,871</b>



ATTACHMENT F  
**RTA Capital Fund Balance Analysis**

	2017 Actual	2018 Estimate	2019 Budget	2020 Plan	2021 Plan
<b>Beginning Balance</b>	<b>3,074,133</b>	<b>1,299,482</b>	<b>1,347,570</b>	<b>1,422,191</b>	<b>1,510,791</b>
<b>Revenue</b>					
Transfer from General Fund	10,271,331	9,933,343	12,086,877	10,809,855	13,598,221
Investment Income	41,632	20,000	20,000	20,000	20,000
Other Revenue	0	0	0	0	0
<b>Total Revenue</b>	<b>10,312,963</b>	<b>9,953,343</b>	<b>12,106,877</b>	<b>10,829,855</b>	<b>13,618,221</b>
<b>Total Resources</b>	<b>13,387,096</b>	<b>11,252,825</b>	<b>13,454,446</b>	<b>12,252,046</b>	<b>15,129,012</b>
<b>Expenditures</b>					
Asset Maintenance	2,322,492	1,571,000	1,590,000	1,619,000	1,727,000
Routine Capital	2,920,867	1,400,000	1,458,000	1,438,000	1,524,000
Other Expenditures	0	0	0	0	0
Transfer to RTA Development Fund	6,844,255	6,934,255	8,984,255	7,684,255	10,434,255
<b>Total Expenditures</b>	<b>12,087,614</b>	<b>9,905,255</b>	<b>12,032,255</b>	<b>10,741,255</b>	<b>13,685,255</b>
<b>Ending Balance</b>	<b>1,299,482</b>	<b>1,347,570</b>	<b>1,422,191</b>	<b>1,510,791</b>	<b>1,443,757</b>