



Greater Cleveland
Regional Transit Authority

1240 West 6th Street
Cleveland, Ohio 44113-1302
Phone: 216-566-5100
riderta.com

MEETING NOTICE

Notice is hereby given that the following meeting of the Board of Trustees of the Greater Cleveland Regional Transit Authority will take place on **Tuesday, July 25, 2023** in the Board Room of the Authority, 1240 West Sixth Street, Cleveland, OH 44113 for consideration of the listed items and such other items that may properly come before the Board and be acted upon. This meeting will be live streamed on RTA's Board Page www.RideRTA.com/board via the meeting date for staff and members of the public. Members of the public may attend in person.

Based on Centers for Disease Control ("CDC") guidance to avoid crowded places where you cannot stay six feet away from others and Ohio Department of Public Health advice regarding congregating and social distancing, as well as RTA's interest in protecting community and employee health and safety, RTA Board Room and Meeting Room 1 capacity is limited to thirty-six (36) people to allow for social distancing. To accommodate members of the public, RTA will limit the number of staff permitted in the Board Room. All persons entering RTA's Main Office Building ("MOB") at 1240 West 6th Street are required to maintain a distance of six feet or more from other individuals who are not members of their family or household.

As a result of a federal court order on April 18, 2022, the CDC's January 29, 2021 order requiring masks on public transportation conveyances and at transportation hubs is no longer in effect. The CDC continues to recommend that people wear masks in indoor public transportation settings at this time. As a result, GCRTA recommends, but will not require, that members of the public wear a mask/face covering.

The meeting package will be posted on RTA's website at (www.riderta.com/board), on RTA's Facebook page, and RTA's Twitter page.

9:00 A.M. Board of Trustees Meeting – agenda attached

A handwritten signature in black ink, appearing to read "India L. Birdsong Terry".

India L. Birdsong Terry
General Manager, Chief Executive Officer

IBT:tab
Attachment

Scan this QR code to access the meeting schedule, live streams and meeting materials.





AGENDA

RTA Board of Trustees Meeting

Tuesday, July 25, 2023

9:00 a.m.

1. Call to order
2. Roll Call
3. Certification regarding notice of meeting
4. Approval of the June 27, 2023 Board Meeting minutes
5. Public comments (**2 minutes**) on **agenda items**:
 - a. In person
 - b. Phone: 440-276-4600
 - c. Web form (1 comment limit) Comments will be forwarded to Board and staff
6. Board Governance Committee report
7. Operational Planning & Infrastructure Committee report
 - Chair: Ms. Lauren R. Welch
8. Organizational, Services & Performance Monitoring Committee report
 - Chair: Mayor Anthony D. Biasiotta
9. Audit, Safety Compliance and Real Estate Committee report
 - Chair: Mayor Paul A. Koomar
10. External and Stakeholder Relations and Advocacy Committee report
 - Chair: Mr. Terence P. Joyce
11. Community Advisory Committee (CAC)
 - Board Liaison: Ms. Lauren R. Welch
12. Ad Hoc Committee reports:
 - Ad Hoc Paratransit Committee – President Charles P. Lucas, Chair
 - Ad Hoc Technology Committee – Mr. Jeffrey W. Sleasman, Chair
13. Introduction of new employees and announcement of promotions
14. Executive Session Requested – To consider the appointment, employment, dismissal, discipline, promotion, demotion, compensation of a public employee or official.

15. Introduction of resolutions:

- A. 2023-47 (**TABLED 6-27-23**) – Amending and restating employment agreement of India L. Birdsong Terry as General Manager, Chief Executive Officer of the Greater Cleveland Regional Transit Authority and authorizing the execution of that agreement
- B. 2023-48 – Expressing congratulations to the employees of the Greater Cleveland Regional Transit Authority who retired during the second quarter of 2023
- C. 2023-49 – Authorizing an increase to Contract No. 2019-123 with Hatzel & Buehler, Inc. for Project 60B – Warrensville Van Aken Substation replacement in the amount of \$83,032.00 for a total contract amount of \$3,118,758.00 and reinstating the General Manager, Chief Executive Officer's change order signing authority in its entirety (RTA Development Fund, Engineering & Project Development Department budget)
- D. 2023-50 – Authorizing an increase to Contract No. 2022-115 with Northeast Ohio Trenching Service, Inc. for Project 19.05 – Airport Tunnel and Pump Station repairs in the amount of \$16,500.00 for a total contract amount of \$115,400.00 and reinstating the General Manager, Chief Executive Officer's change order signing authority in its entirety (RTA Capital Fund, Engineering & Project Development Department budget)
- E. 2023-51 – Authorizing Contract No. 2023-017 with Ave Automedia, Inc., dba Share Mobility, to provide Micro Transit Program Services, in an amount not to exceed \$300,000.00 (RTA Development Fund, Programming & Planning Department budget)
- F. 2023-52 – Authorizing Contract No. 2023-048 with Richard L. Bowen & Associates, Inc. for Project 24X - A/E Services for E. 79th Street Light Rail Station Reconstruction in an amount not to exceed \$859,825.01 (RTA Development Fund - Engineering & Project Development Department budget)
- G. 2023-53 – Authorizing Contract No. 2023-61 with Hatzel & Buehler, Inc. for Project 12F – addition of 515 turnout at the west end of Brookpark yard in an amount not to exceed \$2,715,000.00 (RTA Development Fund, Engineering & Project Development Department budget)
- H. 2023-54 – Authorizing contract No. 2023-65 with EDM Technology, Inc. for the purchase of magnetic fare media, as required, for a period of three years, with two additional one-year options, in an amount not to exceed \$650,865.00 for the base term and in an amount not to exceed \$226,573.50 for each option year, for a total contract amount not to exceed \$1,104,012.00 (General Fund, Revenue Department budget)

- I. 2023-55 – Authorizing Contract No. 2023-067 with Safety-Kleen Systems, Inc. for the furnishing of antifreeze, as specified and as required, for a period of one (1) year in an amount not to exceed \$120,128.50 (General Fund, Fleet Management Department budget)
- J. 2023-56 – Authorizing Contract No. 2023-080 with ECS Midwest, LLC for Project 19.78 – On Call Testing and Reporting 2023 in an amount not to exceed \$200,000.00 for a period of twenty-four (24) months (RTA Capital and/or Development Fund, Engineering & Project Development Department budget)
- K. 2023-57 – Adopting the Tax Budget of the Greater Cleveland Regional Transit Authority for the fiscal year beginning January 1, 2024, and submitting the same to the Cuyahoga County Fiscal Officer
- L. 2023-58 – Removing Section 644.14 Professional Society Membership from the Codified Rules and Regulations of the Greater Cleveland Regional Transit Authority, as a duplicate of Personnel Policy 400.14, and amending Personnel Policy 400.14
- M. 2023-59 – Removing Section 644.11 from the Codified Rules and Regulations of the Greater Cleveland Regional Transit Authority as a duplicate of Personnel Policy 400.11
- N. 2023-60 – Amending Sections 1014.01 Transit Amenities and 1014.02 Vehicle Assignment of the Codified Rules and Regulations of the Greater Cleveland Regional Transit Authority
- O. 2023-61 – Authorizing the General Manager, Chief Executive Officer to enter into an agreement with Medina County to exchange operating funds for Medina County's capital grant funds and to provide for Medina County to fund a portion of the cost of GCRTA's 251 Flyer Route
- P. 2023-62 – Authorizing the General Manager, Chief Executive Officer to enter into an intergovernmental agreement with the Cleveland Metropolitan School District for student fares for the 2023-2024 school year

16. Secretary-Treasurer's Report:

- a. General Fund Revenue – status as of June 30, 2023 versus 2022 actuals
- b. General Fund Revenue – status as of June 30, 2023 versus the 2023 budget
- c. Sales & Use Tax Receipts Report budgeted during 2023, actual receipts through July 2023
- d. Inventory of Treasury Investments as of June 30, 2023
- e. Debt Service Schedule and Status of Bond Retirement Fund (cash basis) as of June 30, 2023

- f. Summary of Investment Performance, Year to Date through June 30, 2023
 - g. Report on Investment Earnings (cash basis) as of June, 2023
 - h. Composition of Investment Portfolio as of June 30, 2023
 - i. Banking and Financial Relationships as of June 30, 2023
17. General Manager's Report
18. President's Report
19. Old Business
20. New Business
21. Public comments (**2 minutes**) on **public transit related items**:
- a. In person
 - b. Phone: 440-276-4600
 - c. Web form (1 comment limit) Comments will be forwarded to Board and staff
22. The next regular Board meeting is scheduled for **Tuesday, August 29, 2023** in the Board Room of the Authority, Root-McBride Building, 1240 West Sixth Street, Cleveland, Ohio 44113. This meeting will be live-streamed on RTA's Board page (www.RideRTA.com/board) by clicking the meeting date. The public is welcome to attend in person.
23. Adjournment

Minutes

RTA Board of Trustees Meeting

9:58 a.m. June 27, 2023

Board Members: Lucas (Chair), Moss (Vice Chair), Biasiotta, Joyce, Koomar, Mersmann, Sleasman, Welch

Not present: Weiss (virtual)

Staff/Other: Biggar, Birdsong Terry, Burney, Dangelo, Davidson, Fields, Fleig, Ford, Garofoli, Gautam, Gibson, Jenkins, Kirkland, O'Donnell, Schipper, Togher, Walker Minor

Public: Allison, Allred, Bingaman, Cartwright, Gibbons, Loh, Rodriguez, Rubin, Thompson

The meeting was called to order at 9:58 a.m. There were eight (8) board members present.

It was advised that notice of this meeting had been posted more than twenty-four hours in advance of the meeting, that the usual notification had been given the news media and other interested persons, and that all requirements of the Ohio Revised Code and Rules and Bylaws of this Board regarding notice of meeting had been complied with.

Minutes

President Lucas stated that the minutes from the May 2, 2023 Special Board Meeting and May 16, 2023 Board Meeting had been previously distributed and reviewed, and asked whether there were any additions and/or corrections. There were no corrections. It was moved and seconded. The minutes were approved.

Public Comments – Agenda Items

1. Loh – These comments are on behalf of Larry Rodriguez who had to leave early. The area for riders to go between the train and buses is not clean and safe at West Park. This applies to several other train stations.
2. Rev. Pamela Pinkney Butts – She announced an event at the Lebron James Room in Akron on behalf of mothers. She asked about the Transit Police budget. Money is needed to meet the needs of the people. She asked that RTA partner with her to meet the needs of the community.
3. Airric Stewart – If RTA wants more operators, more advocacy is needed from the public. RTA needs to stop being tricky and evasive with the public and using marketing schemes. Route 15A gives extra service on Harvard, to route 48 at E. 131st Street, Blue and Green Line at Shaker Blvd., rout 50, 48A and 40 at MLK Blvd. and Quincy where route 11 runs.
4. Dontez Taylor – A trolley is needed at Lee/Harvard Shopping Center. Restrooms are needed at train stations.

Committee Reports

There were no reports.

Community Advisory Committee (CAC)

There was no report.

Ad Hoc Committee Reports

There were no reports.

Introduction of New Employees/Promotions

New Hires:

1. Marquita Gibson – Administrative Assistant
2. Ibrahim Masoud – Financial Accountant I
3. Amaya Heiselman – Marketing Intern
4. Eric Thompson – Transit Police Office
5. Antonina Weems – Maintenance Planner
6. Thomas Morgan – Operator
7. Robert McClain – Operator
8. Lakysya Ballard – Operator
9. James Bresnahan – Operator
10. David Woods – Operator
11. Austen Tell – Operator
12. Anthony Daughtery – Operator
13. Donovan Tabler – Operator
14. Anisa Rahman – Operator
15. Sue Sanders – Operator
16. Camren Peterman – Operator
17. Deidra Prettyman – Operator
18. Lee Stover – Operator
19. Ashley Mack – Operator
20. Earnest Crawford – Operator
21. Dachauna Hunter – Hostler
22. Benjamin Beam – Equipment Servicer
23. Damon Margida – Talent Acquisition Business Partner
24. Jaron Brown – Summer Intern
25. Madelynne Harwood – Public Transit Development Intern
26. Alexandria Hahn - Public Transit Development Intern
27. Lawson Nash - Public Transit Development Intern
28. Brandon Bowles - Public Transit Development Intern
29. Jacob Moore - Public Transit Development Intern
30. Sanjana Venkataraman – Public Transit Development Intern

Promotions:

1. Kassidy Carter – ITS Specialist
2. Alton Kerley – Equipment Servicer
3. Derrick Warren – Assistant Operating Instructor
4. Roy Strickland, Jr. – Sr. Network Engineer
5. LaTriece Thomas – Assistant Operating Instructor
6. Lavelle Ferebee II – Assistant Operating Instructor

Executive Session

The Board was scheduled to have an Executive Session to discuss the appointment, employment, dismissal, discipline, promotion, demotion, compensation of a public employee or official. But the Compensation Committee asked to table the session and Resolution No. 2023-47 due to the Board's Legal Counsel not being in attendance. It was moved by Ms. Moss, seconded by Mayor Koomar. There were eight (8) ayes and none opposed. The resolution is tabled.

Introduction of Resolutions:

- A. 2023-41 – Authorizing Contract No. 2023-2 with Burgess & Niple, Inc. for Project 19.63 – Engineering Services for Special Bridge Inspections for a period of 24 months in an amount not to exceed \$348,521.72 (RTA Development Fund, Engineering & Project Development Department budget), the adoption of which was moved by Ms. Welch, seconded by Ms. Moss and approved by unanimous vote.
- B. 2023-42 – Authorizing Contract No. 2023-054 with Hill International, Inc. for Project 19.67 – On-Call Construction Inspection - 2023 in an amount not to exceed \$450,000.00 for a period of twenty-four (24) months (RTA Capital and/or Development Fund, Engineering & Project Development Department budget), the adoption of which was moved by Mayor Biasiotta, seconded by Mr. Joyce and approved by unanimous vote.
- C. 2023-43 – Authorizing Contract No. 2023-57 with NEO Building Construction, LLC for Project 19.33 – W. 65th & W. 117th RTS generators in an amount not to exceed \$166,924.00 (RTA Capital Fund, Engineering & Project Development Department budget), the adoption of which was moved by Ms. Moss, seconded by Mr. Sleasman and approved by unanimous vote.
- D. 2023-44 – Enacting Chapter 1210 of the Codified Rules and Regulations of the Greater Cleveland Regional Transit Authority, the adoption of which was moved by Mr. Joyce, seconded by Mayor Biasiotta and approved unanimous vote.
- E. 2023-45 – Authorizing the filing of grant applications, furnishing of additional information and accepting funds from the State of Ohio for State Fiscal Year 2024 Financial Assistance under all State of Ohio programs, the adoption of which was moved by Ms. Moss, seconded by Mayor Biasiotta and approve by unanimous vote.
- F. 2023-46 – Authorizing the submission of grant applications, entering into funding agreements, providing assurances or other documentation and accepting funds from NOACA for State Fiscal Years 2024, 2025 and 2026 for financial assistance and capital projects under all NOACA programs, the adoption of which was moved by Mr. Joyce, seconded by Ms. Welch and approved by unanimous vote.
- G. 2023-47 – Amending and restating employment agreement of India L. Birdsong Terry as General Manager, Chief Executive Officer of the Greater Cleveland Regional Transit Authority and authorizing the execution of that agreement, it was moved by Ms. Moss, seconded by Mayor Koomar to table. There were eight (8) ayes and none opposed. The resolution is tabled.

Secretary-Treasurer's Report

Rajan D. Gautam, Deputy General Manager of Finance and Secretary-Treasurer gave the report. The annual inflation rate in the U.S. increased from 2.5% in January 2020 to a high of 9.1% in June 2022. Since June 2022, the inflation rate has been falling. At the end of May 2023, inflation is down to 4% and is expected to continue to fall in 2023. This is still higher than the long-term rate of 3.9%. By long term, he means the rates between 1960-2022. The Federal Reserve raised interest rates again in early May 2023. Interest rates are now at 5.25% which is the highest level in 15 years since the 2007-2008 financial crisis. The Federal Open Market Committee is expected to meet again at the end of July to review interest rates.

May unemployment data will be released June 28 for the Ohio Metropolitan Statistical (MSA) areas. The U.S. unemployment rate was 3.4% in April. The total number of job openings increased to 10.1 million in April up 358,000 from 9.7 million in March. Decreases were seen in manufacturing, professional and business services. Job openings increased in trade, transportation and utilities, retail trade, education and health services, construction and financial activities. All of the MSAs experienced a decrease in the unemployment rates from March. As of April 2023, Cleveland was at 3.4% which is down from 4.2% the month before. Cincinnati is 2.8% down from 3.4%. Columbus is 2.8% down from 3.4%. Dayton is 3.2% down from 3.8%. Toledo is down from 4.3% to 2.5%.

May 2023 ridership was 18.9% above May 2022 levels. YTD ridership for 2023 is 8.77 million or 34% lower than 2019 ridership of 13.33 million. YTD ridership is 21.2% higher than 2022. YTD passenger fares pre-COVID was 15.75 million in 2019 compared to 10.92 million in 2023 or 30% lower. May fare revenue was 11.3% above May 2022 levels which is 9.1% over budget. Cash fares increased by 10.6%. U-Pass and student fare cards increased by 45%. Since these are cash-based numbers they are as a result of timing issues of when these fares are collected.

June 2023 sales tax receipts was 2.8% lower than June 2022. YTD we are 5.3% higher than 2022 levels. Fourteen of the 23 categories were positive in June 2023 compared to June 2022. Online sales were 19.1% higher. Regular and statewide sales were lower at 4.8%. Motor vehicles and motorcraft was 10.8% lower due to higher car prices and high interest rates. The last decline in sales tax was September 2022.

General Manager/CEO Report

India L. Birdsong Terry, General Manager, CEO gave the report. RTA was awarded a \$3.2 million grant from ODOT (for six CNG buses) with the support of Senator Brown and ODOT Director Marchbanks. President Lucas thanked the staff, leadership and state representatives and requested a letter of thank you be sent to the state reps. The Rail Car Replacement Team is giving updates around the Authority in May and June. Siemens executed the rail car contract. Staff is preparing to review it for full execution. RTA is partnering with ADAMHS via FTA Safety Advisory for suicide and crisis awareness and support. Car cards are posted on RTA property and the airport.

Chief Jones was highlighted by Cleveland.com for being a woman in policing. She discussed diversity, equity and hiring practices. President Lucas added that Chief Jones is a good leader and has earned the respect of her staff. He desires the TP headquarter be relocated and upgraded. Mass Transit highlighted our DBE Program in an article submitted by Kay Sutula, Director of Office of Management & Budget and Carl Kirkland, Director of Business Development.

RTA held an awards banquet, May 19 to acknowledge 2021 and 2022 recipients. Several awards were presented in various categories. Several staff members attended the APTA Rail Conference in

Pittsburgh, PA June 11-14. RTA will host the conference in 2024. Ms. Birdsong Terry also served on a panel. RTA may also host in 2027 or 2028 when the new rail cars are operating.

Public Comments – public transit related items

1. Brian Gibbons – Mr. Gibbons said the survey results presentation at the earlier committee meeting needs to be measured over the long term to show the trends.
2. Ms. Townsend – She asked if the new seats on the buses will be plastic in the long term. Ms. Birdsong Terry confirmed the hard plastic seating for cleanliness and comfort.
3. Loh – She requested the surveys performed by ETC be more customer focused similarly to the NOBLE paratransit survey conducted during the Pandemic.
4. Dontez Taylor – He requested the Circulators be brought back into service. He asked that the fares not be increased.
5. Airric Stewart – He restated his proposal for the 15A if it ran hourly, could share service with the 15, 11, 48 and 48A and connect with the Blue and Green Lines. His proposal would be more efficient and provide more service.

Upcoming Meetings

The next regular Board meeting is scheduled for **Tuesday, July 25, 2023**, in the Board Room of the Authority, Root-McBride Building, 1240 West Sixth Street, Cleveland, Ohio 44113. This meeting will be live streamed on RTA's Board page (www.RideRTA.com/board) by selecting the meeting date. The public is welcome to attend in person.

The meeting was adjourned at 10:50 a.m.

President

Attest: _____
Secretary-Treasurer

RESOLUTION NO. 2023-47

AMENDING AND RESTATING EMPLOYMENT AGREEMENT OF INDIA L. BIRDSONG TERRY AS GENERAL MANAGER, CHIEF EXECUTIVE OFFICER OF THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY AND AUTHORIZING THE EXECUTION OF THAT AGREEMENT

WHEREAS, on August 20, 2019, the Board of Trustees ("Board") of the Greater Cleveland Regional Transit Authority ("Authority") adopted Resolution No. 2019-94, authorizing the appointment of India L. Birdsong to the position of General Manager, Chief Executive Officer for the Authority; and

WHEREAS, pursuant to Resolution No. 2019-94, the Authority entered into an employment contract with India L. Birdsong, now known as India L. Birdsong Terry ("Terry") with a term of five (5) years, beginning September 16, 2019, and expiring on September 16, 2024 (the "Agreement"); and

WHEREAS, the Board for the Authority desires to continue its employment relationship with Terry in the position of General Manager, Chief Executive Officer of the Authority; and

WHEREAS, the Authority and Terry desire to amend and restate the Agreement to provide an extension thereof under the terms and conditions stated therein.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio.

Section 1. That the Board of Trustees ("Board") of the Greater Cleveland Regional Transit Authority ("Authority") and India L. Birdsong Terry ("Terry") hereby amend and restate the current Employment Agreement between the Authority and Terry, to be effective as of January 1, 2023 and expiring on December 31, 2027, in accordance with the terms of the Amended and Restated Employment Agreement attached hereto.

Section 2. That the President of the Board is hereby authorized and directed to execute the attached Amended and Restated Employment Agreement on behalf of the Authority.

Section 3. That this resolution shall become effective immediately upon its adoption.

Attachment: Amended and Restated Employment Agreement of India L. Birdsong Terry, the General Manager and Chief Executive Officer for the Greater Cleveland Regional Transit Authority.

Adopted: July 25, 2023

President

Attest: _____
Secretary-Treasurer

**AMENDED AND RESTATED
EMPLOYMENT AGREEMENT
FOR THE
GENERAL MANAGER
OF THE
GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY**

This Amended and Restated Employment Agreement (“Agreement”) is entered into by and between the Greater Cleveland Regional Transit Authority (“Authority”) located at 1240 West 6th Street, Cleveland, Ohio 44113, and India L. Birdsong Terry, who is currently performing the duties and responsibilities of General Manager and Chief Executive Officer of the Authority (“General Manager”), pursuant to the authorization contained in Resolution No. 2023-47 of the Authority’s Board of Trustees (“Board”) duly adopted on July ____, 2023 (“Adoption Date”) in accordance with the provisions of Ohio Revised Code Section 306.34 and the Bylaws of the Authority.

WHEREAS, the Authority desires to enter into this Agreement with India L. Birdsong Terry as General Manager, and India L. Birdsong Terry desires to continue employment by the Authority as its General Manager for five (5) years, effective as of January 1, 2023 and continuing through December 31, 2027, under the terms and conditions set forth herein.

WITNESSETH:

NOW THEREFORE, in consideration of the mutual promises contained herein, the parties agree as follows:

1. Term of Agreement. The Authority desires to continue to employ the General Manager as an officer of the Authority under the relevant provisions of Ohio law and the Authority’s Bylaws, Policies and Procedures for a term of five (5) years commencing on January 1, 2023 (the “Commencement Date”), and expiring on December 31, 2027 (the “Expiration Date”). The General Manager hereby accepts said employment and agrees to perform the duties of General

Manager on behalf of the Authority throughout the duration of this Agreement as prescribed by the laws of the State of Ohio, by the Authority's Bylaws, Policies and Procedures, and by the directives of the Authority's Board. The General Manager shall be classified as a salaried, non-bargaining exempt employee of the Authority. Unless terminated as provided for in Sections 5.1, 5.2 or 5.3 herein, if at least six (6) months prior to the expiration of the five (5) year term of this Agreement and any subsequent one (1) year renewal term, the Authority or General Manager has not notified the other in writing that it/she does not intend to renew this Agreement, the term of the Agreement shall automatically be renewed and extended for one (1) calendar year under the same terms and conditions.

2. Regular Salary. In consideration of the services to be performed hereunder by the General Manager, the Authority shall pay the General Manager \$335,000 per calendar year (the "Regular Salary") effective as of January 1, 2023. General Manager shall receive the difference in the salary she earned in 2023 up to the Adoption Date and the Regular Salary provided for in this Agreement on or before 30 days following the Board's approval of this Agreement in one lump sum payment of Regular Salary (net of all usual and customary tax or other withholding amounts). In addition, the General Manager shall receive the annual average base salary merit increase ("Merit Pool") the Board may establish for the Authority's non-bargaining unit employees beginning as of the 2024 calendar year and each calendar year thereafter during the term of the Agreement. This Regular Salary shall be paid in periodic installments in the usual and customary intervals applicable to payment of other executive level managers of the Authority, as may be in effect from time to time. The General Manager's Regular Salary may be increased (but not decreased) in an amount determined in the sole discretion of the Board. Should the General Manager's employment be terminated in accordance with Section 5.3 of this Agreement by the

Board, the General Manager shall not be entitled to any Regular Salary for the remaining portion of the term of this Agreement, nor payment of a Performance Bonus under Section 7 of this Agreement. Any adjustment to the General Manager's Regular Salary made during the term of this Agreement shall be in the form of a written amendment hereto and shall become a part of this Agreement; provided, however, that by so doing it shall not be deemed that the Authority has entered into a new agreement with the General Manager, nor that the Expiration Date of this Agreement has been extended.

At any point within eighteen (18) months prior to the expiration of this Agreement, the General Manager may request a meeting with the Board to discuss her contract status. Upon such request, the Board shall grant the General Manager a meeting in executive session to discuss potential reasons for considering extension, renewal or nonrenewal of this Agreement. The establishment of this meeting shall not create an expectancy of continued employment; nor shall it prevent the Board from making the final determination regarding the extension, renewal or failure to renew of the General Manager's contract consistent with this Agreement.

3. Benefits. During the term of the General Manager's employment by the Authority and except as otherwise expressly provided in this Agreement, the General Manager shall be entitled to the benefits of employment set forth in the Authority's Bylaws, Policies and Procedures for the Authority's executive level managers, which may include the Authority's usual and customary employer's contribution toward healthcare insurance premiums, (medical, prescription drug, dental, and/or vision insurance coverages as applicable); the employer's contribution toward term life insurance premiums; paid leaves (sick, personal and/or vacation); accumulation of and payment for unused sick time credit at the time of retirement (if any); accumulation of and payment for unused vacation leave upon separation from employment (if any); the definition of *accrual* or

accumulate for the purposes of any such payments (if any); paid legal holidays; and the reimbursement of reasonable business expenses incurred by the General Manager and that are authorized in advance by the Board. The Authority shall not pay the General Manager's contribution to the Ohio Public Employees Retirement System nor the General Manager's Medicare tax notwithstanding any provision of the Authority's Bylaws, Policies and Procedures to the contrary. The Authority shall make all employer contributions, including the fourteen percent (14%) OPERS Employer contribution on behalf of General Manager's OPERS, in addition to any other benefit contributions the Authority makes to other executive level managers of the Authority. The Authority shall not make any contribution on behalf of the General Manager to the Ohio Public Employees Deferred Compensation Program, although the General Manager is free to participate in this Program.

General Manager shall receive reimbursement for costs (including deductibles, co-pays and parking expenses) of a yearly executive health evaluation at the Cleveland Clinic up to a cap of \$3,500 per year for any amounts not covered by the General Manager's health insurance with the Authority. General Manager shall not receive a car allowance, although she will be entitled to use an Authority vehicle as needed for official business. In the event that General Manager is unable to use an Authority vehicle for Authority business, she may use her personal vehicle for Authority business and shall be reimbursed for the use of her personal vehicle in the same manner as afforded other employees using personal vehicles for Authority business. The Authority encourages General Manager as part of her regular duties to become a member of local business and community organizations relating to the activities of the Authority, and it will pay for said memberships and out of pocket expenses as approved by the Board or its designee in an amount not to exceed \$3,000.00 annually, unless otherwise agreed to by the Board in its sole discretion.

In addition, the Authority will reimburse General Manager for Board approved certifications, seminars and course work in accordance with its established policies and procedures.

4. Vacation and Sick Time Credit. During the term of this Agreement, the Authority grants the General Manager two hundred (200) hours of vacation per calendar year or prorated for a portion thereof, which shall not be supplemented or increased by any other Per Pay Vacation Rate Schedule provided in the Policies and Procedures of the Authority. The grant, accrual, use, carryover and payment, if any, of unused vacation time shall be subject to the terms and conditions of the Authority's Bylaws, Policies and Procedures. General Manager's accrual of additional sick time credit shall be in accordance with the Authority's Bylaws, Policies and Procedures.

5. Termination of Agreement.

5.1 Termination by Notice. Except as otherwise provided in Section 5.2 or Section 5.3, this Agreement may be terminated by either the Authority or the General Manager, at either Party's discretion or convenience, upon the expiration of sixty (60) days after written notice by certified United States mail is received by the Authority, or personally delivered by the terminating Party to the other Party. The Authority shall have the sole discretion to determine whether the General Manager shall continue to render services hereunder during such notice period, subject to the Authority's severance pay obligation to the General Manager under Section 6 below.

For any termination under this Section 5.1 by the General Manager, the General Manager shall not receive any Regular Salary after the effective date of termination; provided however, if the termination date is after March 31st, the General Manager may receive a prorated amount of her yearly Performance Bonus as determined by the Authority's Board in its sole discretion. For purposes of this Section 5.1 only, "effective date of termination" shall mean sixty (60) days

following the notice of termination by either party.

5.2 Termination Upon General Manager's Death. Notwithstanding Section 5.1 or any other provision herein, this Agreement shall terminate automatically, immediately and without prior notice upon the General Manager's death. The Authority shall pay the yearly premium for a supplemental life insurance policy offered by the Authority to its employees in the amount of \$300,000 on the General Manager's behalf. The General Manager shall designate the beneficiary of this life insurance policy.

5.3 Termination for Cause. This Agreement may be terminated at any time upon thirty (30) days written notice by the Authority for just cause, which shall include, but not be limited to, the General Manager's: (i) fraud or dishonesty pertaining to her employment with the Authority or which impairs her ability to perform the responsibilities of General Manager, (ii) theft of Authority assets, (iii) conviction of a crime involving moral turpitude, (iv) material violation of the terms of this Agreement, or (v) intentional gross failure to properly perform or discharge the duties of General Manager as established by the Authority's Bylaws, Policies and Procedures or directives of the Board following ten (10) days' notice and thirty (30) days to cure said performance deficiencies. Upon a termination for cause by the Authority, the General Manager shall not be entitled to any additional Regular Salary provided in Section 2 above, as of the effective date of the termination, or any portion of the Performance Bonus provided for in Section 7 below.

6. **Severance Pay.** If the General Manager's employment is terminated by the Authority for its convenience in accordance with Section 5.1 above, the Authority shall pay the General Manager severance compensation for a one (1) year period as follows: for the first six (6) month period, the General Manager's Regular Salary in effect at the time of such termination,

regardless whether the General Manager accepts another position during this period (including the payment of the amount necessary for COBRA health benefit coverage during all or part of this six (6) month period until General Manager qualifies for replacement health insurance offered by any new employer); and provided further, in the next six (6) month period if any new position accepted by the General Manager after the termination pays a salary less than the Regular Salary paid by the Authority to the General Manager at the time of termination, the Authority shall pay the difference between the two salaries to General Manager for the second six (6) month period, and the amount of the premium for COBRA health insurance coverage during all or a portion of the second six (6) month period until General Manager qualifies for replacement health insurance with the new employer during the second six (6) month period.

If General Manager's employment is terminated for cause by the Authority in accordance with Section 5.3 above, the Authority may, but is not required to, offer the General Manager severance pay equivalent to two (2) months of Regular Salary. Any severance pay associated with a termination of employment by the Authority under Section 5.3 above shall be contingent upon the General Manager's execution of a complete and unconditional waiver and release of claims satisfactory to the Authority.

7. Performance Review and Bonus. Annual performance objectives for the General Manager for each calendar year of this Agreement shall be established and mutually agreed to by the Board and General Manager prior to December 31st of each preceding calendar year. The General Manager's annual written performance review by the Board for the previous calendar year shall be completed by March 31st to determine whether the General Manager is entitled to a Performance Bonus. The Board may decide in its sole discretion to pay the General Manager up to 15% of her Regular Salary as a yearly Performance Bonus. Any Performance Bonus granted

by the Board to General Manager based upon its annual performance review will be paid by April 30th of that year. Further, the Board shall provide General Manager with her performance review for 2022 by August 31, 2023.

8. Duties and Responsibilities of General Manager. The General Manager shall devote her best business and professional skills, knowledge, and experience to the lawful business affairs of the Authority. In all events, the General Manager shall expend the time and effort necessary to fulfill her duties and responsibilities pursuant to this Agreement on a full time basis, and shall use all reasonable efforts to perform the duties and responsibilities as the General Manager. The General Manager shall not assume any other employment, consulting or compensated work relationship with any other person or entity beside the Authority during the term of this Agreement. The duties and responsibilities of the General Manager are set forth in the Bylaws, Policies and Procedures of the Authority, and the Board's directives to the General Manager as they may be adopted or amended from time to time by the Board. The General Manager shall faithfully, honestly, and diligently perform the obligations under this Agreement and shall exert best efforts to promote and enhance the efficient operation and functioning of the Authority in accordance with the Authority's Bylaws, Policies and Procedures and the Board's directives.

9. Confidential Information. The General Manager is privy to certain data and information that is confidential and proprietary to the Authority or its employees. The General Manager agrees that all information furnished or disclosed to the General Manager by the Authority or any of its employees (as well as work product developed by the General Manager during the term of this Agreement) shall be property of the Authority, shall be maintained in confidence by the General Manager and shall not be disclosed to any person or entity or used by

the General Manager in any way, except as specifically authorized by the Authority. Nothing in the foregoing sentences of this Section 9 is meant to preclude disclosure of information as required by federal, state or local law. The General Manager's obligation to protect the confidentiality of Authority information shall survive the expiration or termination of this Agreement. The General Manager further agrees that, at the termination of this Agreement, all data, documents, property and other information received from the Authority or that was used by the General Manager during the term of this Agreement will be immediately returned and no copies thereof shall be retained by the General Manager.

10. Defense of Actions. The Authority shall defend, indemnify and hold the General Manager harmless from and against any court actions or lawsuits against the General Manager (including the costs and expenses of defending against such actions and liabilities arising therefrom) for any action taken during the normal performance of duties and responsibilities of the General Manager in accordance with the Bylaws, Policies and Procedures of the Authority, and the applicable provisions of Ohio Revised Code Chapter 2744.

11. Savings Clause. Should any provision of this Agreement be found illegal, void, or voidable, the remainder of the Agreement shall continue in full force and effect.

12. Entire Agreement. This instrument contains the entire agreement of the parties; it may not be changed orally but only by an agreement in writing signed by the parties.

13. Miscellaneous. The recitals are hereby incorporated in the Agreement as if fully rewritten herein. To the extent that any Bylaw, Policy or Procedure of the Authority grants to the General Manager the authorization to make a discretionary decision concerning any employment-related conduct, or a change in compensation or employment benefits for an employee of the

Authority, the General Manager shall not exercise such power to specifically benefit herself unless and until such conduct, change or increase is approved by the Board.

IN WITNESS WHEREOF the Greater Cleveland Regional Transit Authority, by its Board President having been duly authorized, and India L. Birdsong Terry have set their hands hereto on the dates stated below.

**GREATER CLEVELAND REGIONAL
TRANSIT AUTHORITY**

By: _____
Rev. Charles P. Lucas, Board President

Date: July ____, 2023

India L. Birdsong Terry
General Manager, Chief Executive Officer

Date: July ____, 2023

RESOLUTION NO. 2023-48

EXPRESSING CONGRATULATIONS TO THE EMPLOYEES OF THE
GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY WHO RETIRED
DURING THE SECOND QUARTER OF 2023

WHEREAS, the following employees retired from the Greater Cleveland Regional Transit Authority during the second quarter of 2023 after numerous years of dedicated public service:

<u>Name</u>	<u>Title</u>	<u>Work Location</u>
Martin Bonner	Bus Operator	Hayden
Jean E. Brown	Telephone Oper/Info Clerk	Paratransit
Charles Crawford	Bus Operator	Triskett
Yalonda Dolman-Kemp	Station Attendant	Rail
Cheryl Lynn Drane	Bus Operator	Hayden
James W. Drane Jr.	Bus Operator	Hayden
William Eiban	Maintenance Helper	Central Svc Bl
Andrew Galaska	Bus Operator	Triskett
Vanessa Henderson	Hostler	Hayden
Daesonn Landry	Track Maintainer	Central Svc Bl
William P. Martin Jr.	Equipment Maintainer	Hayden
Roberta Nunley	Dispatcher	Rail Headquarters
Kurt E. Slesinger	Lead Signal Technician	Central Svc Bl
James G. Stannert Sr.	Revenue Data Operator	Revenue
Thomas Steblinski	Safety Trainer	CBM

WHEREAS, these retirees faithfully gave of their skills, time and talents to provide high quality public transportation to the community; and

WHEREAS, these retirees did much to contribute to the quality of life in Greater Cleveland by providing much-needed public transit service and protecting our valuable environment; and

WHEREAS, the retirees' outstanding diligence in the performance of their jobs was of immeasurable value to both riders and residents of Cuyahoga County; and

WHEREAS, these retirees represent hundreds of years of invaluable public transit experience, and they will be missed.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the sincere congratulations and gratitude of the Board of Trustees is hereby extended to each of the above named employees on the occasion of their retirement from the Greater Cleveland Regional Transit Authority.

Section 2. That the members of the Board of Trustees offer their best wishes to the retirees for continued success and happiness, which they so richly deserve.

Section 3. That this resolution shall become effective immediately upon its adoption.

Adopted: July 25, 2023

President

Attest: _____
Secretary-Treasurer



TITLE, DESCRIPTION: CONTRACT: INCREASE TO CONTRACT NO. 2019-123 FOR PROJECT 60B WARRENSVILLE VAN AKEN SUBSTATION REPLACEMENT VENDOR: HATZEL & BUEHLER, INC. AMOUNT: NTE \$83,032.00 AND REINSTATEMENT OF GENERAL MANAGER, CHIEF EXECUTIVE OFFICER'S CHANGE ORDER SIGNING AUTHORITY	Resolution No.: 2023-49
	Date: July 20, 2023
	Initiator: Engineering & Project Development Department
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review, Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE, SCOPE:** This action will allow the Greater Cleveland Regional Transit Authority ("Authority") to increase Contract No. 2019-123, Project 60B – Warrensville Van Aken Substation Replacement for the installation of a meter pedestal to ensure power feed to the substation and to reinstate the General Manager, Chief Executive Officer's change order signing authority.

- 2.0 **DESCRIPTION, JUSTIFICATION:** This change order will ensure the meter pedestal has a power feed and is operational with the new substation. In addition, the contractor will have to re-work the existing station electrical pedestal cabinet power feed to incorporate this addition. The current contract documents did not incorporate the CEI wire relocation plans.

- 3.0 **PROCUREMENT BACKGROUND:** On November 19, 2019, the Board of Trustees, by Resolution No. 2019-105, authorized a contract with Hatzel & Buehler, Inc. to perform electrical and general construction services for the Warrensville Van Aken Substation Replacement project in an amount of \$2,849,000.00. Change orders to date have included additions in the amount of \$186,726.00, resulting in a current contract total amount of \$3,035,726.00.

 Additional project scope requires that the Authority increase the cost of the contract with Hatzel & Buehler, Inc. in an amount not to exceed \$83,032.00, resulting in a new contract total amount of \$3,118,758.00. This change order will cause the total change order amount to exceed the General Manager, Chief Executive Officer's change order signing authority limit. This action also seeks reinstatement of the General Manager, Chief Executive Officer's change order signing authority. A Change Order Log is attached as reference.

 The Change Order Committee has reviewed this change and recommended it be moved forward for approval.

- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** All Affirmative Action requirements have been met. A 4% DBE goal was established for this procurement in November 2019. Hatzel & Buehler, Inc. has agreed to maintain the established DBE participation goal through the use of its existing DBE firms.

- 5.0 **POLICY IMPACT:** Does not apply.

- 6.0 **ECONOMIC IMPACT:** This change order shall be payable from the RTA Development Fund, Engineering & Project Development Department budget, including, but not limited to, FTA Capital Grants OH-2016-055 and OH-2018-027 in an amount not to exceed \$83,032.00 (\$66,425.60 in federal funds which represents 80% of the total cost), for a new contract total amount of \$3,118,758.00.

- 7.0 ALTERNATIVES: Rejection of this change order would leave the Authority without an operable substation needed for rail operations.
- 8.0 RECOMMENDATION: It is recommended that this change order be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to modify the contract.
- 9.0 ATTACHMENTS: Change Order Log.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

**APPROVED CHANGE ORDERS WARRENSVILLE VAN AKEN
SUBSTATION REPLACEMENT
PROJECT NO. 60B**

Contract # 60B

Contractor: Hatzel & Buehler, Inc.

Original Contract Amount \$2,849,000.00

Total G.M. C.O. Authority Remaining: \$63,274.00

Total Change Order Amount to Date: \$186,726.00

% Change to Date: 6.6%

C/O NO.	Change Order Description	Approval Date	Change Order Amount	New Contract Value
1	Additional Conduit	8/3/2020	\$12,795.00	\$2,861,795.00
2	Duct Bank Installation	8/21/2020	\$23,854.00	\$2,885,649.00
3	Concrete Frost Pads	8/24/2020	\$17,821.00	\$2,903,470.00
4	First Energy Wire Relocation	8/25/2020	\$17,164.00	\$2,920,634.00
5	Lightning Rod Protection	8/31/2020	\$6,318.00	\$2,926,952.00
6	Sitra MDC Relay Replacement	9/14/2020	\$13,765.00	\$2,940,717.00
7	DC Cables Disconnect Switches	10/13/2020	\$37,512.00	\$2,978,229.00
8	No Cost Time Extension	3/31/2021	\$0.00	\$2,978,229.00
9	SCADA System Outlets	4/28/2021	\$14,584.00	\$2,992,813.00
10	No Cost Time Extension	10/14/2021	\$0.00	\$2,992,813.00
11	Grounding Installation	1/25/2022	\$3,452.00	\$2,996,265.00
12	CEI Wire Reroute	4/8/2022	\$22,030.00	\$3,018,295.00
13	Landscape Modification	4/6/2022	\$6,533.00	\$3,024,828.00
14	No Cost Time Extension	9/1/2022	\$0.00	\$3,024,828.00
15	Safety Barricades	12/8/2022	\$10,898.00	\$3,035,726.00
16	No Cost Time Extension	4/25/2023	\$0.00	\$3,035,726.00

RESOLUTION NO. 2023-49

AUTHORIZING AN INCREASE TO CONTRACT NO. 2019-123 WITH HATZEL & BUEHLER, INC. FOR PROJECT 60B – WARRENSVILLE VAN AKEN SUBSTATION REPLACEMENT IN THE AMOUNT OF \$83,032.00 FOR A TOTAL CONTRACT AMOUNT OF \$3,118,758.00 AND REINSTATING THE GENERAL MANAGER, CHIEF EXECUTIVE OFFICER'S CHANGE ORDER SIGNING AUTHORITY IN ITS ENTIRETY (RTA DEVELOPMENT FUND, ENGINEERING & PROJECT DEVELOPMENT DEPARTMENT BUDGET)

WHEREAS, the Greater Cleveland Regional Transit Authority ("Authority") deemed it necessary to procure construction services, as specified, under Project 60B – Warrensville Van Aken Substation Replacement; and

WHEREAS, by Resolution No. 2019-105, the Board of Trustees authorized Contract No. 2019-123 in the amount of \$2,849,000.00 with Hatzel & Buehler, Inc., located at 1200 Resource Dr. Suite 10, Cleveland, OH 44131, for the furnishing of said construction services; and

WHEREAS, the General Manager, Chief Executive Officer has authorized change orders with a net additional contract value of \$186,726.00, utilizing the change order signing authority prescribed in the Authority's Procurement Policies and Procedures Manual, for a current total contract amount of \$3,035,726.00; and

WHEREAS, the Authority now requires additional construction services to maintain power to the substation to avoid schedule and customer disruption, under Project 60B – Warrensville Van Aken Substation Replacement; and

WHEREAS, Hatzel & Buehler, Inc. has offered to provide these additional construction services at a total negotiated cost of \$83,032.00, resulting in a new total contract amount of \$3,118,758.00; and

WHEREAS, said increase will exceed the General Manager, Chief Executive Officer's change order signing authority; and

WHEREAS, the General Manager, Chief Executive Officer is seeking Board authority to reinstate her change order signing authority in its entirety; and

WHEREAS, the General Manager, Chief Executive Officer deems acceptance of the offer of Hatzel & Buehler, Inc., as negotiated, to be in the best interest of the Authority and recommends acceptance thereof.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the negotiated offer of Hatzel & Buehler, Inc. to provide additional construction services under Project 60B – Warrensville Van Aken Substation Replacement, be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to amend Contract No. 2019-123 with Hatzel & Buehler, Inc. to include these additional construction services.

Section 3. This change order shall be payable from the RTA Development Fund, Engineering & Project Development Department budget, including but not limited to, FTA Capital Grants OH-2016-055 and OH-2018-027 in an amount not to exceed \$83,032.00 (\$66,425.60 in federal funds which represents 80% of the total cost), for a new total contract amount of \$3,118,758.00.

Section 4. That the General Manager, Chief Executive Officer's change order signing authority be reinstated in its entirety.

Section 5. That all other terms and conditions of said contract shall remain unchanged.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: July 25, 2023

President

Attest: _____
Secretary-Treasurer



TITLE/DESCRIPTION: CONTRACT: INCREASE TO CONTRACT NO. 2022-115 FOR PROJECT 19.05 AIRPORT TUNNEL AND PUMP STATION REPAIRS VENDOR: NORTHEAST OHIO TRENCHING SERVICE, INC. AMOUNT: NTE \$16,500.00 AND REINSTATEMENT OF GENERAL MANAGER, CHIEF EXECUTIVE OFFICER'S CHANGE ORDER SIGNING AUTHORITY	Resolution No.: 2023-50
	Date: July 20, 2023
	Initiator: Engineering & Project Development Department
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This action will allow the Greater Cleveland Regional Transit Authority ("Authority") to increase Contract No. 2022-115, Project 19.05 – Airport Tunnel and Pump Station Repairs to repair unforeseen failed duct joints in the Airport Tunnel exhaust duct and to reinstate the General Manager, Chief Executive Officer's change order signing authority.
- 2.0 **DESCRIPTION/JUSTIFICATION:** This change order will allow the repair of two additional failed duct joints and include video investigation of the duct. The original contract scope did not incorporate additional duct repairs.
- 3.0 **PROCUREMENT BACKGROUND:** On January 27, 2023, the Authority entered into a contract with Northeast Ohio Trenching Service, Inc. to perform general construction services for the Airport Tunnel Pump Station Repairs project in an amount of \$98,900.00. There have been no change orders issued to date.

 Additional project scope requires that the Authority increase Northeast Ohio Trenching Service, Inc.'s contract in an amount of \$16,500.00, which will result in a total contract amount of \$115,400.00. This change order will cause the total contract amount to exceed the General Manager, Chief Executive Officer's change order signing authority limit. This action also seeks reinstatement of the General Manager, Chief Executive Officer's change order signing authority.
- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** All Affirmative Action requirements have been met. A 13% DBE goal was established for this procurement in November 2022. The change order/contract modification will increase this contract amount to \$115,400.00. For the contract period, Northeast Ohio Trenching Services, Inc has committed to achieve 13% DBE participation through the utilization of the existing DBE firms.
- 5.0 **POLICY IMPACT:** Does not apply.
- 6.0 **ECONOMIC IMPACT:** This change order shall be payable from the RTA Capital Fund, Engineering & Project Development Department budget, including but not limited to, 100% local funds in an amount not to exceed \$16,500.00, resulting in a total contract amount not to exceed \$115,400.00.
- 7.0 **ALTERNATIVES:** Rejection of this change order will cause the duct to remain in damaged condition, and the vent will leak airflow.
- 8.0 **RECOMMENDATION:** It is recommended that this change order be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to modify the contract.

9.0 ATTACHMENTS: None

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.


General Manager, Chief Executive Officer

RESOLUTION NO. 2023-50

AUTHORIZING AN INCREASE TO CONTRACT NO. 2022-115 WITH NORTHEAST OHIO TRENCHING SERVICE, INC. FOR PROJECT 19.05 – AIRPORT TUNNEL AND PUMP STATION REPAIRS IN THE AMOUNT OF \$16,500.00 FOR A TOTAL CONTRACT AMOUNT OF \$115,400.00 AND REINSTATING THE GENERAL MANAGER, CHIEF EXECUTIVE OFFICER'S CHANGE ORDER SIGNING AUTHORITY IN ITS ENTIRETY (RTA CAPITAL FUND, ENGINEERING & PROJECT DEVELOPMENT DEPARTMENT BUDGET)

WHEREAS, the Greater Cleveland Regional Transit Authority ("Authority") deemed it necessary to procure construction services, as specified, under Project 19.05 – Airport Tunnel and Pump Station Repairs; and

WHEREAS, the Authority entered into Contract No. 2022-115 in an amount of \$98,900.00 with Northeast Ohio Trenching Service, Inc., located at 17900 Miles Road, Warrensville Heights, OH 44128, for the furnishing of said construction services; and

WHEREAS, the Authority now requires additional construction services to repair the exhaust duct for the Airport Tunnel, under Project 19.05 – Airport Tunnel and Pump Station Repairs; and

WHEREAS, Northeast Ohio Trenching Service, Inc. has offered to provide these additional construction services at a total negotiated cost of \$16,500.00, resulting in a new total contact amount not to exceed \$115,400.00; and

WHEREAS, said increase will exceed the General Manager, Chief Executive Officer's change order signing authority; and

WHEREAS, the General Manager, Chief Executive Officer is seeking Board authority to reinstate her change order signing authority in its entirety; and

WHEREAS, the General Manager, Chief Executive Officer deems acceptance of the offer of Northeast Ohio Trenching Service, Inc., as negotiated, to be in the best interest of the Authority and recommends acceptance thereof.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the negotiated offer of Northeast Ohio Trenching Service, Inc., to provide additional construction services under Project 19.05 – Airport Tunnel and Pump Station Repairs, be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to amend Contract No. 2022-115 with Northeast Ohio Trenching Service, Inc. to include these additional construction services.

Section 3. This change order shall be payable from the RTA Capital Fund, Engineering & Project Development Department budget, including but not limited to, 100% local funds in an amount not to exceed \$16,500.00 resulting in a total contract amount not to exceed \$115,400.00.

Section 4. That the General Manager, Chief Executive Officer's change order authority be reinstated in its entirety.

Section 5. That all other terms and conditions of said contract shall remain unchanged.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: July 25, 2023

President

Attest:

Secretary-Treasurer



TITLE/DESCRIPTION: CONTRACT: MICRO TRANSIT PROGRAM VENDOR: AVE AUTOMEDIA, INC., DBA SHARE MOBILITY AMOUNT: NTE \$300,000.00	Resolution No.: 2023-51
	Date: July 20, 2023
	Initiator: Programming & Planning Department
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

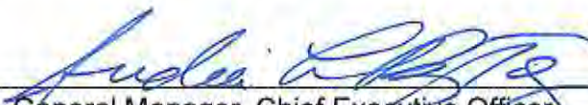
- 1.0 **PURPOSE/SCOPE:** This action will allow the Greater Cleveland Regional Transit Authority ("Authority") to enter into a contract to provide a micro transit program for a period up to eighteen months from the start of operation of the micro transit program established under Resolution No. 2022-32, which occurred December 14, 2022.
- 2.0 **DESCRIPTION/JUSTIFICATION:** In support of the Authority's mission to connect the community, a program to provide the Authority's customers with a last/first mile connection from the Authority's system to their ultimate place of employment is being explored. While the program is targeted in industrial park type settings where high numbers of employers and employees are located but are not directly on transit lines, it also has the potential to be used to serve other markets where first/last mile rides would benefit a community. The goal of RTA ConnectWorks is to provide the Authority's customers with a ride from an RTA stop to and from nearby places of employment at the beginning and end of the work shift. A total budget of \$600,000.00 was established for this program. The Board of Trustees authorized Resolution No. 2022-32 with Ave Automedia, Inc., dba SHARE Mobility, to provide micro transit contracted services to customers originating from the Southgate Transit Center in an amount not to exceed \$300,000.00. That service began on December 14, 2022. The newly proposed service does not duplicate any existing fixed route services and will serve the Authority's customers in the Brook Park - Aerozone industrial park area. The cost mirrors the original program, wherein Ave Automedia, Inc., dba SHARE Mobility, will document its expenses, and the Authority will reimburse 50% of those expenses, up to a total expenditure of \$300,000.00.
- 3.0 **PROCUREMENT BACKGROUND:** The Request for Proposals ("RFP") was posted on the Procurement web site and advertised in the local newspapers. Fifty (50) interested parties downloaded the solicitation. Two (2) proposals were received in response to the solicitation on April 20, 2023. After an initial evaluation by a panel of Authority employees, two (2) proposers were selected to be shortlisted and interviewed. Each shortlisted proposer was asked to submit a best and final offer after their interview. Best and final offers were reviewed by a panel of Authority employees in accordance with established Procurement Department policies and procedures. After negotiations, the proposal of Ave Automedia, Inc., dba SHARE Mobility, was determined to be the most advantageous proposal to the Authority. A total contract amount not to exceed \$300,000.00 was agreed upon. Since the original service began on December 14, 2022, this contract term will expire on the same date as the original, micro transit program, June 14, 2024.

Ave Automedia, Inc., dba SHARE Mobility, is a leading provider of mobility-as-a-service ("MaaS") solutions based in Columbus, OH. They have experience working with private employers to create commuter programs for their employees. They have experience providing micro transit solutions with local transit authorities and governments, including Chillicothe Transit, COTA, the City of Dublin, Cuyahoga Community College and the Authority, among others.

The proposed solution will serve the Authority's customers with last/first mile service for jobs located in the Brook Park – Aerozone industrial park area. A cost analysis was performed, and the Procurement Department has determined the offer of Ave Automedia, Inc., dba SHARE Mobility, to be fair and reasonable for the Authority.

- 4.0 AFFIRMATIVE ACTION/DBE BACKGROUND: All Affirmative Action requirements have been met. A 0% DBE goal was established for this procurement due to the lack of certified DBE firms.
- 5.0 POLICY IMPACT: Does not apply.
- 6.0 ECONOMIC IMPACT: The contract will be funded through the RTA Development Fund, Programming & Planning Department budget, including but not limited to, 2022 Ohio Transit Partnership Program ("OTP2") funds for a total contract amount not to exceed \$300,000.00 (\$200,000.00 in OTP2 funds which represents 66.7% of the total cost).
- 7.0 ALTERNATIVES: Reject this offer. Rejection of this offer would delay or prohibit efforts to evaluate additional micro transit solutions intended to provide the Authority's riders with first and last mile transit service to and from the Authority facilities and riders' places of employment.
- 8.0 RECOMMENDATION: This procurement was discussed by the Board of Trustees at the July 18, 2023 Organizational, Services & Performance Monitoring Committee meeting. It is recommended that the offer of Ave Automedia, Inc., dba SHARE Mobility, be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 ATTACHMENTS: None.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

RESOLUTION NO. 2023-51

AUTHORIZING CONTRACT NO. 2023-017 WITH AVE AUTOMEDIA, INC., DBA SHARE MOBILITY, TO PROVIDE MICRO TRANSIT PROGRAM SERVICES, IN AN AMOUNT NOT TO EXCEED \$300,000.00 (RTA DEVELOPMENT FUND, PROGRAMING & PLANNING DEPARTMENT BUDGET)

WHEREAS, the Greater Cleveland Regional Transit Authority ("Authority") has been seeking to test micro transit solutions for first and last mile transit services between Authority facilities and riders' places of employment; and

WHEREAS, as a result of a competitive, negotiated solicitation, the Authority's Board of Trustees authorized Resolution No. 2022-32 with Ave Automeia, Inc., dba SHARE Mobility, to provide such services to customers originating from the Southgate Transit Center in an amount not to exceed \$300,000.00, for a period of eighteen months, commencing on December 14, 2022; and

WHEREAS, with additional funding available, the Authority continues to seek additional micro transit solutions; and

WHEREAS, the proposal of the Ave Automeia, Inc., dba SHARE Mobility, to provide micro transit program services to customers in the Brook Park -- Aerozone industrial park area, was received on April 20, 2023; and

WHEREAS, after negotiations, an amount not to exceed \$300,000.00 was agreed upon with a term expiring on June 14, 2024, which is eighteen months from the start of the original program at Southgate Transit Center; and

WHEREAS, the General Manager, Chief Executive Officer deems the offer of Ave Automeia, Inc., dba SHARE Mobility, to provide micro transit program services in the Brook Park - Aerozone industrial park area, to be in the best interest of the Authority and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the offer of Ave Automeia, Inc., dba SHARE Mobility, to provide micro transit program services to the Authority in the Brook Park - Aerozone industrial park area be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a contract with Ave Automeia, Inc., dba SHARE Mobility, to provide said services.

Section 3. The contract will be funded through the RTA Development Fund, Programming & Planning Department budget, including but not limited to 2022 Ohio Transit Partnership Program ("OTP2") funds for a total contract amount not to exceed \$300,000.00 (\$200,000.00 in OTP2 funds which represents 66.7% of the total cost).

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon compliance by the contractor with the Specifications and Addenda, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements and all applicable laws relating to contractual obligations of the Authority.

Section 5. That the Greater Cleveland Regional Transit Authority's Board of Trustees expects that Ave Automedia, Inc., dba SHARE Mobility, will attempt to exceed the 0% minimum DBE goal assigned to this procurement.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: July 25, 2023

President

Attest: _____

Secretary-Treasurer



TITLE/DESCRIPTION: CONTRACT: PROJECT 24X - A/E SERVICES FOR E. 79 TH STREET LIGHT RAIL STATION RECONSTRUCTION VENDOR: RICHARD L. BOWEN & ASSOCIATES, INC. AMOUNT: NOT TO EXCEED \$859,825.01	Resolution No.: 2023-52
	Date: July 20, 2023
	Initiator: Engineering & Project Development Department
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This resolution will allow the Greater Cleveland Regional Transit Authority ("Authority") to enter into a contract for Project 24X – A/E Services for E. 79th Street Light Rail Station Reconstruction.

- 2.0 **DESCRIPTION/JUSTIFICATION:** The East 79th Street Light Rail Station was built in the 1980's and is located on the Blue and Green Lines. The station is elevated above the street level and is accessed by a single stairway. No ADA accessible entrance is provided. The concrete platforms and walks are deteriorating and beyond their useful life. Many of the lights along the stair way are no longer functional.

- 3.0 **PROCUREMENT BACKGROUND:** The Request for Proposals ("RFP") was posted on the Authority's Procurement website and advertised in the local newspapers. Forty-four (44) interested parties, including potential subcontractors, downloaded the solicitation package. Services were solicited through a competitive negotiated procurement, utilizing the Brooks Act procedures. Under this process, the Authority's evaluation panel first selects the most technically qualified firm, solicits a pricing proposal from that firm, and negotiates price only with that firm. Should the Authority determine that an agreement could not be reached with the most qualified firm, it may reject that proposal and repeat the process with the next most qualified firm. In this instance, negotiations were held with Richard L. Bowen & Associates, Inc., the first ranked firm, and an acceptable price was reached.

 Richard L. Bowen & Associates, Inc. has successfully completed projects for Lorain County, the Central Ohio Transit Authority, the Authority, and Norfolk Southern, among others.


 The Procurement Department performed a cost analysis and determined the proposed pricing from Richard L. Bowen & Associates Inc., in an amount not to exceed \$859,825.01, to be fair and reasonable to the Authority. This negotiated price is approximately 3% below the Engineer's Estimate of \$886,731.00.

- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** All Affirmative Action requirements have been met. A 14% DBE goal was established for this procurement. Richard L. Bowen & Assoc., Inc. has committed to achieving the DBE participation goal through the utilization of Advanced Engineering Consultants (Asian Pacific male-owned) in the amount of \$75,920.00, Knight & Stolar, Inc. (Caucasian female-owned) in the amount of \$35,960.00, and Resource International (Subcontinent Asia female-owned) in the amount of \$173,827.00, for a total of \$285,707.00 or 33%.

- 5.0 **POLICY IMPACT:** Does not apply.

- 6.0 ECONOMIC IMPACT: This procurement shall be payable through the RTA Development Fund, Engineering & Project Development Department budget, including but not limited to pending FFY 2022 Federal Formula Grant in an amount not to exceed \$500,000.00 and pending FFY 2023 Federal Formula Grant in an amount not to exceed \$359,825.01, for a total amount not to exceed \$859,825.01.
- 7.0 ALTERNATIVES: Reject this offer. Rejection of this offer will prevent the Authority from meeting federal and state regulatory requirements.
- 8.0 RECOMMENDATION: This procurement was discussed by the Board of Trustees at the July 18, 2023 Operational Planning & Infrastructure Committee meeting. It is recommended that the offer from Richard L. Bowen & Associates, Inc. be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 ATTACHMENTS: None.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.


General Manager, Chief Executive Officer

RESOLUTION NO. 2023-52

AUTHORIZING CONTRACT NO. 2023-048 WITH RICHARD L. BOWEN & ASSOCIATES, INC. FOR PROJECT 24X - A/E SERVICES FOR E. 79TH STREET LIGHT RAIL STATION RECONSTRUCTION IN AN AMOUNT NOT TO EXCEED \$859,825.01 (RTA DEVELOPMENT FUND - ENGINEERING & PROJECT DEVELOPMENT DEPARTMENT BUDGET)

WHEREAS, the Greater Cleveland Regional Transit Authority ("Authority") needs to contract with a firm to provide engineering services for design and construction support for its E. 79th Street Light Rail Station described under Project 24X; and

WHEREAS, the proposal of Richard L. Bowen & Associates, Inc., with an office located at 2019 Center St. Suite 500, Cleveland, Ohio 44113, to perform said services was received on April 26, 2023 in response to a competitive solicitation; and

WHEREAS, after negotiations, Richard L. Bowen & Associates, Inc. has agreed to perform the required services in an amount not to exceed \$859,825.01; and

WHEREAS, the General Manager, Chief Executive Officer deems the offer of Richard L. Bowen & Associates, Inc., to be the most advantageous to the Authority, and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the negotiated offer of Richard L. Bowen & Associates, Inc., to provide services under Project 24X – A/E Services for E. 79th Street Light Rail Station Reconstruction, be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a contract with Richard L. Bowen & Associates, Inc. for the performance of said services.

Section 3. This procurement shall be payable through the RTA Development Fund, Engineering & Project Development Department budget, including but not limited to pending FFY 2022 Federal Formula Grant in an amount not to exceed \$500,000.00 and pending FFY 2023 Federal Formula Grant in an amount not to exceed \$359,825.01, for a total contract amount not to exceed \$859,825.01.

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon compliance by the contractor to the Specifications and Addenda, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements and all applicable laws relating to contractual obligations of the Authority.

Section 5. That the Greater Cleveland Regional Transit Authority's Board of Trustees expects that Richard L. Bowen & Associates, Inc. will attempt to exceed the 14% minimum DBE goal assigned to this procurement.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: July 25, 2023

President

Attest: _____
Secretary-Treasurer



TITLE/DESCRIPTION: CONTRACT: PROJECT 12F – ADDITION OF 515 TURNOUT AT THE WEST END OF BROOKPARK YARD VENDOR: HATZEL & BUEHLER, INC. AMOUNT: \$2,715,000.00	Resolution No.: 2023-53
	Date: July 20, 2023
	Initiator: Engineering & Project Development Department
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This resolution will allow the Greater Cleveland Regional Transit Authority ("Authority") to enter into a contract to provide construction services for Project 12F – Addition of 515 Turnout at the West End of Brookpark Yard.
- 2.0 **DESCRIPTION/JUSTIFICATION:** The contract scope consists of a complete signalized turnout at the west end of Brookpark Yard. A partial list of the work includes: design of the new and revised signal system, provision of new switch machines and layouts, snowmelter and control case, snowmelter elements, signals, (W568) equipment case, pushbuttons, long wire loops, track circuits, cabling, bonding, installation, testing and commissioning of the complete system, and other work indicated in the contract documents.
- 3.0 **PROCUREMENT BACKGROUND:** The Invitation for Bids ("IFB") was posted on the Authority's Procurement website and advertised in the local newspapers. Twenty-eight (28) interested parties, including potential subcontractors, downloaded the solicitation package. Three (3) bids were received and opened on May 24, 2023 as follows:

Company Name	Total Base Bid
Hatzel & Buehler, Inc.	\$2,715,000.00
RailWorks Track Services, LLC	\$2,900,000.00
Clark Transportation Consulting & Services, LLC DBA Bison Rail Systems	\$3,177,238.27

The Basis of Award is the lowest responsive bid from a responsible bidder for the Total Base Bid price. The Total Base Bid price of \$2,715,000.00 from Hatzel & Buehler, Inc. is 9.48% less than the Engineer's Estimate of \$2,999,500.00. Hatzel & Buehler, Inc. was determined to be a responsible bidder.

A price analysis has been performed, and the bid of Hatzel & Buehler, Inc. has been determined by the Procurement Department to be fair and reasonable to the Authority.

- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** All Affirmative Action requirements have been met. A 12% DBE goal was established for this procurement. Hatzel and Buehler, Inc. has committed to achieving the DBE participation goal through the utilization of Safeguard Associates, Inc. (African American male-owned) in the amount \$175,084.00, 60% equals \$105,050.00 and Cook Paving & Construction Co., Inc. (African American female-owned) in the amount of \$220,750.00 for a total of \$325,800.00 or 12%.
- 5.0 **POLICY IMPACT:** Does not apply.

- 6.0 **ECONOMIC IMPACT:** This contract shall be payable from the RTA Development Fund, Engineering & Project Development Department budget, including but not limited to Capital Grant OH-2016-039 (\$581,227.89), OH-2019-007 (\$840,549.61), OH-2021-050-337 (\$712,490.81), and OH-2018-002 (\$580,731.69) in an amount not to exceed \$2,715,000.00 (\$2,172,000.00 in federal funds which represents 80% of the total cost).
- 7.0 **ALTERNATIVES:** Reject this bid. Rejection of this bid would leave the Authority without an operable track and signal system to accommodate new rail cars.
- 8.0 **RECOMMENDATION:** This procurement was discussed by the Board of Trustees at the July 18, 2023 Operational, Planning and Infrastructure Committee meeting. It is recommended that the bid from Hatzel & Buehler, Inc. be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 **ATTACHMENTS:** None

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.


General Manager, Chief Executive Officer

RESOLUTION NO. 2023-53

AUTHORIZING CONTRACT NO. 2023-61 WITH HATZEL & BUEHLER, INC. FOR PROJECT 12F – ADDITION OF 515 TURNOUT AT THE WEST END OF BROOKPARK YARD IN AN AMOUNT NOT TO EXCEED \$2,715,000.00 (RTA DEVELOPMENT FUND, ENGINEERING & PROJECT DEVELOPMENT DEPARTMENT BUDGET)

WHEREAS, the Greater Cleveland Regional Transit Authority (“Authority”) deems it necessary to acquire construction services, as specified, under Project 12F – Addition of 515 Turnout at the West End of Brookpark Yard; and

WHEREAS, the bid of Hatzel & Buehler, Inc., located at 1200 Resource Dr., Suite 10, Cleveland, OH 44131, was received on May 24, 2023 in an amount not to exceed \$2,715,000.00; and

WHEREAS, the General Manager, Chief Executive Officer deems the bid of Hatzel & Buehler, Inc. to be the lowest responsive bid from a responsible bidder and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the bid of Hatzel & Buehler, Inc. for Project 12F – Addition of 515 Turnout at the West End of Brookpark Yard, be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a contract with Hatzel & Buehler, Inc. for Project 12F – Addition of 515 Turnout at the West End of Brookpark Yard.

Section 3. This contract shall be payable from the RTA Development Fund, Engineering & Project Development Department budget, including but not limited to Capital Grant OH-2016-039 (\$581,227.89), OH-2019-007 (\$840,549.61), OH-2021-050-337 (\$712,490.81), and OH-2018-002 (\$580,731.69) in an amount not to exceed \$2,715,000.00 (\$2,172,000.00 in federal funds which represents 80% of the total cost).

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon compliance by the contractor to the Specifications and Addenda, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements and all applicable laws relating to contractual obligations of the Authority.

Section 5. That the Greater Cleveland Regional Transit Authority's Board of Trustees expects that Hatzel & Buehler, Inc. will attempt to exceed the 12% minimum DBE goal assigned to this procurement.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: July 25, 2023

President

Attest: _____
Secretary-Treasurer



TITLE/DESCRIPTION: CONTRACT: MAGNETIC FARE MEDIA VENDOR: EDM TECHNOLOGY INC. AMOUNT: NTE \$650,865.00 FOR THE BASE THREE YEAR TERM, WITH TWO ADDITIONAL ONE-YEAR OPTIONS NOT TO EXCEED \$226,573.50 PER YEAR, FOR A TOTAL CONTRACT NOT TO EXCEED \$1,104,012.00	Resolution No.: 2023-54
	Date: July 20, 2023
	Initiator: Revenue Department
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This resolution will allow the Greater Cleveland Regional Transit Authority ("Authority") to enter into a contract with EDM Technology, Inc. for the purchase of fare media cards, as required, for a period of three years, with two additional one-year options.
- 2.0 **DESCRIPTION/JUSTIFICATION:** The Authority requires fare media cards compatible with their fareboxes and ticket vending machines used for fare collection throughout the Authority. Cards require either thermal or non-thermal coating. This contract includes magnetic plastic non-thermal cards, magnetic paper thermal cards, and magnetic plastic thermal cards.
- 3.0 **PROCUREMENT BACKGROUND:** The Invitation for Bids ("IFB") was posted on the Authority's Procurement website and advertised in the local newspapers. Notifications were sent out to fourteen interested parties, and eight interested parties accessed and/or downloaded the solicitation. Two responsive bids were received on June 14, 2023 as follows:

Company	Section 1 Base 3 Years	Section 1 Two Option Years	Section 1 Total
EDM Technology, Inc.	\$142,500.00	\$100,000.00	\$242,500.00
Magnetic Ticket & Label	\$241,749.00	\$169,301.00	\$411,050.00

Company	Section 2 Base 3 Years	Section 2 Two Option Years	Section 2 Total
EDM Technology, Inc.	\$508,365.00	\$353,147.00	\$861,512.00
Magnetic Ticket & Label	No-Bid	No-Bid	No-Bid

EDM Technology, Inc. was determined to be a responsible bidder. The total bid amount not to exceed \$1,104,012.00 is 4.3% above the estimate.

- 4.0 AFFIRMATIVE ACTION/DBE BACKGROUND: All Affirmative Action requirements have been met. A 0% DBE goal was established for this procurement due to a lack of certified DBE firms.
- 5.0 POLICY IMPACT: Does not apply.
- 6.0 ECONOMIC IMPACT: This procurement will be funded through the General Fund, Revenue Department budget, in an amount not to exceed \$650,865.00 for the base three-year contract, and in an amount not to exceed \$226,573.50 for each of two option years, for a total contract amount not to exceed \$1,104,012.00.
- 7.0 ALTERNATIVES: Reject this bid. Rejection of this bid would delay product delivery and prevent the sale of various magnetic strip passes and farecards distributed at retail stores, by customer service, and online that are used with the electronic fareboxes and ticket vending machines.
- 8.0 RECOMMENDATION: This procurement was discussed by the Board of Trustees at the July 18, 2023 Organizational, Services & Performance Monitoring Committee meeting. It is recommended that the bid of EDM Technology, Inc. be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 ATTACHMENTS: None

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.


General Manager, Chief Executive Officer

RESOLUTION NO. 2023-54

AUTHORIZING CONTRACT NO. 2023-65 WITH EDM TECHNOLOGY, INC. FOR THE PURCHASE OF MAGNETIC FARE MEDIA, AS REQUIRED, FOR A PERIOD OF THREE YEARS, WITH TWO ADDITIONAL ONE-YEAR OPTIONS, IN AN AMOUNT NOT TO EXCEED \$650,865.00 FOR THE BASE TERM AND IN AN AMOUNT NOT TO EXCEED \$226,573.50 FOR EACH OPTION YEAR, FOR A TOTAL CONTRACT AMOUNT NOT TO EXCEED \$1,104,012.00 (GENERAL FUND, REVENUE DEPARTMENT BUDGET)

WHEREAS, the Greater Cleveland Regional Transit Authority ("Authority") requires a vendor to supply magnetic polyester non-thermal cards, paper thermal cards, and polyester thermal fare cards to dispense from electronic fareboxes and ticket vending machines; and

WHEREAS, this procurement was divided into two sections and vendors were able to bid on one or both of the sections; and

WHEREAS, the total bid of EDM Technology, Inc., located at 210 Old Thomasville Rd, High Point, NC 27260, for the furnishing of magnetic fare media, was received on June 14, 2023, at unit prices resulting in an amount not to exceed \$650,865.00 for the base three years and in an amount not to exceed \$226,573.50 for each of two option years, for a total contract amount not to exceed \$1,104,012.00; and

WHEREAS, the General Manager, Chief Executive Officer deems the total bid of EDM Technology, Inc. to be the lowest responsive bid from a responsible bidder and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the bid of EDM Technology, Inc. for the furnishing of magnetic fare media, as specified and as required, be and the same is hereby accepted as the lowest responsive bid from a responsible bidder.

Section 2. That the General Manger, Chief Executive Officer be and she is hereby authorized to enter into a contract with EDM Technology, Inc. for the furnishing of magnetic fare media, as specified and as required, for a period of three years with the authority to exercise two, additional one-year options.

Section 3. That said contract shall be funded through the General Fund, Revenue Department budget, in an amount not to exceed \$650,865.00 for the three base years and in an amount not to exceed \$226,573.50 for each of two option years, for a total contract amount to exceed \$1,104,012.00.

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon future funding, compliance by the contractor to the Specifications and Addenda, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements and all applicable laws relating to contractual obligations of the Authority.

Section 5. That the Greater Cleveland Regional Transit Authority's Board of Trustees expects that EDM Technology, Inc. will attempt to exceed the 0% minimum DBE goal assigned to this procurement.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: July 25, 2023

President

Attest:

Secretary-Treasurer



TITLE/DESCRIPTION: CONTRACT: FURNISHING OF ANTIFREEZE, AS SPECIFIED AND AS REQUIRED, FOR A PERIOD OF ONE (1) YEAR VENDOR: SAFETY-KLEEN SYSTEMS, INC. AMOUNT: NOT TO EXCEED \$120,128.50	Resolution No.: 2023-55
	Date: July 20, 2023
	Initiator: Fleet Management Department
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This action will allow the Greater Cleveland Regional Transit Authority ("Authority") to enter into a contract for the procurement of antifreeze, as specified and as required, for a period of one (1) year.
- 2.0 **DESCRIPTION/JUSTIFICATION:** The Authority has a recurring need for antifreeze for the maintenance of all revenue and non-revenue vehicles.
- 3.0 **PROCUREMENT BACKGROUND:** The Invitation for Bids (IFB) was posted on the Authority's Procurement website and advertised in the local newspapers. Thirteen (13) interested parties downloaded the solicitation. Two (2) responsive bids were received on June 1, 2023.

Vendor	Unit of Measure	Anticipated Usage (Gallons)	Unit Cost Per Gallon	Total Cost
Safety-Kleen Systems Inc.	Bulk	20,000	\$5.35	\$ 107,000.00
	Drum	1,925	\$6.82	\$ 13,128.50
Total Cost				\$120,128.50
Great Lakes Petroleum	Bulk	20,000	\$6.37	\$ 127,400.00
	Drum	1,925	\$7.37	\$ 14,187.25
Total Cost				\$141,587.25

The bid of Safety-Kleen Systems Inc. has been determined by the Procurement Department to be the lowest responsive bid from a responsible bidder.

A price analysis has been performed, and the Procurement Department has determined the price to be fair and reasonable to the Authority.

- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** All Affirmative Action requirements have been met. A 0% DBE goal was established for this procurement due to the lack of certified DBE firms.
- 5.0 **POLICY IMPACT:** Does not apply.

- 6.0 **ECONOMIC IMPACT:** This procurement will be funded through the General Fund, Fleet Management Department budget, in an amount not to exceed \$120,128.50 for a period of one (1) year. The bid price is approximately 18% above the budget estimate.
- 7.0 **ALTERNATIVES:** Reject this bid. Rejection of this bid would delay procurement of this product and cause the Authority to be without antifreeze, adversely affecting the maintenance of all revenue and non-revenue vehicles.
- 8.0 **RECOMMENDATION:** It is recommended that the bid of Safety-Kleen Systems Inc. be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 **ATTACHMENT:** None

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.


General Manager, Chief Executive Officer

RESOLUTION NO. 2023-55

AUTHORIZING CONTRACT NO. 2023-067 WITH SAFETY-KLEEN SYSTEMS, INC. FOR THE FURNISHING OF ANTIFREEZE, AS SPECIFIED AND AS REQUIRED, FOR A PERIOD OF ONE (1) YEAR IN AN AMOUNT NOT TO EXCEED \$120,128.50 (GENERAL FUND, FLEET MANAGEMENT DEPARTMENT BUDGET)

WHEREAS, the Greater Cleveland Regional Transit Authority ("Authority") has a recurring need to obtain antifreeze, as specified and as required, for a period of one (1) year, to be used by the Authority for routine maintenance of all revenue and non-revenue vehicles; and

WHEREAS, the bid of Safety-Kleen Systems Inc., located at 42 Longwater Drive, Norwell, Massachusetts 02061, for the furnishing of antifreeze, as specified and as required, for a period of one (1) year was received on June 1, 2023 at unit prices resulting in a total contract amount not to exceed \$120,128.50; and

WHEREAS, the General Manager, Chief Executive Officer deems the bid of Safety-Kleen Systems Inc., to be the lowest responsive and responsible bid and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the bid of Safety-Kleen Systems Inc., for the furnishing of antifreeze, as specified and as required, for a period of one (1) year be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a contract with Safety-Kleen Systems Inc., for the furnishing of antifreeze, as specified and as required, for a period of one (1) year.

Section 3. That said contract shall be payable from the General Fund, Fleet Management Department budget, in an amount not to exceed \$120,128.50 for a period of one (1) year.

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon compliance by the contractor to the Specifications and Addenda, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements; and all applicable laws relating to contractual obligations of the Authority.

Section 5. That the Greater Cleveland Regional Transit Authority's Board of Trustees expects that Safety-Kleen Systems Inc. will attempt to exceed the 0% minimum DBE goal assigned to this procurement.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: July 25, 2023

President

Attest: _____
Secretary- Treasurer



TITLE/DESCRIPTION: CONTRACT: ON CALL TESTING AND REPORTING 2023 VENDOR: ECS MIDWEST, LLC AMOUNT: NOT TO EXCEED \$200,000.00 FOR TWENTY-FOUR MONTH PERIOD	Resolution No.: 2023-56
	Date: July 20, 2023
	Initiator: Engineering & Project Development Department
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This action will allow the Greater Cleveland Regional Transit Authority ("Authority") to enter into a contract for On Call Testing and Reporting services for a period of twenty-four (24) months.
- 2.0 **DESCRIPTION/JUSTIFICATION:** This project is to obtain professional services to provide testing and reporting services. Tasks will be issued to support various projects throughout the Authority. The services will be authorized on an as needed basis.
- 3.0 **PROCUREMENT BACKGROUND:** The Request for Proposals ("RFP") was posted on the GCRTA Procurement website and advertised in the local newspapers. Eighteen (18) interested parties, including potential subcontractors, downloaded the solicitation package and two (2) responsive proposals were received. These services were solicited through a competitive negotiated procurement, utilizing the Brooks Act Procedures. Under this process, the Authority's evaluation panel first selects the most technically qualified firm, solicits a pricing proposal from that firm, and negotiates price only with that firm. Should the Authority determine that an agreement could not be reached with the most qualified firm, it may reject that proposal and repeat the process with the next most qualified firm. In this instance, negotiations were held with ECS Midwest, LLC, the first ranked firm, and an acceptable price was reached.

 ECS Midwest, LLC has successfully completed projects for the GCRTA, Cuyahoga County Department of Public Works, the Northeast Ohio Regional Ohio Sewer District, and the City of Shaker Heights, among others.

 The Procurement Department performed a cost analysis and determined the proposed pricing from ECS Midwest, LLC in an amount not to exceed \$200,000.00 for a period of twenty-four (24) months to be fair and reasonable to the Authority.
- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** All Affirmative Action requirements have been met. A 5% DBE goal was established for this procurement. ECS Midwest, LLC has committed to achieving the DBE participation goal through the utilization of Denise's Flagging & Construction Services (African American female-owned) in the amount of \$10,000.00 or 5%.
- 5.0 **POLICY IMPACT:** Does not apply.

- 6.0 **ECONOMIC IMPACT:** This procurement shall be payable through the RTA Capital and/or RTA Development Fund, Engineering & Project Development Department budget, including but not limited to, 100% local funds and FTA Grants to be determined, in an amount not to exceed \$200,000.00 for a period of twenty-four (24) months. This is the budgeted amount for this project.
- 7.0 **ALTERNATIVES:** Reject this offer. Rejection of this offer would leave the Authority without the ability to have testing performed as required to complete construction and engineering projects.
- 8.0 **RECOMMENDATION:** This procurement was discussed by the Board of Trustees at the July 18, 2023 Operational Planning and Infrastructure Committee meeting. It is recommended that the offer from ECS Midwest, LLC be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 **ATTACHMENTS:** None

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

RESOLUTION NO. 2023-56

AUTHORIZING CONTRACT NO. 2023-080 WITH ECS MIDWEST, LLC FOR PROJECT 19.78 – ON CALL TESTING AND REPORTING 2023 IN AN AMOUNT NOT TO EXCEED \$200,000.00 FOR A PERIOD OF TWENTY-FOUR (24) MONTHS (RTA CAPITAL AND/OR DEVELOPMENT FUND, ENGINEERING & PROJECT DEVELOPMENT DEPARTMENT BUDGET)

WHEREAS, the Greater Cleveland Regional Transit Authority ("Authority") requires task order inspection services and construction management support services; and

WHEREAS, the proposal of ECS Midwest, LLC, with an office located at 1125 Valley Belt Road, Brooklyn Heights, Ohio 44131, to perform said services was received on June 1, 2023 in a response to a competitive solicitation; and

WHEREAS, after negotiations, ECS Midwest, LLC has agreed to perform the required services in an amount not to exceed \$200,000.00 for a period of twenty-four (24) months; and

WHEREAS, the General Manager, Chief Executive Officer deems the offer of ECS Midwest, LLC to be the most advantageous to the Authority, finds the price to be fair and reasonable for said services, and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the negotiated offer of ECS Midwest, LLC to provide various task order services under Project 19.78 On Call Testing and Reporting 2023 be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a contract with ECS Midwest, LLC for the performance of said services.

Section 3. This procurement shall be payable through the RTA Capital and/or RTA Development Fund, Engineering & Project Development Department budget, including but not limited to 100% local funds and FTA Grants to be determined, in an amount not to exceed \$200,000.00 for a period of twenty-four (24) months.

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon future funding, compliance by the contractor to the Specifications and Addenda, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements and all applicable laws relating to contractual obligations of the Authority.

Section 5. That the Greater Cleveland Regional Transit Authority's Board of Trustees expects that ECS Midwest, LLC will attempt to exceed the 5% minimum DBE goal assigned to this procurement.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: July 25, 2023

President

Attest: _____
Secretary-Treasurer

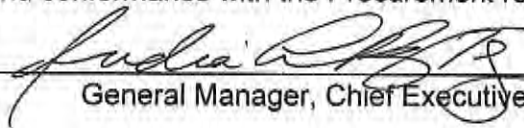


TITLE/DESCRIPTION: ADOPTING TAX BUDGET FOR THE FISCAL YEAR 2024	Resolution No.: 2023-57
	Date: July 20, 2023
	Initiator: Office of Management & Budget

ACTION REQUEST:
 Approval Review/Comment Information Only Other _____

- 1.0 PURPOSE/SCOPE: This action will adopt the tax budget for the Greater Cleveland Regional Transit Authority ("Authority") for the fiscal year beginning January 1, 2024 ("FY 2024 Tax Budget") and submit the same to the Cuyahoga County Fiscal Officer.
- 2.0 DESCRIPTION/JUSTIFICATION: This action is taken as a matter of recommended policy for the reasons described in section 5.0 below.
- 3.0 PROCUREMENT BACKGROUND: Does not apply.
- 4.0 DBE/AFFIRMATIVE ACTION BACKGROUND: Does not apply.
- 5.0 POLICY IMPACT: A tax budget is prepared as a measure of sound fiscal policy which demonstrates timely budgeting and appropriation procedures. It is an element of financial prudence that may bolster the Authority's credit rating. Creation of a tax budget also fosters communication and is a needed step for the development of the FY 2024 appropriations budget. Failing to adopt the FY 2024 Tax Budget would demonstrate a lower level of financial responsibility.
- 6.0 ECONOMIC IMPACT: A tax budget establishes the estimates of revenues for the year and defines, in very broad terms, the limits of anticipated expenditures. The FY 2024 Tax Budget is presented with \$321.9 million in revenues, the largest source from the Sales & Use Tax estimated at \$269.4 million. Operating expenditures budgeted at \$289.4 million along with transfers to other funds of \$40.8 million will bring total expenditures to \$330.2 million. The ending balance for the FY 2024 Tax Budget is estimated at \$25.6 million, which represents a 1-month operating reserve.
- 7.0 ALTERNATIVES: Not adopting the FY 2024 Tax Budget. This would reduce the Authority's ability to demonstrate fiscal prudence and impact the preparation of the FY 2024 appropriations budget.
- 8.0 RECOMMENDATION: This budget was reviewed by the Operational Planning & Infrastructure Committee on July 18, 2023. It is recommended that the FY 2024 Tax Budget be adopted and filed.
- 9.0 ATTACHMENTS: None.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.


 General Manager, Chief Executive Officer

RESOLUTION NO. 2023-57

ADOPTING THE TAX BUDGET OF THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY FOR THE FISCAL YEAR BEGINNING JANUARY 1, 2024, AND SUBMITTING THE SAME TO THE CUYAHOGA COUNTY FISCAL OFFICER

WHEREAS, a tax budget for the Greater Cleveland Regional Transit Authority ("Authority") for the fiscal year ("FY") beginning January 1, 2024 ("FY 2024 Tax Budget") has been prepared for the purpose of providing an estimate of revenues to be received for such fiscal year, including all taxes, user fees, and other types of revenues, as well as estimates of all expenditures and outlays for such fiscal year to be paid or met from the said revenue, all in conformance with sound financial practices; and

WHEREAS, the FY 2024 Tax Budget has been made available for public inspection in the Authority's Office of Management and Budget, posted on the Authority's website, and posted on the Authority's Twitter and Facebook pages; and

WHEREAS, the Board of Trustees held a public hearing on July 18, 2023 on the FY 2024 Tax Budget, of which public notice was given by publication in the Plain Dealer on June 18, 2023 and in the Call and Post on June 21, 2023.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the FY 2024 Tax Budget of the Greater Cleveland Regional Transit Authority, heretofore prepared and submitted to this Board of Trustees, copies of which are on file in the Office of Management and Budget, with any revisions to said tentative budget incorporated therein, is hereby adopted as the official Tax Budget of said Authority for the fiscal year beginning January 1, 2024.

Section 2. That the Director of the Office of Management and Budget is hereby authorized and directed to transmit to the Cuyahoga County Fiscal Officer a certified copy of the FY 2024 Tax Budget and a copy of this resolution.

Section 3. That this resolution shall become effective immediately upon its adoption.

Attachments: FY 2024 Tax Budget

Adopted: July 25, 2023

President

Attest: _____
Secretary-Treasurer

2024 TAX BUDGET

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815

TOWER CITY

RTA

OUR MISSION: CONNECTING THE COMMUNITY



Greater Cleveland
Regional Transit Authority

FY 2024 TAX BUDGET

To: Rev. Charles P. Lucas, President
and Members, Board of Trustees

Date: July 13, 2023

From: India L. Birdsong
General Manager, Chief Executive Officer

Subject: FY 2024 Tax Budget

EXECUTIVE SUMMARY

The Fiscal Year (FY) 2024 Tax Budget is an initial analysis of the financial trends affecting revenues, with preliminary forecasts on expenditures and service levels of the Authority. It is a forward-looking document that reviews estimated revenues for the next fiscal year. It is a valuable tool in the budget development process.

The Authority continues to "Connect the Community" through the current mobile ticketing app Transit App with EZfare. Customers can purchase tickets for multiple agencies (transit agencies, Uber, Lyft, scooters, etc), as well as see when the next bus or train will arrive and plan their trips. The Transit Ambassador program consists of ten Ambassadors and four Crisis Intervention Specialists who interact with customers and provide information for purchasing passes, routes, getting on/off the bus, reporting safety issues, and much more. The Crisis Intervention Specialists also assist customers to other community services when needed.

U.S. Senator Sherrod Brown (D-OH) joined Congresswoman Shontel Brown (OH-11) and FTA Administrator Nuria Fernandez on Friday, May 5, 2023 to announce the Infrastructure Investment and Jobs Act grant award of \$130 million to the Greater Cleveland Regional Transit Authority ("Authority") for the replacement of rail cars. This funding will be added to the approximately \$213 million the Authority has already secured. The new rail car fleet will be made up of high floor light rail vehicles with doors that allow access from existing heavy rail platforms and street level light rail platforms. This enables the new rail cars to operate across the entire rail network. These new vehicles will be built by Siemens Mobility Incorporated.

The Authority continues to focus on several initiatives and projects as part of our strategic plan. The initiatives will enhance the riders' experience, enable better connectivity to the community, highlight the Authority as an economic driver, and elevate the region as a transportation leader.

General Fund Assumptions

Inflation

Assumption:

4.5%

Rationale:

From January 2021 through June 2022, the inflation rate rose from 1.4% to 9.1%. This is the sharpest increase since 1981. Since July 2022, the inflation rate has started dropping and at the end of April 2023, was at 4.9%, slightly lower than the interest rate. At the May 5, 2023 Federal Open Market Committee (FOMC) meeting, the FOMC projected core inflation in 2023 to drop between 4.0% and 4.5%. Many economists foresee inflation falling to 4.0% by the end of 2023 and stabilizing between 3.5% and 4.0% by the end of 2024.

Interest Rates

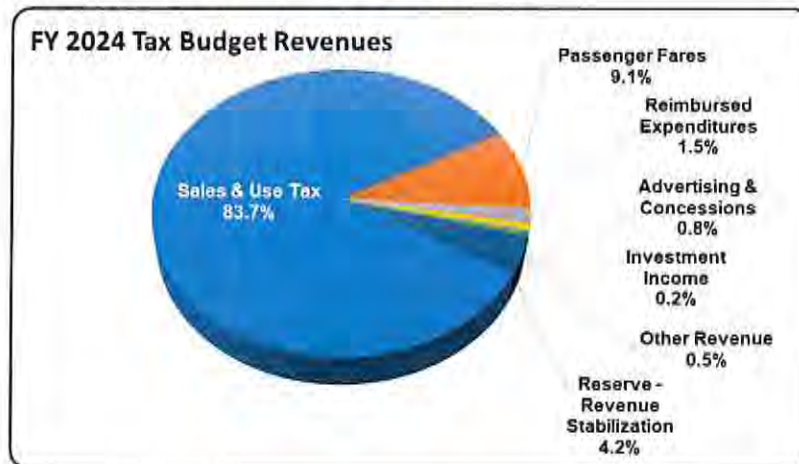
Assumption:

4.8%

Rationale:

The Federal Reserve announced at its May 5, 2023 meeting that the Federal Funds Rate (FFR) increased by another 0.25% bringing interest rates up to 5.08%. Interest rates are expected to remain stable, then start to decline by the end of 2024 as the economy remains slow. The average interest rate for 2024 is estimated at 4.8%.

REVENUES



Passenger Fares

Assumption:

\$29.3M

Rationale:

Actual Passenger Fare Revenue received through May 2023 totaled \$10.9 million. This amount is 1.0% above 2022 actual receipts for the same period. Total Passenger Fares for 2023 are estimated at \$28.7 million, 0.08% below 2022 actual revenues. Based on current trends, we are projecting ridership to continue to increase slowly in 2024. Total passenger fares for 2024 are projected at \$29.3 million.

Advertising & Concessions

Assumptions:

<i>Advertising Contract and Concessions</i>	<i>\$2.0M</i>
<i>Naming Rights: HealthLine, CSU Line, and MetroHealth Line</i>	<i>\$485K</i>
<i>Total</i>	<i>\$2.5 M</i>

Rationale:

Advertising and Concessions revenue is composed of two subcategories. The first subcategory is comprised of the current advertising contract, concessions, and the new contract for advertising on the bus shelters. The second category is the Naming Rights, which includes Cleveland Clinic Foundation and University Hospitals for the HealthLine and area shelters, Cleveland State University for the CSU Line and Tri-C District for E. 34th Rapid Station. The Advertising & Concessions category is projected to total \$2.5 million in 2024 and is projected to remain steady each year thereafter for 2025 through 2026 based on contractual terms.

Sales & Use Tax Revenue

Assumptions:

<i>Sales & Use Tax</i>	<i>\$269.4M</i>
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Rationale:

Sales Tax for 2023 is estimated to increase nearly 5.0% compared to 2022. The Authority receives a 1.0% Sales and Use Tax on sales of tangible personal property and on other transactions subject to the state Sales and Use Tax within Cuyahoga County. The 1% tax is of unlimited duration and was approved by the voters of Cuyahoga County in July 1975. The tax is levied and collected at the same time and on the same transactions as the permanent 5.25% Sales and Use Tax levied by the State, plus the 0.5% temporary state tax, 1.0% levied by Cuyahoga County and a special 0.25% levied by Cuyahoga County.

The estimate for the 2024 Tax Budget is based on actual receipts through June 2023, with projections anticipating slow recovery in consumer spending. Sales tax receipts are projected to increase by 2.0% in 2025 and in 2026.

Investment Income

Assumption:

\$600K

Rationale:

The estimate for Investment Income for 2024 totals \$600,000. The Federal Reserve Bank anticipates interest rates to remain steady in 2023 and slowly decrease in 2024. The estimated Investment Income is based on an average rate earned over the prior three years. The Investment Income is projected to remain constant in FY 2025 and FY 2026. In 2023, the Authority has received an average yield of 4.0% on all investments.

Other Revenue

Assumptions:

\$15.0M

Rationale:

This revenue category includes various miscellaneous receipts from contractors, hospitalization, claim reimbursements, rent, salvage sales, transfers from the revenue stabilization fund, and sale of identification cards. These miscellaneous items are budgeted at \$1.5 million. The estimated \$13.5 million revenue stabilization is reserved to be transferred to the General Fund to ensure a one-month operating ending balance required by Board policy. For the 2024 Tax Budget, the total for Other Revenue is budgeted at \$15.0 million.

Reimbursed Expenditures

Assumptions:

Preventive Maintenance Activities

\$1.0M

Reimbursed Labor

\$2.0M

Other Reimbursements

\$2.0M

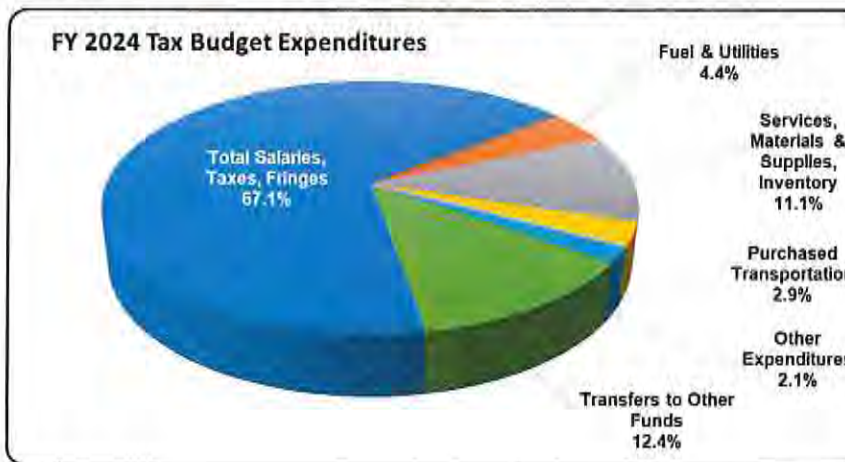
Total

\$5.0M

Rationale:

This category is composed of reimbursement of labor costs for capital projects, preventive maintenance activities within the Operating Budget, and diesel fuel tax refunds. For the 2024 Tax Budget, revenues from Reimbursed Expenditures are budgeted at \$5.0 million. For FY 2025 and FY 2026 the authority will retain \$1.0 million of preventive maintenance funds. This will enable the Authority to use the grant funding for unfunded and underfunded capital projects. Other reimbursements include reimbursed labor, and other reimbursements, which are projected at \$2.0 million each.

EXPENDITURES



Salaries and Overtime

Assumption:

\$161.3M

Rationale:

Total salaries and overtime for the 2024 Tax Budget are estimated at \$161.3 million and account for 48.9% of RTA's General Fund expenses. Total wages for 2024, including payroll taxes and fringes, are estimated to increase by 3.2% compared to FY 2023 projection. This

increase is based on negotiated union payouts and salary increases negotiated and announced during the current fiscal year.

Payroll Taxes and Fringes

Assumption: **\$60.2M**

Rationale:

Payroll Taxes and Fringe Benefits are budgeted at \$60.2 million for the 2024 Tax Budget and account for about 18.2% of General Fund expenses. The main expenses include payroll taxes, health care, prescription, vision, dental, uniform allowances, Medicare and PERS (pension) expenses.

Fuel

Assumption: **\$10.2M**

Rationale:

The fuel category includes diesel, compressed natural gas, gasoline, electric propulsion power, and propane. The Energy Price Risk Management Program has helped to stabilize the cost of fuel, which has been one of GCRTA's most volatile expenses. The Authority's diesel fuel usage has decreased over the years as more CNG buses are placed into service and older diesel vehicles are retired. For 2024, 20 new CNG buses are planned to be placed in operation and diesel fuel usage will further decrease as these newer buses are introduced.

Other Expenditures

Assumptions:

<i>Utilities</i>	\$4.2M
<i>Inventory</i>	\$12.6M
<i>Services & Materials</i>	\$24.2M
<i>Purchased Transportation/ADA</i>	\$9.7M
<i>Other Expenditures</i>	\$6.9M
<i>Total</i>	\$57.6M

Rationale:

The Utilities category includes natural gas (for facility heating), water/sewer, electricity, and telephone expenses. This category is budgeted at \$4.2 million for the 2024 Tax Budget or 1.3% of all General Fund expenditures. Electricity and natural gas usage are managed through contracts. The current contract for electricity began in 2022, which stabilized costs through 2027 at favorable prices for the Authority. Natural gas commodity costs are locked in through mid-2026.

Inventory is budgeted at \$12.6 million, or 3.8% of General Fund expenditures. The Supply Chain Management section of the Authority's Fleet Management Department has helped the Authority to maintain predictive maintenance and repairs on the Authority's newer fleets and carry out major purchases for supplies and equipment during the pandemic. The Authority continues to implement the predictive maintenance program that started in 2015, where parts are replaced based on a proactive maintenance program.

The main drivers of the Services and Materials category are service and maintenance contracts, advertising costs, equipment, and other miscellaneous supplies. This category is

budgeted at \$24.2 million for the 2024 Tax Budget, 7.3% of all General Fund expenditures. The out years are planned to increase slightly each year based on contracts and inflation.

Purchased Transportation/ADA is budgeted at \$9.7 million for the 2024 Tax Budget. The Authority entered into three new contracts in 2023. The "Other Expenditures" category include travel and meeting expenses, claims, and other miscellaneous expenses. For the 2024 Tax Budget, \$6.9 million is budgeted for this category.

Transfers

Assumption:

<i>Bond Retirement</i>	<i>\$9.3M</i>
<i>Capital Improvements</i>	<i>\$17.6M</i>
<i>Insurance Fund</i>	<i>\$3.0M</i>
<i>Reserve Fund</i>	<i>\$10.9M</i>
<i>Total</i>	<i>\$40.8M</i>

Rationale:

Transfers shown for the Bond Retirement are for the debt service less the investment income earned in the Bond Retirement Fund. The \$9.3 million transfer to the Bond Retirement Fund for 2024 is needed to pay the principal and interest on all debt for 2024, as well as to maintain the recommended ending balance.

The transfer to the Capital Improvement Fund covers 100% locally-funded capital projects in the RTA Capital Fund, as well as required local matches for most grant-funded projects in the RTA Development Fund. The total contribution to capital (transfer to the Capital Improvement Funds and Bond Retirement Fund), at 10.0%. The recommended Board policy of a minimum transfer of 10% of Sales & Use Tax revenue continues to reflect the significant financial requirements of the Authority's capital program.

The \$3.0 million transfer to the Insurance Fund is required to maintain the Fund Balance at the current \$5 million level and to cover expected expenses for the 2024 Fiscal Year. A transfer of \$10.9 million to the Reserve Fund will cover a \$10 million transfer for rail vehicles and \$0.9 million which covers 1/12 of 27th pay expenses.

FINANCIAL INDICATORS

The General Fund statement presented in this Tax Budget results in the following performance against the Authority's financial policy goals.

OPERATING EFFICIENCY

Operating Ratio: The Board policy requires a 25.0% ratio in operating revenues compared to total operating expenditures. This ratio shows the efficiency of management by comparing operating expenses to operating revenues. The 2024 Tax Budget yields a 11.2% ratio, which is below the policy objective.

Operating Reserve: The Operating Reserve is targeted for a period of 30 days, or 1 month, meaning the available cash equivalent to one month's operating expenses to cover any unforeseen or extraordinary fluctuations. At a projected ending balance of \$25.6 million, the Operating Reserve for the 2024 Tax Budget is budgeted at 1.1 months. The financial assistance received under the CARES Act, CRRSAA and ARP have helped sustain operations during the pandemic. The positive ending balance will ensure a level of stability in mitigating lingering effects of the pandemic on ridership and passenger fare revenue beyond 2026.

Growth per Year: This policy requires that growth in the cost per hour of service from year to year be at or below the rate of inflation. The cost per hour of service is a measure of service efficiency dividing total operating expenses by total service hours. The Growth per Year is the cost of delivering a unit of service (cost per hour of service) compared to the prior year. The inflation estimates for 2024 is 4.5%. The 2024 Tax Budget estimates the cost per hour of service at \$173.59, which is 2.5% above the FY 2023 estimate. This indicator is projected to be met.

CAPITAL EFFICIENCY

Debt Service Coverage: The Debt Service Coverage is a ratio measuring the Authority's ability to meet annual interest and principal payments on outstanding debts. The 2024 Tax Budget estimates the debt service coverage at 4.84, above Board policy minimum of 1.50. The authority reduced its debt by \$57.7 million in 2021, which has reduced the annual interest to be paid in future years.

Sales & Use Tax Contribution to Capital: Current Board policy requires that a minimum of 10.0% of the Sales & Use Tax receipts be applied to the capital needs of the Authority. These funds are used to meet the Authority's annual debt service payments, to provide the local match for grant funded capital projects, and to fund Routine Capital and Asset Maintenance projects included within the RTA Capital Fund. At 10.0%, this indicator is projected to be met.

Capital Maintenance to Expansion: Several years ago, the Board recognized that our emphasis must be to maintain the Authority's existing capital assets and revised this objective to a policy guideline of 75% to 90% of the Authority's capital projects. At 100% for the FY 2024 Tax Budget and each of the out years, the Authority's emphasis continues to be the maintenance of existing assets as opposed to expansion projects. Given the financial constraints of recent years, this continues to remain the best course as the Authority continues its bus replacement program, equipment upgrades, rail vehicle replacements, and rail infrastructure improvements.

CAPITAL IMPROVEMENT FUNDS

The Authority's Capital Improvement Funds are used to account for the acquisition, construction, replacement, repair and rehabilitation of major capital facilities and equipment. The Capital Improvement Funds are composed of grant funded projects as well as 100% locally funded items. The funds needed to meet the grant requirements typically require a 20% local

match. Financial resources are appropriated to sustain capital infrastructure needs through retention of investment earnings, contributions from Sales and Use Tax proceeds, as well as issuance of debt.

Transportation is a capital-intensive business and the Authority's focus has been on addressing various State of Good Repair (SOGR) projects. The Authority's priorities continue to include replacement of rail vehicles and maintenance and repair of rail infrastructure, including tracks, bridges, signals, and substations. Additional funding has been transferred in prior years above the 10% contributions to capital policy goal in order to tackle some of these projects. For the next three years, the funds received from Preventive Maintenance (PM) reimbursement will be reduced to \$1 million. This will enable funding to be used for unfunded or underfunded capital projects.

Financial resources are allocated through a comprehensive review process of capital projects, which prioritizes funding of requested projects. It continues to maintain the focus of the Authority's long-term strategic capital plan, as well as to address the existing and future financial and operational issues.

The 2024 through 2028 estimated capital expenditures are predicated on year-to-date outlays, obligations and projected commitments, as well as the approved five-year Capital Improvement Plan. Projected grant revenues include current, as well as, expected traditional and non-traditional grant awards and are based on a continuation of current FAST Act funding levels. Over the next ten years, the Authority's capital program will continue to focus on various SOGR projects throughout the system. These include the on-going bus replacement program, rail car purchases, rehabilitation of light rail track and rail infrastructure of signal and overhead catenary systems, and various facility improvement and upgrades.

BOND RETIREMENT FUND

The General Fund is the source of funds necessary to make the principal and interest payments for the Authority's outstanding debt. Such transfers represent the debt service less the investment income earned in the Bond Retirement Fund. Debt service payments of \$9.5 million are expected in the FY 2024 Tax Budget. The Authority has its debt rated highly by the major rating agencies (AA+ from Standards & Poor and Aa1 from Moody's).

INSURANCE FUND

The Insurance Fund includes a combination of self and purchased insurance coverages. Projected activities in the FY 2024 Tax Budget include a combined \$3.1 million for premium outlays and payments for the settlement of claims. This will require a \$3.0 million transfer from the General Fund to maintain the recommended fund balance set by the Authority's Risk Management Department.

SUPPLEMENTAL PENSION FUND

Authority employees who were employed by predecessor transit systems are covered by supplemental benefit payments. Activities expected within this fund in the FY 2024 Tax Budget

include \$1,100 of revenue from investment income, projected benefit payments of \$9,000 for remaining employees. An actuarial study is performed every two years to assess the adequacy of these funds. The authority retains a fund balance at the levels recommended by the actuarial evaluation.

LAW ENFORCEMENT FUND

In 1988, RTA became involved with the Northern Ohio Law Enforcement Task Force (NOLETF), a multi-jurisdictional force (formerly known as the Caribbean/Gang Task Force). RTA's involvement was prompted by increased gang activity found in and around the rail system and the need to obtain intelligence in this area. In addition to the benefits of intelligence gathering and improved inter-department relations, RTA derives revenue from seized and confiscated monies and/or properties of convicted drug dealers prosecuted by the Task Force.

Revenue obtained through the NOLETF may be expended for non-budgeted items for law enforcement purposes. Furthermore, certain guidelines have been instituted by the State Attorney General's Office for the reporting and disbursement of funds. Total expenditures for FY 2023 are estimated at \$20,000.

RESERVE FUND

In 2017, the Authority established the Reserve Fund to help protect the Authority from future economic downturns and cost increases. The Reserve Fund retains funding for six accounts: Compensated Absences, Fuel, Hospitalization, Rolling Stock, an account for budget years with 27 pay periods, and Revenue Stabilization. A transfer of \$10.9 million is budgeted in the FY 2024 Tax Budget for replacement of rail vehicles and 27th pay period expenses. A transfer of \$10 million will be made to the RTA Development Fund for the Rail Car Replacement and a transfer of \$13.5 million will be made to the General Fund from the Revenue Stabilization fund, ensuring a 1-month operating reserve at year-end.

In summary, the stimulus funding has helped to maintain a strong position. The Authority's biggest challenge is keeping up with the aging infrastructure and SOGR needs, where Federal, State and Local capital funding is crucial.

FY 2024 Tax Budget Funds Summary

	General Fund	RESTRICTED FUNDS							Total of All Funds
		RTA Development Fund	RTA Capital Fund	Bond Retirement Fund	Insurance Fund	Supplemental Pension Fund	Law Enforcement Fund	Reserve Fund	
CAPITAL FUNDS									
Revenues									
Operating Revenues									
Passenger Fares	\$ 29,311,171								\$ 29,311,171
Advertising & Concessions	2,061,751								2,061,751
Naming Rights	485,300								485,300
Investment Income	600,000	\$ 200,000	\$ 65,000	\$ 100,000	\$ 35,000	\$ 1,100	\$ 50	\$ 400,000	1,401,150
Total Operating Revenues	32,458,222	200,000	65,000	100,000	35,000	1,100	50	400,000	33,259,372
Non-Operating Revenues									
Sales & Use Tax	269,402,317								269,402,317
Reimbursed Expenditures	5,000,000								5,000,000
Federal			71,876,582						71,876,582
State			41,116,997						41,116,997
Other Revenue	1,500,000						30,000		1,530,000
Total Non-Operating Revenues	275,902,317	189,914,379	112,993,579	9,346,959	3,000,000	-	30,000	10,878,615	448,656,682
Transfers									
Transfer from General Fund			17,593,340	9,346,959	3,000,000			10,878,615	40,818,914
Transfer from RTA Capital Fund		14,500,000							14,500,000
Transfer from Reserve Fund									
For Rolling Stock Reserve		10,000,000							10,000,000
For Revenue Stabilization	13,200,000								13,200,000
Total Transfers	13,200,000	24,500,000	17,593,340	9,346,959	3,000,000	-	-	10,878,615	78,518,914
Total Revenues	321,801,159	164,214,379	17,658,340	9,446,959	3,035,000	1,100	20,050	11,278,615	448,656,682
Expenditures									
Operating Expenditures									
Salaries & Overtime	161,337,431								161,337,431
Payroll Taxes & Fringes	60,200,742								60,200,742
Fuel (Diesel, CNG, Propulsion Power, Propane, Gasoline)	10,247,887								10,247,887
Utilities	4,223,341								4,223,341
Inventory	12,600,000								12,600,000
Services, Materials & Supplies	24,192,812								24,192,812
Purchased Transportation	9,866,279								9,866,279
Other Expenditures	6,917,313								6,917,313
Total Operating Expenditures	289,385,805								289,385,805
Non-Operating Expenditures									
Capital Outlay		141,245,993	4,028,921	9,476,450					154,751,364
Debt Service (Principal & Interest)				1,500	3,050,000	9,000	20,000		3,080,500
Other Expenditures									
Total Non-Operating Expenditures	-	141,245,993	4,028,921	9,477,950	3,050,000	9,000	20,000	-	157,833,864
Sub-Total Expenditures	289,385,805	142,245,993	4,028,921	9,477,950	3,050,000	9,000	20,000	-	448,217,669
Revenues less Expenditures	22,472,354	21,968,386	13,629,419	(30,991)	(15,000)	(7,900)	50	11,218,615	479,013
Transfers to Other Funds									
Transfer to Insurance Fund	3,000,000								3,000,000
Transfer to Bond Retirement Fund	9,346,959								9,346,959
Transfer to RTA Capital Fund	17,593,340								17,593,340
Transfer to RTA Development Fund		14,500,000							14,500,000
Transfer to Reserve Fund									
For Rolling Stock Reserve	10,000,000							10,000,000	10,000,000
For 27th Pay	878,615								878,615
For Revenue Stabilization								13,200,000	13,200,000
Total Transfers to Other Funds	40,818,914	14,500,000	14,500,000	9,477,950	3,050,000	9,000	20,000	23,500,000	109,866,864
Total Expenditures	330,204,719	142,245,993	18,518,921	9,477,950	3,050,000	9,000	20,000	23,500,000	448,217,669
Net Increase (Decrease)	(8,341,560)	21,968,386	(670,581)	(30,991)	(15,000)	(7,900)	50	(12,221,385)	479,013
Beginning Balance	33,955,787	390,079,898	6,339,160	970,600	5,251,068	1,331,847	166,819	152,262,678	490,401,907
Available Ending Balance	\$ 25,614,227	\$ 312,048,278	\$ 5,668,579	\$ 939,609	\$ 5,240,068	\$ 1,323,947	\$ 166,819	\$ 140,041,293	\$ 490,880,920

General Fund Balance Analysis

	FY 2024 Tax Budget	FY 2025 Plan	FY 2026 Plan
Revenues			
Operating Revenues			
Passenger Fares	\$ 29,311,171	\$ 29,897,394	\$ 30,495,342
Advertising & Concessions	2,061,751	2,061,751	2,123,604
Naming Rights	485,300	485,300	485,300
Investment Income	600,000	600,000	600,000
Total Operating Revenues	32,458,222	33,044,445	33,704,246
Non-Operating Revenues			
Sales & Use Tax	269,402,937	274,790,996	280,286,816
Reimbursed Expenditures	5,000,000	5,000,000	5,000,000
Other Revenue	1,500,000	1,500,000	1,500,000
Transfer from Reserve Fund - Revenue Stabilization	13,500,000	18,000,000	19,000,000
Total Non-Operating Revenues	289,402,937	299,290,996	305,786,816
Total Revenues	321,861,159	332,335,441	339,491,062
Expenditures			
Operating Expenditures			
Salaries & Overtime	161,337,431	168,490,247	167,859,666
Payroll Taxes & Fringes	60,200,742	59,657,554	59,816,452
Fuel (Diesel, CNG, Propulsion Power, Propane, Gasoline)	10,247,887	9,951,267	9,198,673
Utilities	4,223,341	4,226,447	4,248,287
Inventory	12,600,000	12,700,000	12,700,000
Services, Materials & Supplies	24,192,812	24,237,434	24,411,882
Purchased Transportation	9,666,279	9,756,942	9,830,198
Other Expenditures	6,917,313	6,928,222	6,928,222
Total Operating Expenditures	289,385,805	295,948,113	294,993,380
Revenues (less Operating Expenses)	32,475,354	36,387,328	44,497,682
Transfers to Other Funds			
Transfers to/from Insurance Fund	3,000,000	3,000,000	3,000,000
Transfers to/from Reserve Fund	10,878,615	5,378,615	10,878,615
Transfers to/from Capital			
Transfers to/from Bond Retirement Fund	9,346,959	9,226,599	7,131,458
Transfers to/from Capital Improvement Fund	17,593,340	18,252,506	20,897,229
Total Transfers to/from Capital	26,940,299	27,479,105	28,028,687
Total Transfers to/from Other Funds	40,818,914	35,857,720	41,907,302
Total Expenditures	330,204,719	331,805,833	336,900,682
Net Increase (Decrease)	(8,343,560)	\$29,608	2,590,380
Beginning Balance	33,995,787	25,652,227	26,181,835
Estimated Ending Balance before Encumbrances	25,652,227	26,181,835	28,772,215
Estimated Available Ending Balance after Encumbrances	\$ 25,652,227	\$ 26,181,835	\$ 28,772,215
# Months Reserves - Estimated	1.06	1.06	1.17

Financial Policy Goals

		Goal	FY 2024 Tax Budget	FY 2025 Plan	FY 2026 Plan	
Operating Efficiency	Operating Ratio	Ratio that shows the efficiency of management by comparing operating expenses to operating revenues. Operating Revenues divided by Operating Expenses. Operating Revenues include Passenger Fares, Advertising & Concessions, Naming Rights, Investment Income, Other Revenue	$\geq 25\%$	11.2%	11.2%	11.5%
	Cost per Service Hour	Measure of service efficiency. Total Operating Expenses (less force account labor) divided by Total Service Hours		173.59	177.53	176.96
	Growth per Year	Cost of delivering a unit of service (Cot per Hour), compared to the prior year; to be kept at or below the rate of inflation	\leq Rate of Inflation	2.5%	2.3%	-0.3%
	Operating Reserve (months)	Equal or above one month's operating expenses to cover unforeseen or extraordinary fluctuations in revenues or expenses	≥ 1 month	1.1	1.1	1.2
Capital Efficiency	Debt Service Coverage	The measure of the Authority's ability to meet annual interest and principal payments on outstanding debt.	≥ 1.5	4.84	4.31	6.13
	Sales Tax Contribution to Capital	Sales tax revenues to be allocated directly to the Capital Improvement Fund to support budgeted projects or to the Bond Retirement Fund to support debt service payments.	$\geq 10\%$	10%	10%	10.0%
	Capital Maintenance to Expansion	The capital program requires a critical balance between maintenance of existing assets and expansion efforts.	79% - 90%	100%	100%	100%

Capital Improvement Fund Balance Analysis

	FY 2024 Tax Budget	FY 2025 Plan	FY 2026 Plan
Revenues			
Federal / State Revenues			
Federal Capital Grants	\$ 71,876,582	\$ 72,393,400	\$ 43,219,950
State Capital Grants	41,116,997	66,345,747	45,170,747
Total Federal / State Revenues	112,993,579	138,739,147	88,390,697
Other Revenue			
Investment Income	265,000	265,000	265,000
Other Revenue	26,520,794	32,500,000	25,000,000
Total Other Revenue	26,785,794	32,765,000	25,265,000
Transfers			
Transfer from General Fund	17,593,340	18,252,506	20,897,229
Transfer from Reserve Fund	10,000,000	10,000,000	10,000,000
Total Transfers	27,593,340	28,252,506	30,897,229
Total Revenue	167,372,713	199,756,653	144,552,926
Expenditures			
Capital Outlay			
Capital Outlay - Development Fund	142,245,993	173,495,767	145,965,697
Asset Maintenance	2,310,000	2,410,000	2,310,000
Routine Capital	1,718,921	1,627,524	1,636,353
Total Capital Outlay	146,274,914	177,533,291	149,912,050
Total Expenditures	146,274,914	177,533,291	149,912,050
Net Increase (Decrease)	21,097,799	22,223,362	(5,359,124)
Beginning Balance	296,419,058	317,516,857	339,740,219
Estimated Available Ending Balance	\$ 317,516,857	\$ 339,740,219	\$ 334,381,095

Bond Retirement Fund Balance Analysis

	FY 2024 Tax Budget	FY 2025 Plan	FY 2026 Plan
Revenues			
Transfers			
Transfer from the General Fund	\$ 9,346,959	\$ 9,226,599	\$ 7,131,458
Total Transfers	9,346,959	9,226,599	7,131,458
Other Revenues			
Investment Income	100,000	100,000	100,000
Total Other Revenues	100,000	100,000	100,000
Total Revenues	9,446,959	9,326,599	7,231,458
Expenditures			
Debt Service			
Principal	7,995,000	8,355,000	6,935,000
Interest	1,481,450	1,115,500	697,750
Total Debt Service	9,476,450	9,470,500	7,632,750
Other Expenditures			
Other Expenditures	1,500	1,500	1,500
Total Other Expenditures	1,500	1,500	1,500
Total Expenditures	9,477,950	9,472,000	7,634,250
Net Increase (Decrease)	(30,991)	(145,401)	(402,792)
Beginning Balance	970,600	939,609	794,208
Estimated Available Ending Balance	\$ 939,609	\$ 794,208	\$ 391,416

Insurance Fund Balance Analysis

	FY 2024 Tax Budget	FY 2025 Plan	FY 2026 Plan
Revenues			
Transfer from General Fund	\$ 3,000,000	\$ 3,000,000	\$ 3,000,000
Investment Income	35,000	35,000	35,000
Total Revenues	<u>3,035,000</u>	<u>3,035,000</u>	<u>3,035,000</u>
Expenditures			
Claims and Premium Outlay	3,050,000	3,113,000	3,100,000
Total Expenditures	<u>3,050,000</u>	<u>3,113,000</u>	<u>3,100,000</u>
Net Increase (Decrease)	(15,000)	(78,000)	(65,000)
Beginning Balance	5,255,068	5,240,068	5,162,068
Estimated Available Ending Balance	<u>\$ 5,240,068</u>	<u>\$ 5,162,068</u>	<u>\$ 5,097,068</u>

Supplemental Pension Fund Balance Analysis

	FY 2024 Tax Budget	FY 2025 Plan	FY 2026 Plan
Revenues			
Investment Income	\$ 1,100	\$ 1,100	\$ 1,100
Total Revenues	<u>1,100</u>	<u>1,100</u>	<u>1,100</u>
Expenditures			
Benefit Payments	9,000	9,000	9,000
Total Expenditures	<u>9,000</u>	<u>9,000</u>	<u>9,000</u>
Net Increase (Decrease)	(7,900)	(7,900)	(7,900)
Beginning Balance	1,331,847	1,323,947	1,316,047
Estimated Available Ending Balance	<u>\$ 1,323,947</u>	<u>\$ 1,316,047</u>	<u>\$ 1,308,147</u>

Law Enforcement Fund Balance Analysis

	FY 2024 Tax Budget	FY 2025 Plan	FY 2026 Plan
Revenues			
Law Enforcement Revenue	\$ 20,000	\$ 15,000	\$ 15,000
Investment Income	50	50	50
Total Revenues	20,050	15,050	15,050
Expenditures			
Capital & Related Expenditures	20,000	25,000	25,000
Total Expenditures	20,000	25,000	25,000
Net Increase (Decrease)	50	(9,950)	(9,950)
Beginning Balance	166,869	166,919	156,969
Estimated Available Ending Balance	\$ 166,919	\$ 156,969	\$ 147,019

Reserve Fund Balance Analysis

	FY 2024 Tax Budget	FY 2025 Plan	FY 2026 Plan
Revenues			
Transfers			
Transfer from GF for Rolling Stock Reserve	\$ 10,000,000	\$ 10,000,000	\$ 10,000,000
Transfer from GF for 27th Pay	878,615	878,615	878,615
Total Transfers	10,878,615	10,878,615	10,878,615
Other Revenue			
Investment Income	400,000	200,000	200,000
Total Other Revenue	400,000	200,000	200,000
Total Revenues	11,278,615	11,078,615	11,078,615
Expenditures			
Transfers			
Transfer to RTA Development Fund for Rolling Stock Reserve	10,000,000	10,000,000	10,000,000
Transfer to GF for 27th Pay	-	5,500,000	-
Transfer to GF for Revenue Stabilization	13,500,000	18,000,000	19,000,000
Total Transfers	23,500,000	33,500,000	29,000,000
Total Expenditures	23,500,000	33,500,000	29,000,000
Net Increase (Decrease)	(12,221,385)	(22,421,385)	(17,921,385)
Beginning Balance	152,262,678	140,041,293	117,619,908
Estimated Available Ending Balance	\$ 140,041,293	\$ 117,619,908	\$ 99,698,523
Rolling Balances			
Compensated Absences	\$ 3,803,000	\$ 3,807,983	\$ 3,813,414
Fuel	2,683,720	2,687,236	2,691,068
Hospitalization	2,747,483	2,751,082	2,755,006
Rolling Sck Reserve	728,506	729,461	730,501
27th Pay	6,551,337	1,937,387	2,825,358
Revenue Stabilization	123,527,246	105,706,760	86,883,175
Total Rolling Balances	\$ 140,041,293	\$ 117,619,908	\$ 99,698,523



TITLE/DESCRIPTION: REMOVING SECTION 644.14 PROFESSIONAL SOCIETY MEMBERSHIP FROM THE CODIFIED RULES AND REGULATIONS OF THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY, AS A DUPLICATE OF PERSONNEL POLICY 400.14, AND AMENDING PERSONNEL POLICY 400.14	Resolution No.: 2023-58
	Date: July 20, 2023
	Initiator: Human Resources
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	


- 1.0 PURPOSE/SCOPE: This resolution will remove Section 644.14 Professional Society Membership from the Codified Rules and Regulations ("Code Book") of the Greater Cleveland Regional Transit Authority ("Authority"), as duplicative of Personnel Policy 400.14 Professional Society Membership, and amend Personnel Policy 400.14.
- 2.0 DESCRIPTION/JUSTIFICATION: The Policies and Procedures of the Board of Trustees were codified in 1989, pursuant to Resolution 1989-176. The Code Book is undergoing a comprehensive review and update so that the Code Book will conform to the current structure and operations of the Authority.

Human Resources governing provisions are located in three places: (1) the Code Book, which provides the broad, overarching guidance for the Authority enacted by the Board of Trustees; (2) the Personnel Policies, which govern day-to-day operations and are approved by the Board of Trustees and (3) the Personnel Procedures, which contain detailed procedures that are not required to be approved by the Board of Trustees. The proposed action will remove a provision from the Code Book that is duplicative of a policy in the Personnel Policies and also amend Personnel Policy 400.14.
- 3.0 PROCUREMENT BACKGROUND: Does not apply.
- 4.0 AFFIRMATIVE ACTION/DBE BACKGROUND: Does not apply.
- 5.0 POLICY IMPACT: Adoption of the resolution will eliminate the duplication of a provision that is contained in both the Code Book and the Personnel Policies.
- 6.0 ECONOMIC IMPACT: Does not apply.
- 7.0 ALTERNATIVES: Not adopting this resolution. Not adopting this resolution would leave the same provision in both the Code Book and the Personnel Policies and would prevent amendment of the Policy.
- 8.0 RECOMMENDATION: This resolution was discussed at the July 18, 2023 Committee of the Whole meeting and recommended for consideration by the full Board of Trustees. It is recommended that this resolution be adopted.

9.0 ATTACHMENTS:

- A. Red-line of proposed amendment to Code Book Section 644.14
- B. Red-line of proposed amendments to Personnel Policy 400.14

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

Attachment A to Staff Summary

~~644.14 — PROFESSIONAL SOCIETY MEMBERSHIP.~~

~~(a) The Authority encourages those employees who are employed in a professional capacity and who provide professional services to the Authority to become members of and participate in professional societies. To the extent that the Authority is legally permitted to do so, the Authority will reimburse payment of dues, licensure, registration, and/or membership fees in professional organizations for individual staff members upon prior approval by the employee's Deputy General Manager.~~

~~(b) Payment for professional dues, licensure, registration and/or memberships shall not exceed five hundred dollars (\$500.00) per employee annually and shall be reimbursed to the employee upon submission of proof of payment by the employee.~~

~~(c) This policy shall be applicable to those employees whose job descriptions require that they are licensed or registered by the State of Ohio or others whose membership in such organizations is work-related and in the best interests of the Authority, as determined by the Deputy General Manager of Human Resources and the CEO/General Manager.~~

~~(Res. 2001-119. Passed 8-21-01; Res. 2013-95. Passed 9-17-13.)~~

Attachment B to Staff Summary

400.14 PROFESSIONAL SOCIETY LICENSES AND MEMBERSHIPS

This policy shall apply to those employees whose job descriptions require them to be licensed or registered by the State of Ohio as well as those employees whose membership in professional organizations is work-related and in the best interests of the Authority, as determined by the Deputy General Manager of Human Resources and the General Manager, Chief Executive Officer.

Professional Licenses

To the extent that the Authority is legally permitted to do so, the Authority will pay directly or reimburse the employee's payment of license or registration fees for employees whose job descriptions require them to be licensed or registered by the State of Ohio.

Individual Professional Memberships

The Authority encourages those employees who are employed in a professional capacity and who provide professional services to the Authority to become members of and participate in professional ~~societies~~organizations. To the extent that the Authority is legally permitted to do so, the Authority will pay directly or reimburse the employee's payment of professional dues, licensure, registration, and/ or membership fees in professional organizations for individual staff members, upon prior approval by the employee's Deputy General Manager.

Payment for professional dues, licensure, registration and/or membershipsSuch payments shall not exceed Five HundredOne Thousand Dollars (\$5001,000.00) per employee annually, and shall be reimbursedReimbursement will be made to the employee upon approval by the employee's Deputy General Manager/Executive Director and submission of proof of payment by the employee.

This policy shall be applicable to those employees whose job descriptions require that they are licensed or registered by the State of Ohio or others whose membership in such organizations is work-related and in the best interests of the Authority, as determined by the Deputy General Manager of Human Resources and the CEO/General Manager.

Group Professional Memberships

At the discretion of the Deputy General Manager/Executive Director, a Department or Division may subscribe to a professional group that benefits the employees of that Department or Division or the organization as a whole. Payment will be made from that Department or Division's operating budget.

RESOLUTION NO. 2023-58

REMOVING SECTION 644.14 PROFESSIONAL SOCIETY MEMBERSHIP FROM THE CODIFIED RULES AND REGULATIONS OF THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY, AS A DUPLICATE OF PERSONNEL POLICY 400.14, AND AMENDING PERSONNEL POLICY 400.14

WHEREAS, pursuant to Resolution No. 1989-176, the Board of Trustees of the Greater Cleveland Regional Transit Authority ("Authority") codified the resolutions establishing its policies and procedures; and

WHEREAS, the Authority has conducted a review and determined that Section 644.14 Professional Society Membership should be removed because it duplicates Section 400.14 of the Personnel Policies; and

WHEREAS, the Authority last updated the policy on professional society memberships in 2013 and staff has recognized the need to update Personnel Policy 400.14.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That Section 644.14 Professional Society Membership is hereby removed from the Codified Rules and Regulations of the Greater Cleveland Regional Transit Authority and retained as Section 400.14 of the Personnel Policies.

Section 2. That Section 400.14 of the Personnel Policies is hereby amended to read as specified in Attachment A hereto.

Section 3. That the Board of Trustees hereby waives the fourteen-day period provided for in Article XI, Section 2 of the Bylaws.

Section 4. That this resolution shall become effective immediately upon its adoption.

Attachment A: Personnel Policy 400.14

Adopted: July 25, 2023

President

Attest: _____
Secretary-Treasurer

Attachment A to Resolution

400.14 PROFESSIONAL LICENSES AND MEMBERSHIPS

This policy shall apply to those employees whose job descriptions require them to be licensed or registered by the State of Ohio as well as those employees whose membership in professional organizations is work-related and in the best interests of the Authority, as determined by the Deputy General Manager of Human Resources and the General Manager, Chief Executive Officer.

Professional Licenses

To the extent that the Authority is legally permitted to do so, the Authority will pay directly or reimburse the employee's payment of license or registration fees for employees whose job descriptions require them to be licensed or registered by the State of Ohio.

Individual Professional Memberships

The Authority encourages those employees who are employed in a professional capacity and who provide professional services to the Authority to become members of and participate in professional organizations. To the extent that the Authority is legally permitted to do so, the Authority will pay directly or reimburse the employee's payment of professional dues or membership fees for individual staff members. Such payments shall not exceed One Thousand Dollars (\$1,000.00) per employee annually. Reimbursement will be made to the employee upon approval by the employee's Deputy General Manager/Executive Director and submission of proof of payment by the employee.

Group Professional Memberships

At the discretion of the Deputy General Manager/Executive Director, a Department or Division may subscribe to a professional group that benefits the employees of that Department or Division or the organization as a whole. Payment will be made from that Department or Division's operating budget.



TITLE/DESCRIPTION: REMOVING SECTION 644.11 FROM THE CODIFIED RULES AND REGULATIONS OF THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY AS A DUPLICATE OF PERSONNEL POLICY 400.11	Resolution No.: 2023-59
	Date: July 20, 2023
	Initiator: Human Resources
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 PURPOSE/SCOPE: This resolution will remove Section 644.11 from the Codified Rules and Regulations ("Code Book") of the Greater Cleveland Regional Transit Authority ("Authority"), as a duplicate of Personnel Policy 400.11.
- 2.0 DESCRIPTION/JUSTIFICATION: The Policies and Procedures of the Board of Trustees were codified in 1989, pursuant to Resolution 1989-176. The Code Book is now undergoing a comprehensive review and update so that the Code Book will conform to the current structure and operations of the Authority.

Human Resources provisions are located in three places: (1) the Code Book, which provides the broad, overarching guidance for the Authority enacted by the Board of Trustees; (2) the Personnel Policies, which govern day-to-day operations and are approved by the Board of Trustees and (3) the Personnel Procedures, which contain detailed procedures that are not approved by the Board of Trustees. The proposed amendment will remove a provision from the Code Book that is an exact duplicate of a Personnel Policy.
- 3.0 PROCUREMENT BACKGROUND: Does not apply.
- 4.0 AFFIRMATIVE ACTION/DBE BACKGROUND: Does not apply.
- 5.0 POLICY IMPACT: Adoption of the resolution will eliminate the duplication of provisions in the Code Book and the Personnel Policies.
- 6.0 ECONOMIC IMPACT: Does not apply.
- 7.0 ALTERNATIVES: Not adopting this resolution. Not adopting this resolution would leave the same provision in both the Code Book and the Personnel Policies.
- 8.0 RECOMMENDATION: This resolution was discussed at the July 18, 2023 Committee of the Whole meeting and recommended for consideration by the full Board of Trustees. It is recommended that this resolution be adopted.
- 9.0 ATTACHMENTS: A. Red-line of proposed amendment to Section 644.11

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.

General Manager, Chief Executive Officer

Attachment A to Staff Summary

~~644.11 — WORKERS' COMPENSATION.~~

~~(a) Ohio Workers' Compensation Laws cover Authority employees. If an employee suffers a job-related injury or illness while employed by the Authority the employee may qualify for benefits through workers' compensation. Employees cannot, however, receive workers' compensation and salary continuation or other paid time off benefits at the same time.~~

~~(b) Should the employee suffer a job-related illness or injury that meets the requirements of Ohio Workers' Compensation Laws, the employee will be eligible for reasonable medical, surgical, and hospital, medication and equipment expenses required for treatment. There is a death benefit payable to beneficiaries of employees whose death is the direct result of a job-related accident or illness.~~

~~(c) Compensation is paid according to the laws in effect as of the date of the work-related injury, disability or death.
(Res. 2001-119. Passed 8-21-01; Res. 2013-95. Passed 9-17-13.)~~

RESOLUTION NO. 2023-59

REMOVING SECTION 644.11 FROM THE CODIFIED RULES AND REGULATIONS OF THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY AS A DUPLICATE OF PERSONNEL POLICY 400.11

WHEREAS, pursuant to Resolution No. 1989-176, the Board of Trustees of the Authority codified the resolutions establishing its policies and procedures; and

WHEREAS, the Authority has conducted a review and determined that Section 644.11 should be removed because it duplicates Section 400.11 of the Personnel Policies.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That Section 644.11 is hereby removed from the Codified Rules and Regulations of the Greater Cleveland Regional Transit Authority and retained as Section 400.11 of the Personnel Policies.

Section 2. That Personnel Policy 400.11 will remain unchanged.

Section 3. That the Board of Trustees hereby waives the fourteen-day period provided for in Article XI, Section 2 of the Bylaws.

Section 4. That this resolution shall become effective immediately upon its adoption.

Adopted: July 25, 2023

President

Attest: _____
Secretary-Treasurer



TITLE/DESCRIPTION: AMENDING SECTIONS 1014.01 TRANSIT AMENITIES AND 1014.02 VEHICLE ASSIGNMENT OF THE CODIFIED RULES AND REGULATIONS OF THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY	Resolution No.: 2023-60
	Date: July 20, 2023
	Initiator: Operations
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 PURPOSE/SCOPE: This resolution will amend Sections 1014.01 and 1014.02 of the Codified Rules and Regulations ("Code Book") of the Greater Cleveland Regional Transit Authority (the "Authority").
- 2.0 DESCRIPTION/JUSTIFICATION: The board-adopted Strategic Plan calls for RTA to improve the Transit Waiting Environment ("TWE") at bus stops. One way to improve TWE is to provide passenger shelters at stops. For many years, the policy standard was that "sufficient space is available and 50 or more daily riders are expected to use the shelter." In pursuit of the goal to improve TWE, the staff recommends that the minimum be changed from 50 to 30 daily riders. The change would make approximately 30 - 40 unsheltered bus stops eligible for a shelter if sufficient space is available.

In recent years, the process for vehicle assignment has been modified. At times, trolley vehicles are used on non-trolley routes. In addition, standard transit buses are not always assigned based on block mileage. In order to maintain a policy that is consistent with current practice, staff recommends updating the policy language to acknowledge the use of trolley vehicles on non-trolley service routes and to update the assignment of standard transit buses to reflect the NEXT GEN service profile.
- 3.0 PROCUREMENT BACKGROUND: Does not apply.
- 4.0 AFFIRMATIVE ACTION/DBE BACKGROUND: Does not apply.
- 5.0 POLICY IMPACT: Changing the policy, as recommended, promotes improvement of the TWE at bus stops and brings the vehicle assignment policy in line with the Authority's current practice.
- 6.0 ECONOMIC IMPACT: Does not apply.
- 7.0 ALTERNATIVES: Not adopting this resolution. The minimum threshold would remain at 50, and the vehicle assignment language in the Code Book would remain inconsistent with the Authority's current practice.
- 8.0 RECOMMENDATION: This resolution was discussed at the July 18, 2023 Committee of the Whole meeting and recommended for consideration by the full Board of Trustees. It is recommended that this resolution be adopted.
- 9.0 ATTACHMENT: Red-line of proposed amendments to Sections 1014.01 and 1014.02

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.

General Manager, Chief Executive Officer

Attachment A to Staff Summary

CHAPTER 1014 Service Policies

1014.01 Transit amenities.
1014.02 Vehicle assignment.
1014.03 Disparate impact.

1014.04 Disproportionate burden.
1014.05 Facilities.

1014.01 TRANSIT AMENITIES.

(a) The Authority seeks to provide seating and shelter at bus stops and rail stations if sufficient space is available and ~~fifty-thirty~~ or more daily riders are expected to use the shelter. The Authority considers a canopy to be one form of passenger shelter. The Authority installs and services waste receptacles only on Authority property. Each municipality decides whether to install and service waste receptacles in the public right-of-way.

(b) On Rail/BRT, printed and/or digital service information is attached to walls and shelters if they exist; digital displays are provided at busier stations. On bus routes, printed and/or digital service information is provided at transit centers and Park-N-Ride lots.

(c) Elevators will be installed and maintained to the extent required by the Americans with Disabilities Act. Existing escalators will remain in service unless they become cost-prohibitive to maintain.
(Res. 2017-58. Passed 7-18-17.)

1014.02 VEHICLE ASSIGNMENT.

(a) Euclid Corridor vehicles are specially designed for use on the HealthLine with its combination of left-side and right-side stations. These buses may not be utilized on any other route.

(b) Standard articulated buses must be assigned to routes whose schedules have been built for high-capacity buses.

(c) Over-the-road buses have narrow aisles, lack rear doors and are not well suited to regular transit routes where passengers board and alight at the same stop. These vehicles shall be assigned exclusively to Park-N-Ride routes.

~~(d) Trolleys may be assigned only to the designated trolley services. These vehicles carry the "Trolley" brand and will create passenger confusion if utilized on other services. Downtown Trolley service shall have first priority for assignment of "Trolley" branded vehicles. Trolley vehicles can also be assigned to regular bus routes; however, to prevent passenger confusion, these vehicles shall not be operated on non-trolley services in the downtown area.~~

Attachment A to Staff Summary

(e) ~~Standard transit buses shall be assigned to all other routes based on block mileage. Newer buses have lower per-mile maintenance costs and shall therefore be assigned to higher mileage blocks. Care shall be taken to maintain compliance with Title VI (nondiscrimination) regulations.~~ Standard transit buses shall be assigned to blocks based on the randomly occurring position of the bus in the garage at pull-out time. By avoiding systematic assignment of standard buses to routes, RTA expects to maintain compliance with Title VI (nondiscrimination) regulations. Monitoring will be conducted as required by Title VI to verify compliance.

RESOLUTION NO. 2023-60

AMENDING SECTIONS 1014.01 TRANSIT AMENITIES AND 1014.02
VEHICLE ASSIGNMENT OF THE CODIFIED RULES AND REGULATIONS OF
THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY

WHEREAS, pursuant to Resolution No. 1989-176, the Board of Trustees of the Greater Cleveland Regional Transit Authority ("Authority") codified the resolutions establishing its policies and procedures; and

WHEREAS, the Authority conducted a review and updated the Services Code in 2017; and

WHEREAS, the Authority has determined that Section 1014.01 Transit Amenities should be amended to reduce the minimum number of daily riders required for a passenger shelter; and

WHEREAS, the Authority has also determined that Section 1014.02 Vehicle Assignment should be amended to align with the Authority's current practice.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That Section 1014.01 Transit Amenities and Section 1014.02 Vehicle Assignment are hereby amended to read as specified in Attachment A hereto.

Section 2. That the Board of Trustees hereby waives the fourteen-day period provided for in Article XI, Section 2 of the Bylaws.

Section 3. That this resolution shall become effective immediately upon its adoption.

Attachments: A – Sections 1014.01 Transit Amenities and 1014.02 Vehicle Assignment

Adopted: July 25, 2023

President

Attest: _____
Secretary-Treasurer

Attachment A to Resolution

CHAPTER 1014 Service Policies

1014.01 Transit amenities.
1014.02 Vehicle assignment.
1014.03 Disparate impact.

1014.04 Disproportionate burden.
1014.05 Facilities.

1014.01 TRANSIT AMENITIES.

(a) The Authority seeks to provide seating and shelter at bus stops and rail stations if sufficient space is available and thirty or more daily riders are expected to use the shelter. The Authority considers a canopy to be one form of passenger shelter. The Authority installs and services waste receptacles only on Authority property. Each municipality decides whether to install and service waste receptacles in the public right-of-way.

(b) On Rail/BRT, printed and/or digital service information is attached to walls and shelters if they exist; digital displays are provided at busier stations. On bus routes, printed and/or digital service information is provided at transit centers and Park-N-Ride lots.

(c) Elevators will be installed and maintained to the extent required by the Americans with Disabilities Act. Existing escalators will remain in service unless they become cost-prohibitive to maintain.
(Res. 2017-58. Passed 7-18-17.)

1014.02 VEHICLE ASSIGNMENT.

(a) Euclid Corridor vehicles are specially designed for use on the HealthLine with its combination of left-side and right-side stations. These buses may not be utilized on any other route.

(b) Standard articulated buses must be assigned to routes whose schedules have been built for high-capacity buses.

(c) Over-the-road buses have narrow aisles, lack rear doors and are not well suited to regular transit routes where passengers board and alight at the same stop. These vehicles shall be assigned exclusively to Park-N-Ride routes.

(d) Downtown Trolley service shall have first priority for assignment of "Trolley" branded vehicles. Trolley vehicles can also be assigned to regular bus routes; however, to prevent passenger confusion, these vehicles shall not be operated on non-trolley services in the downtown area.

(e) Standard transit buses shall be assigned to blocks based on the randomly occurring position of the bus in the garage at pull-out time. By avoiding systematic

Attachment A to Resolution


assignment of standard buses to routes, RTA expects to maintain compliance with Title VI (nondiscrimination) regulations. Monitoring will be conducted as required by Title VI to verify compliance.



Greater Cleveland
Regional Transit Authority

Interoffice Memo

To: Rev. Charles P. Lucas, President
and Members, Board of Trustees

From: India L. Birdsong Terry
General Manager, Chief Executive Officer 

Date: July 20, 2023

Subject: Medina Agreement

At the July 25, 2023 Board Meeting the Board will be asked to act on a resolution authorizing the General Manager, Chief Executive Officer to enter into an agreement with Medina County to exchange \$600,000 in Section 5307 funds for local dollars. These are funds from 2023 which were allocated to Medina County Public Transit ("MCPT"). MCPT is in need of operating funds and has requested GCRTA to accept the funds on their behalf as capital dollars in exchange for local dollars.

MCPT has agreed to pay a portion of the cost of the Route 251 Flyer in the amount of \$66,000 for 2023, which will be deducted from the payment to MCPT.

This is an annual transaction which has been approved by the FTA.

Please contact me at 216-356-3100 if you have questions or require additional information prior to Tuesday's meeting.

ILB:cw



TITLE/DESCRIPTION: AUTHORIZING THE GENERAL MANAGER, CHIEF EXECUTIVE OFFICER TO ENTER INTO AN AGREEMENT WITH MEDINA COUNTY TO EXCHANGE OPERATING FUNDS FOR MEDINA COUNTY'S CAPITAL GRANT FUNDS AND TO PROVIDE FOR MEDINA COUNTY TO FUND A PORTION OF THE COST OF GCRTA'S 251 FLYER ROUTE	Resolution No.: 2023-61
	Date: July 20, 2023
	Initiator: Finance
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 PURPOSE/SCOPE: This action will authorize an agreement between Medina County and the Greater Cleveland Regional Transit Authority ("GCRTA") to exchange capital funds allocated to Medina County Public Transit ("MCPT") under Sections 5307 of Title 49 of the United States Code for operating funds. The agreement will also provide for Medina to fund a portion of the cost of the GCRTA's 251 Flyer route.
- 2.0 DESCRIPTION/JUSTIFICATION: This resolution will authorize the General Manager, Chief Executive Officer to enter into an agreement with Medina County to exchange a portion of MCPT's Section 5307 capital funds for local dollars. These are funds allocated by the Federal Transit Administration ("FTA") to MCPT in 2023 totaling \$600,000.00. MCPT has requested this exchange because its need for operating funds outweighs its need for capital funds. The agreement also states that \$66,000 will be deducted from the transfer to MCPT to pay for a portion of the cost of the 251 Flyer route for 2023.
- 3.0 PROCUREMENT BACKGROUND: Does not apply.
- 4.0 AFFIRMATIVE ACTION / DBE BACKGROUND: Does not apply.
- 5.0 POLICY IMPACT: Does not apply.
- 6.0 ECONOMIC IMPACT: The economic impact on GCRTA will be an increase of \$600,000 in federal funds to the RTA Development Fund and a \$534,000 decrease in the RTA General Fund, Department 43 Pass thru Account.
- 7.0 ALTERNATIVES: GCRTA could reject MCPT's request and risk losing the use of these funds in the Cleveland urbanized area due to MCPT's inability to use these funds for capital expenditures.
- 8.0 RECOMMENDATION: It is recommended that the resolution be adopted to ensure that the Cleveland urbanized area does not forfeit these funds.
- 9.0 ATTACHMENT: Draft agreement with Medina County

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.

General Manager, Chief Executive Officer

AGREEMENT BETWEEN
THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY AND
BOARD OF MEDINA COUNTY COMMISSIONERS FOR EXCHANGE OF
FUNDS

THIS AGREEMENT, entered into as of this ____ day of _____, 2023 by and between the Greater Cleveland Regional Transit Authority (hereinafter the "Authority" or "GCRTA"), having an office located at 1240 West 6th Street, Cleveland, Ohio 44113 and the Board of Medina County Commissioners, (hereinafter "Grantee") whose business address is 144 N. Broadway St., Medina, Ohio 44256 (collectively, the "Parties").

WITNESSETH: THAT

WHEREAS, the Federal Transit Administration ("FTA") apportions funds each year to urbanized areas in Ohio under Section 5307 of Title 49 of the United States Code ("Section 5307") based on their transit and other data; and

WHEREAS, transit systems have three years to obligate their apportioned funds or the funds will lapse and return to FTA; and

WHEREAS, Medina County Public Transit ("MCPT") is a public transit system operated by Grantee; and

WHEREAS, FTA apportioned Section 5307 funds for **FFY 2023** to MCPT but MCPT will not be able to utilize all of these funds; and

WHEREAS, MCPT has a need for operating funds, but FTA funds apportioned to large urbanized areas cannot be used by any transit system for operating expenses; and

WHEREAS, GCRTA can arrange to accept Section 5307 funds from FTA on behalf of MCPT and supply local dollars in exchange for those funds; and

WHEREAS, GCRTA has agreed to supply local dollars to MCPT in exchange for the Section 5307 funds from FTA; and

WHEREAS, GCRTA is providing 12 months of transportation services known as the 251 Flyer Route ("Transportation Services") from January 1, 2023 through December 31, 2023, for a total cost of \$66,000.00; and

WHEREAS, Grantee wishes to have GCRTA provide the Transportation Services for calendar year 2023; and

WHEREAS, GCRTA is willing to provide and Grantee is willing to pay for the Transportation Services during calendar year 2023 at the rate of \$5,500.00 per month, totaling \$66,000.00 for 2023.

NOW, THEREFORE, in consideration of the mutual covenants hereinafter set forth, this Agreement is made to set forth the terms and conditions upon which GCRTA will accept Section 5307 funds from FTA on behalf of Grantee and disburse local dollars ("Operating Funds") to Grantee and the terms and conditions under which Grantee will use the Operating Funds.

SECTION 1: Based upon mutual consent between the Grantee and GCRTA, GCRTA will apply for and accept Six Hundred Thousand Dollars (\$600,000.00) of 2023 Section 5307 funds on behalf of Grantee. GCRTA agrees to administer the federal funds pursuant to and in accordance with the terms of the applicable FTA grant agreement and conditions and within its capital program.

SECTION 2: Upon receipt of the Section 5307 funds from FTA, GCRTA will transfer Five Hundred Thirty-four Thousand Dollars (\$534,000.00) in Operating Funds to Grantee, which is the Six Hundred Thousand Dollars (\$600,000.00) requested less Sixty-six Thousand Dollars (\$66,000.00) for Transportation Services to be provided during 2023.

SECTION 3: The Grantee agrees to use the Operating Funds only for the purpose of public transportation.

SECTION 4: The Parties agree that this Agreement constitutes the entire agreement between the Parties hereto, that there are no agreements or understandings, implied or expressed, except as specifically set forth below, and that all prior agreements and understandings are merged into and contained in this Agreement.

SECTION 5: The Grantee shall be responsible for and hold GCRTA harmless from and against all findings for recovery issued by FTA or any other agency of competent jurisdiction, and any and all other claims, actions, judgments, costs, penalties, liabilities, damages, losses and expenses related to the application and/or disbursement of funds on behalf of Grantee under this Agreement or any misappropriation or use of the Operating Funds that is not in accordance with the terms of this agreement up to the total sum of funds transferred pursuant to this Agreement.

SECTION 6: All notices to be given pursuant to this Agreement shall be sufficient if given in writing, delivered in person, delivered by bonded delivery service or sent by registered or certified mail, postage prepaid, and in any case addressed to the respective party at its postal address or at such other address or addresses each may hereafter designate in writing. Notices sent by delivery service or commercial carrier shall be deemed effective and complete at the time of acceptance by delivery service or posting in accordance herewith. Notice shall be delivered or mailed to the parties at the addresses below:

Board of Medina County Commissioners
Shannon Rine
Director, Medina County Public Transit
6094 Wedgewood Rd.
Medina, Ohio 44256

Greater Cleveland Regional Transit Authority
India L. Birdsong Terry
General Manager, Chief Executive Officer
1240 West 6th Street
Cleveland, Ohio 441113

With a copy to the Deputy General Manager
for Legal Affairs

IN WITNESS WHEREOF, the Parties, hereto have caused this Agreement to be made, effective as of the _____ day of _____, 2023, by their respective duly authorized officials.

Board of Medina County Commissioners

Colleen Swedyk
President of the Board

Greater Cleveland Regional Transit Authority

India L. Birdsong Terry
General Manager, Chief Executive Officer

APPROVED AS TO LEGAL FORM AND
CORRECTNESS:

Janet E. Burney, General Counsel
Deputy General Manager for Legal
Affairs

RESOLUTION NO. 2023-61

AUTHORIZING THE GENERAL MANAGER, CHIEF EXECUTIVE OFFICER TO ENTER INTO AN AGREEMENT WITH MEDINA COUNTY TO EXCHANGE OPERATING FUNDS FOR MEDINA COUNTY'S CAPITAL GRANT FUNDS AND TO PROVIDE FOR MEDINA COUNTY TO FUND A PORTION OF THE COST OF GCRTA'S 251 FLYER ROUTE

WHEREAS, the Federal Transit Administration ("FTA") apportions funds each year to the urban transit systems in Ohio under Section 5307 of Title 49 of the United States Code ("Section 5307"), based on their transit data; and

WHEREAS, Medina County operates Medina County Public Transit ("MCPT"), an urban public transit system; and

WHEREAS, Medina County is in need of operating funds and, as a large, urbanized transit system under the Cleveland urbanized area, cannot use its federal funds for operating expenses; and

WHEREAS, Medina County has asked the Greater Cleveland Regional Transit Authority ("GCRTA") to apply for and accept its share of federal Section 5307 capital funds and disburse operating funds to Medina County for fiscal year 2023; and

WHEREAS, Medina County wishes to have GCRTA provide transportation services known as the 251 Flyer route during 2023; and

WHEREAS, Medina County is willing to provide a portion of the cost of operating GCRTA's 251 Flyer route; and

WHEREAS, GCRTA is willing to enter into an agreement with Medina County that provides for GCRTA to apply for and accept Medina County's share of federal Section 5307 capital funds and disburse operating funds to Medina County for fiscal year 2023 as well as for Medina County to provide a share of the funding for GCRTA's 251 Flyer route for fiscal year 2023.

NOW, THEREFORE, BE IT RESOLVED by the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the General Manager, Chief Executive Officer is hereby authorized to execute an agreement with Medina County providing for (1) GCRTA to apply for and accept Medina County's share of federal Section 5307 capital funds and disburse operating funds ("Local Funds") to Medina County for fiscal year 2023 and (2) Medina County to provide a share of the funding for GCRTA's 251 Flyer route during 2023.

Section 2. That the General Manager, Chief Executive Officer is hereby authorized to apply for a portion of Medina County's share of federal Section 5307 capital funds, use the federal funds in its capital program and disburse an equal amount, less Medina County's share of the funding for GCRTA's 251 Flyer route, in Local Funds to Medina County.

Section 3. That Medina County shall use the Local Funds only for the purpose of public transportation and shall be responsible for and hold the GCRTA harmless for any claims relating to the exchange of funds or misappropriation of the Local Funds.

Section 4. That the economic impact on GCRTA will be an increase of \$600,000 in federal funds to the RTA Development Fund and a \$534,000 decrease in the RTA General Fund, Department 43 Pass Thru Account.

Section 5. That this resolution is effective immediately upon its adoption.

Adopted: July 25, 2023

President

Attest:

Secretary-Treasurer



Greater Cleveland Regional Transit Authority
STAFF SUMMARY AND COMMENTS

TITLE/DESCRIPTION: AUTHORIZING THE GENERAL MANAGER, CHIEF EXECUTIVE OFFICER TO ENTER INTO AN INTERGOVERNMENTAL AGREEMENT WITH THE CLEVELAND METROPOLITAN SCHOOL DISTRICT FOR STUDENT FARES FOR THE 2023-2024 SCHOOL YEAR	Resolution No.: 2023-62
	Date: July 20, 2023
	Initiator: Finance
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

1.0 **PURPOSE/SCOPE:** This Intergovernmental Student Transportation Agreement between the Greater Cleveland Regional Transit Authority ("GCRTA") and the Cleveland Metropolitan School District ("CMSD") will provide a volume discount to CMSD for the purchase and payment of magnetic stripe farebox compatible picture card ID passes for the 2023-2024 school year. The purchase and payment will relieve some of the budgetary impact on the schools, as well as improve cash flow and accounts receivable activity for the GCRTA.

The CMSD school board approved this agreement at its June 27, 2023 board meeting.

2.0 **DESCRIPTION/JUSTIFICATION:** The proposed Intergovernmental Agreement with CMSD will allow for discounted rates provided the school district meets certain criteria. This proposal is a result of discussions with CMSD and will generate an estimated \$1,270,000.00 in revenue for GCRTA.

3.0 **PROCUREMENT BACKGROUND:** Does not apply.

4.0 **DBE/AFFIRMATIVE ACTION BACKGROUND:** Does not apply.

5.0 **POLICY IMPACT:** This action is consistent with the Board's policy to enter into intergovernmental agreements with school districts for student fares. Authorization of this Intergovernmental Student Transportation Agreement will allow GCRTA to continue to provide economical public transportation to students as well as promote ridership on public transportation.

6.0 **ECONOMIC IMPACT: (Revenue Generating)** Entering into this agreement will result in an estimated \$1,270,000.00 for GCRTA, as well as encourage students to ride public transportation.

7.0 **ALTERNATIVES:** Rejection of this action would result in hardship for the school district and could lead to CMSD's possible discontinuation of purchasing GCRTA's fare media for student transportation.

8.0 **RECOMMENDATION:** This resolution was discussed at the July 18, 2023 Operational Planning & Infrastructure Committee and recommended for consideration by the full Board of Trustees. It is recommended that this resolution be adopted.

9.0 ATTACHMENT: Draft Intergovernmental Student Transportation Agreement.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

**INTERGOVERNMENTAL STUDENT TRANSPORTATION AGREEMENT
BETWEEN THE
GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
AND THE
CLEVELAND METROPOLITAN SCHOOL DISTRICT
FOR THE 2023-2024 SCHOOL YEAR**

THIS AGREEMENT, by and between the Greater Cleveland Regional Transit Authority (hereinafter referred to as "GCRTA"), 1240 West 6th Street, Cleveland, Ohio 44113-1331, acting pursuant to Resolution No. _____, adopted by its Board of Trustees on _____, and the Cleveland Metropolitan School District (hereinafter referred to as the "District"), whose principal offices are located at 1111 Superior Ave E, Suite 1800, Cleveland, OH 44114, acting pursuant to Resolution No. _____, adopted by the Board of Education of the District on _____.

WITNESSETH: That

WHEREAS, the District is certified by the Ohio Department of Education to provide education services; and

WHEREAS, the District wishes to make transportation available to its enrolled students and to students on whose behalf it is obligated to provide transportation service; and

WHEREAS, the GCRTA is amenable to providing said service to the District's students; and

WHEREAS, the District and GCRTA wish to provide transportation in a controlled, efficient and cost-effective manner; and

WHEREAS, the District and GCRTA have entered into student transportation agreements since at least 2012 to memorialize the terms of such transportation; and

WHEREAS, the District and GCRTA entered into short-term agreements in 2020 and 2021 to address the District's reduced need for transportation during the COVID-19 pandemic without setting a precedent for future agreements; and

WHEREAS, the District and GCRTA returned to issuing magnetic stripe farebox compatible picture card ID passes to District students for the 2022-2023 school year; and

WHEREAS, as a result of the availability of improved ridership data to both parties, the District and GCRTA have decided to modify the pricing model for the 2023-2024 school year; and

WHEREAS, GCRTA will provide the District with a volume discount based on ridership for the 2023-2024 school year.

NOW, THEREFORE, the District and GCRTA, for good and valuable consideration including the mutual promises contained below, agree as follows:

1. TERM OF AGREEMENT

This Agreement shall commence on July 31, 2023, and shall continue through June 18, 2024.

2. PRICE AND TERMS OF SALE

a) Passes.

To improve controls and ensure valid use and costs, GCRTA and the District agree to issue magnetic stripe farebox compatible picture card ID passes ("Passes") to 13,000 District students for daily use on GCRTA vehicles as proof of payment, for a total estimated amount of \$1,270,000. The District agrees to obtain GCRTA's approval of any changes to the layout or format of the Passes. These Passes shall be valid for fares from 5:30 AM to 8:30 PM Monday through Friday from July 31, 2023 through June 18, 2024. Passes will be used by students during school days which may be different from school to school.

GCRTA will supply the Passes by July 28, 2023. Students will receive a free ride to school on GCRTA vehicles their first day of school.

- b) The cost of any student tickets that the District needs to purchase during the term of this agreement will be \$1.75 per ride. (These tickets will be referred to herein as "Tickets.")
- c) Tickets must be ordered on a separate written purchase order. The District shall not assess a charge in excess of this price for each Ticket. GCRTA shall deliver the number of Tickets specified in each order to the District's Transportation Department. The risk of loss for said Tickets shall pass to the District immediately upon delivery of the Tickets to the District. The District shall sign the accompanying invoice and immediately return the signed invoice to GCRTA's Accounts Receivable Department.
- d) Passes and Tickets may be used by District students in Grades 7 through 12, residing within the borders of Cuyahoga County and attending private or public schools certified by Ohio Department of Education. Passes may be used through the expiration date of the Passes stated in Section 2a above. Tickets may be used until the expiration date of the Tickets. Passes and Tickets may be used on regular routes operated by the GCRTA for the purpose of transporting fare paying passengers. Passes and Tickets are non-transferable.

Non-students using Passes or Tickets will either be denied service or required to pay the full fare.

e) Payment

The District shall pay ten monthly installments of One Hundred Twenty-seven Thousand Dollars (\$127,000) for the Passes, for a total estimated amount of One Million Two Hundred Seventy Thousand Dollars (\$1,270,000). GCRTA shall invoice the District on a monthly basis on the first day of the month. On a quarterly basis, GCRTA will perform a reconciliation to the actual number of rides taken by District students based on a price of \$1.70 per ride. Based on this reconciliation, GCRTA will invoice for any difference or issue a credit memo, as applicable.

GCRTA shall invoice the District for each order of Tickets and the District shall remit payment within 30 days of the date of the invoice.

Failure to make timely payment may lead to the termination of this agreement.

f) Invoices

Invoices will be directed to the District's Office of Transportation, Attention: Eric Taylor, Executive Director, Transportation.

g) Lost or Voided Passes

Reports of any Passes that were cancelled shall be reported to GCRTA on a weekly basis. GCRTA will assess a fee of \$10.00 for each lost or stolen Pass.

3. RIGHT TO TERMINATE AGREEMENT

If either Party becomes insolvent or fails to perform any undertaking essential to the purpose of this Agreement, or fails to conduct its business to the satisfaction of the other Party, then the other Party may cancel and terminate this Agreement by thirty (30) days' notice in writing to the defaulting Party, provided, however, that the defaulting Party may cure any failure of performance within thirty days of receipt of notice.

The expiration or termination, for any reason, of this Agreement shall be without prejudice to the rights of either Party.

4. STUDENT CONDUCT

a) In accordance with its representations in 2015, the District removed the following language from the 2016-2017 Student Code of Conduct and maintains its deletion from the 2023-2024 Student Code of Conduct:

A student suspended from the bus will be given two RTA tickets at the time of suspension. Additional RTA tickets will be provided, as needed, each day the student appears in school during the term of the bus suspension.

- b) The Board of Education of the District has adopted and maintains the policy outlined in Exhibit A hereto.

5. FORCE MAJEURE

If because of Force Majeure, either Party shall be unable to carry out any of its obligations under this Agreement, then those obligations shall be suspended to the extent made necessary by Force Majeure. The Party affected by Force Majeure shall give notice to the other Party as promptly as practical of the nature and probable duration of such Force Majeure. "Force Majeure" shall mean acts of God, riots, strikes, labor disputes, labor or material shortages, fires, explosions, floods, breakdowns of or damage to plants, equipment or facilities, or other causes of similar nature which are beyond the reasonable control of the Party and which wholly or partially prevent the timely performance of the Party's obligations under this Agreement, provided that the effect of such Force Majeure shall be eliminated insofar as possible with all reasonable dispatch; provided further, that performance of service obligations by the Party shall be excused only to the extent made necessary by the Force Majeure condition; provided further, that neither Party shall be required to settle a labor dispute on terms unacceptable to the Party affected; and provided further, that neither Party shall be required to rebuild all or a major portion of its facilities which are destroyed or substantially impaired by a Force Majeure condition. The Parties agree that, during the duration of the Force Majeure condition, neither will seek to declare the other in default for failure to timely perform its obligations under this Agreement.

6. RECORDS AND AUDITS

The District shall maintain books, records, documents, and other evidence directly pertinent to the performance of the Work under this Agreement in accordance with generally accepted accounting principles and practices consistently applied and Federal Acquisition Regulations, Parts 30 and 31, as applicable. GCRTA and its authorized representatives shall have the right to audit, to examine and to make copies of or extracts from all financial and related records (in whatever form they may be kept, whether written, electronic, or other) relating to or pertaining to this Agreement kept by or under the control of the District, including, but not limited to those kept by the District, its employees, agents, assigns, successors and subcontractors. Such records shall include, but not be limited to, accounting records, written policies and procedures; subcontract files; all paid vouchers including those for out-of-pocket expenses; other reimbursement supported by invoices; ledgers; cancelled checks; deposit slips; bank statements; journals;

original estimates; estimating work sheets; contract amendments and change order files; back charge logs and supporting documentation; insurance documents; payroll documents; timesheets; memoranda; and correspondence. The District shall, at all times during the term of this Agreement and for a period of three years after the completion of this Agreement, maintain such records, together with such supporting or underlying documents and materials. The District shall at any time requested by GCRTA, whether during or after completion of this Agreement, and at the District's own expense make such records available for inspection and audit (including copies and extracts of records as required) by GCRTA. Such records shall be made available to GCRTA during normal business hours at the District's office or place of business. In the event that no such location is available, then the financial records, together with the supporting or underlying documents and records, shall be made available for audit at a time and location that is convenient for GCRTA. The District shall ensure GCRTA has these rights with the District's employees, agents, assigns, successors, and subcontractors, and the obligations of these rights shall be explicitly included in any subcontracts or agreements formed between the District and any subcontractors to the extent that those subcontracts or agreements relate to fulfillment of the District's obligations to GCRTA. If the audit discovers substantive findings related to fraud, misrepresentation, or non-performance, GCRTA may recoup the costs of the audit work from the District. Any adjustments and/or payments that must be made as a result of any such audit or inspection of the District's invoices and/or records shall be made within a reasonable amount of time (not to exceed 90 days) from presentation of GCRTA's findings to the District.

7. ASSIGNMENT

Neither Party shall assign any of its rights or obligations under this Agreement without the prior written consent of the other Party.

8. CHANGES; ALTERATIONS

No change, alteration, modification, or addition to this Agreement shall be effective unless in writing and properly executed by both Parties.

9. APPLICABLE LAW; SEVERABILITY

This Agreement and any disputes relating to it shall be construed under the laws of the State of Ohio. If any provisions of this Agreement are determined to be invalid or unenforceable, such invalidity or unenforceability shall not affect any of the Agreement's remaining provisions.

10. ENTIRE AGREEMENT

This instrument constitutes the entire Agreement of the Parties with respect to its subject matter, superseding all prior understandings, agreements, or

communications (whether oral or written), and shall be binding upon and inure to the benefit of the Parties, their successors, and permitted assigns.

11. NOTICES

All notices to be given pursuant to this Agreement shall be sufficient if given in writing, delivered in person or by registered or certified mail, postage prepaid, and, in either case addressed to the respective party at its postal address or at such other address or addresses each may hereafter designate in writing. Notices by mail shall be deemed effective and complete at the time of posting and mailing in accordance herewith.

Notice shall be delivered or mailed to the parties at the addresses shown below:

If to the District
Cleveland Metropolitan School
District
Attn: Eric Taylor
Executive Director, Transportation
3832 Ridge Road
Cleveland, OH 44144

If to GCRTA
Greater Cleveland Regional Transit
Authority
Attn: John J. Togher
Director of Accounting
1240 West 6th Street
Cleveland, OH 44113-1331

12. ACKNOWLEDGEMENT

The Parties acknowledge and agree that the District is a governmental entity and due to statutory provisions cannot commit to the payment of funds beyond its fiscal year (July 1 through June 30). By signing this Agreement, the District represents that it has appropriated the funds necessary to fulfill its obligations under this agreement for the 2023-2024 school year.

IN WITNESS WHEREOF, the Parties have executed this Agreement at Cleveland, Ohio, as of the last date set forth below.

**GREATER CLEVELAND
REGIONAL TRANSIT AUTHORITY**

**CLEVELAND METROPOLITAN
SCHOOL DISTRICT**

By: _____
India L. Birdsong Terry
General Manager, CEO

By: _____
Eric S. Gordon
Chief Executive Officer

Date: _____

Date: _____

The legal form and correctness of the within Instrument is hereby approved.

Janet E. Burney, General Counsel
Deputy General Manager-Legal Affairs

CERTIFICATE OF FUNDS
(Section 5705.41, O.R.C.)

In the matter of: Greater Cleveland Regional Transit Authority

IT IS HEREBY CERTIFIED that moneys required to meet the obligations of the Board of Education of the Cleveland Metropolitan School District under the above referenced Agreement have been lawfully appropriated for such purposes and are in the treasury of the School District or are in the process of collection to an appropriate fund, free from any previous encumbrance.

CLEVELAND METROPOLITAN SCHOOL DISTRICT

By: _____

Title: _____

Dated: _____

Exhibit A
Repeat Violent Offender Exclusion Policy

Any high school student found by any Judicial system to have committed two or more nonviolent crimes (including, but not limited to, theft, criminal damaging, disorderly conduct, or menacing) related to conduct occurring on an RTA vehicle or at an RTA facility or stop may, at the discretion of the CEO or his/her designee, forfeit their privilege to receive RTA transportation or payment for a period of up to one year.

Any high school student found by a judicial system to have committed any violent crime (including, but not limited to, assault, robbery, any sex crime, arson, possession of a dangerous ordinance, or possession of any weapon prohibited by Cleveland Codified Ordinance Section 627.11) related to conduct occurring on an RTA vehicle or at an RTA facility or stop will forfeit their privilege to receive RTA transportation or payment for a period of one year.

Any high school student found by a judicial system to have committed any violent or nonviolent crime related to conduct occurring on an RTA vehicle or at an RTA facility or stop after a one year forfeiture and reinstatement of RTA transportation privileges will permanently forfeit his or her privilege to receive RTA transportation or payment.

Students who forfeit their RTA transportation privilege pursuant to this policy will not be provided any other means of transportation by the District, except that students who require transportation services pursuant to an individualized education program or 504 plan will be provided alternative transportation services by the District.

RESOLUTION NO. 2023-62

AUTHORIZING THE GENERAL MANAGER, CHIEF EXECUTIVE OFFICER TO ENTER INTO AN INTERGOVERNMENTAL AGREEMENT WITH THE CLEVELAND METROPOLITAN SCHOOL DISTRICT FOR STUDENT FARES FOR THE 2023-2024 SCHOOL YEAR

WHEREAS, the Greater Cleveland Regional Transit Authority ("GCRTA") provides public transportation that facilitates travel by students to and from school; and

WHEREAS, pursuant to Resolution No. 2006-131, to avoid an undue hardship on the school districts and to improve the cash flow, GCRTA established an alternate fare structure for the 2006–2007 school year through the 2009–2010 school year for school districts that purchase \$1,000,000 or more of student farecards per year; and

WHEREAS, pursuant to Resolution No. 2010-019 the rates of fares to be charged by GCRTA were amended; and

WHEREAS, pursuant to Resolution No. 2010-043, the alternate fare structure established in Resolution No. 2006-131 was revised for the 2010–2011 school year through the 2012–2013 school year to align with the fares enacted in Resolution 2010-019; and

WHEREAS, pursuant to Resolution 2012-076, the Board of Trustees approved an agreement with the Cleveland Metropolitan School District ("CMSD") for the purchase of \$2,430,000 in tickets in advance and instituted the use of farebox-compatible picture identification cards, thus improving transportation efficiency and control of student passengers; and

WHEREAS, pursuant to Resolution Nos. 2013-109, 2014-061, 2015-075, 2016-066, 2017-051, 2018-073, 2019-101, 2020-099, 2021-087 and 2022-090, the Board of Trustees approved agreements with CMSD for the purchase of passes and tickets; and

WHEREAS, pursuant to Resolution 2016-036, the rates of fares to be charged by GCRTA were amended, including an increase in the price of a student ticket from \$1.50 to \$1.75; and

WHEREAS, CMSD has agreed for the 2023-2024 school year to purchase 13,000 magnetic stripe farebox compatible picture card ID passes for use by students for the first day of school on July 31, 2023.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the General Manager, Chief Executive Officer is authorized to enter into an intergovernmental agreement with CMSD for the 2023-2024 school year.

Section 2. CMSD will purchase 13,000 magnetic stripe farebox compatible picture card ID passes and will be charged a discounted rate of \$1.70 per ride, for a total estimated contract amount of \$1,270,000. CMSD may purchase additional tickets at the regularly priced student rate of \$1.75 per ride.

Section 3. That this resolution shall become effective immediately upon its adoption.

Adopted: July 25, 2023

President

Attest: _____
Secretary-Treasurer



THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
REPORT OF CASH RECEIVED COMPARED TO PRIOR YEAR - GENERAL FUND
FOR THE PERIOD ENDED JUNE 30, 2023 AND JUNE 30, 2022

	CURRENT MONTH	PRIOR YR MONTH	CHANGE	%CHANGE	CURRENT % OF TOTAL	2023 YTD	2022 YTD	CHANGE	%CHANGE	CURRENT % OF TOTAL
PASSENGER FARES:										
CASH FARES	\$ 903,665	\$ 874,596	\$ 29,069	3.32%	3.49%	\$ 4,980,333	\$ 4,588,668	\$ 411,665	9.01%	3.30%
PASS/TICKET SALES	587,857	479,112	108,745	22.70%	2.27%	3,431,203	3,209,740	221,463	6.90%	2.30%
STUDENT FARECARDS	30,040	42,507	(12,467)	(29.99%)	0.12%	134,619	448,261	(313,642)	(69.97%)	0.09%
U-PASS	596,475	-	596,475	-	2.30%	1,943,007	1,841,370	101,637	5.52%	1.30%
MOBILE TICKETING	598,604	490,648	107,956	22.00%	2.31%	3,145,128	2,627,815	517,313	19.69%	2.11%
SUBTOTAL PASSENGER FARES	2,716,641	1,887,263	829,378	43.95%	10.48%	13,634,290	12,695,854	938,436	7.39%	9.13%
OPERATING SUBSIDIES:										
SALES & USE TAX	22,047,213	22,678,424	(631,211)	(2.78%)	85.06%	130,243,371	123,702,251	6,541,119	5.25%	87.24%
SUBTOTAL OPERATING SUBSIDIES	22,047,213	22,678,424	(631,211)	(2.78%)	85.06%	130,243,371	123,702,251	6,541,119	5.29%	87.24%
OTHER REVENUE:										
ADVERTISING/CONCESSIONS/COMMISSIONS	67,907	11,169	56,738	508.00%	0.26%	1,037,602	1,663,721	(626,119)	(37.63%)	0.69%
NAMING RIGHTS LESS COMMISSIONS	87,500	87,500	-	0.34%	0.34%	269,228	297,929	(18,701)	(6.50%)	0.18%
RENTAL INCOME	38,061	27,637	10,424	37.72%	0.15%	156,561	148,525	8,036	5.41%	0.10%
INTEREST INCOME	230,754	74,578	156,176	209.41%	0.89%	779,944	180,656	599,288	331.73%	0.52%
OTHER	19,053	34,754	4,299	29.14%	0.07%	165,714	128,931	36,783	28.53%	0.11%
SUBTOTAL OTHER REVENUE	443,275	128,138	315,137	245.90%	1.71%	2,409,049	2,409,762	(713)	(0.03%)	1.61%
REIMBURSEMENTS AND OTHER SOURCES OF CASH:										
FUEL/NG/PROPANE TAX REFUNDS	-	351,776	(351,776)	-	0.00%	989,078	1,240,761	(251,683)	(20.26%)	0.66%
GRANT REIMBURSEMENT (FEDERAL, STATE, LOCAL MATCH)	-	7,707	(7,707)	-	0.00%	660,928	41,871	619,057	1478.49%	0.44%
PREVENTIVE MAINTENANCE (FEDERAL, STATE, LOCAL MATCH)	666,551	920,000	(346,551)	108.30%	2.57%	850,455	320,000	530,455	165.77%	0.57%
FEDERAL OPERATING ASSISTANCE	1,722	-	1,722	-	0.01%	33,642	-	33,642	-	0.02%
MISCELLANEOUS RECEIPTS	43,023	209,135	(166,212)	(79.44%)	0.17%	478,097	1,257,640	(779,543)	(61.98%)	0.32%
FEDERAL CARES ACT	-	-	-	-	0.00%	-	-	-	-	0.00%
FEDERAL CRRSAA	-	-	-	-	0.00%	-	-	-	-	0.00%
FEDERAL ARP	-	-	-	-	0.00%	-	-	-	-	0.00%
COVID VACCINE - WOLSTEIN CTR REIMBURSEMENT	-	-	-	-	0.00%	-	-	-	-	0.00%
SUBTOTAL REIMBURSEMENTS AND OTHER SOURCES OF CASH	711,296	868,718	(157,422)	(18.12%)	2.74%	3,012,200	84,153,693	(81,141,493)	(96.42%)	2.02%
REVENUE STABILIZATION SUB-FUND										
TOTAL CASH RECEIVED - GENERAL FUND	\$ 25,918,425	\$ 25,562,543	\$ 355,882	1.39%	100.00%	\$ 149,298,910	\$ 222,961,560	\$ (73,662,651)	(33.04%)	100.00%



**THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
REPORT OF CASH RECEIVED COMPARED TO BUDGET - GENERAL FUND
FOR THE PERIOD ENDED JUNE 30, 2023**

	BUDGET MONTH	CURRENT MONTH	2023 YTD BUDGET	2023 YTD	VARIANCE	%VARIANCE	CURRENT % OF TOTAL	2023 YTD	VARIANCE	%VARIANCE	CURRENT % OF TOTAL
PASSENGER FARES:											
CASH FARES	\$ 700,000	\$ 903,665	\$ 4,300,000	\$ 4,980,333	\$ 680,333	15.82%	3.49%	\$ 4,980,333	\$ 680,333	15.82%	3.34%
PASS/TICKET SALES	600,000	587,857	4,000,000	3,431,203	(568,797)	(14.22%)	2.27%	3,431,203	(568,797)	(14.22%)	2.30%
STUDENT FARECARDS	30,000	30,040	241,000	134,619	(106,381)	(44.14%)	0.12%	134,619	(106,381)	(44.14%)	0.09%
LI-PASS	-	596,475	-	1,943,007	753,007	63.28%	2.30%	1,943,007	753,007	63.28%	1.30%
MOBILE TICKETING	615,084	598,604	3,219,279	3,145,128	(74,151)	(2.30%)	2.31%	3,145,128	(74,151)	(2.30%)	2.11%
SUBTOTAL PASSENGER FARES	1,925,084	2,736,641	12,950,278	13,634,290	684,011	5.28%	10.48%	13,634,290	684,011	5.28%	9.13%
OPERATING SUBSIDIES:											
SALES & USE TAX	23,085,636	22,047,213	125,995,471	130,243,371	4,247,900	3.37%	85.06%	130,243,371	4,247,900	3.37%	87.24%
SUBTOTAL OPERATING SUBSIDIES	23,085,636	22,047,213	125,995,471	130,243,371	4,247,900	3.37%	85.06%	130,243,371	4,247,900	3.37%	87.24%
OTHER REVENUE:											
ADVERTISING/CONCESSIONS/COMMISSIONS	11,225	67,907	1,672,040	1,037,602	(634,438)	(37.94%)	0.26%	1,037,602	(634,438)	(37.94%)	0.69%
NAMING RIGHTS LESS COMMISSIONS	-	87,500	287,929	289,228	(18,701)	(6.50%)	0.34%	289,228	(18,701)	(6.50%)	0.18%
RENTAL INCOME	10,000	38,061	90,000	156,561	66,561	73.96%	0.15%	156,561	66,561	73.96%	0.10%
INTEREST INCOME	50,000	230,754	300,000	779,944	479,944	159.98%	0.89%	779,944	479,944	159.98%	0.52%
OTHER	40,000	19,053	510,000	355,714	(154,286)	(30.25%)	0.87%	355,714	(154,286)	(30.25%)	0.11%
SUBTOTAL OTHER REVENUE	111,225	443,275	2,859,969	2,409,049	(450,920)	(15.77%)	1.71%	2,409,049	(450,920)	(15.77%)	1.61%
REIMBURSEMENTS AND OTHER SOURCES OF CASH:											
FUEL/CNG/PROPANE TAX REFUNDS	50,000	-	810,000	989,078	189,078	23.43%	0.00%	989,078	189,078	23.43%	0.66%
GRANT REIMBURSEMENT (FEDERAL, STATE, LOCAL MATCH)	40,000	-	220,000	660,928	440,928	200.47%	0.00%	660,928	440,928	200.47%	0.49%
PREVENTIVE MAINTENANCE (FEDERAL, STATE, LOCAL MATCH)	200,000	666,551	200,000	850,455	650,455	325.23%	2.57%	850,455	650,455	325.23%	0.57%
FEDERAL OPERATING ASSISTANCE	-	1,722	-	33,642	33,642	0.02%	0.01%	33,642	33,642	0.02%	0.02%
MISCELLANEOUS RECEIPTS	160,000	43,023	685,127	478,097	(207,030)	(30.22%)	0.17%	478,097	(207,030)	(30.22%)	0.32%
FEDERAL CARES ACT	-	-	-	-	-	-	0.00%	-	-	-	0.00%
FEDERAL CRSSAA	-	-	-	-	-	-	0.00%	-	-	-	0.00%
FEDERAL ARP	-	-	-	-	-	-	0.00%	-	-	-	0.00%
COVID VACCINE - WOLSTEIN CTR REIMBURSEMENT	-	-	-	-	-	-	0.00%	-	-	-	0.00%
SUBTOTAL REIMBURSEMENTS AND OTHER SOURCES OF CASH	450,000	711,296	1,905,127	3,012,200	1,107,073	58.11%	2.74%	3,012,200	1,107,073	58.11%	2.09%
REVENUE STABILIZATION SUB-FUND											
TOTAL CASH RECEIVED - GENERAL FUND	\$ 25,572,945	\$ 25,918,425	\$ 143,710,846	\$ 149,298,910	\$ 5,588,064	3.89%	100.00%	\$ 149,298,910	\$ 5,588,064	3.89%	100.00%

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
SALES AND USE TAXES**

ACTUAL RECEIPTS THROUGH JULY 2023

MONTH RECEIVED	2021 ACTUAL	2022 ACTUAL	2023 ESTIMATE	2023 ACTUAL	2023 MONTHLY		2021 YTD ACTUAL	2022 YTD ACTUAL	2023 YTD ESTIMATE	2023 YTD ACTUAL	2023 VERSUS 2022	
					ACTUAL	ESTIMATE					CHANGE	YTD % ESTIMATE
JANUARY	\$18,510,754	\$20,884,157	\$21,260,072	\$21,547,582	1.35%		\$18,510,754	\$20,884,157	\$21,260,072	\$21,547,582	3.18%	1.35%
FEBRUARY	\$17,982,308	\$19,659,024	\$20,079,464	\$21,457,966	6.87%		\$36,493,063	\$40,543,181	\$41,338,535	\$43,005,547	6.07%	4.03%
MARCH	\$20,664,587	\$23,821,641	\$24,250,431	\$25,588,894	5.52%		\$57,157,650	\$64,364,822	\$65,588,966	\$68,594,441	6.57%	4.58%
APRIL	\$16,791,242	\$18,479,425	\$18,812,054	\$20,209,077	7.43%		\$73,948,892	\$82,844,246	\$84,402,019	\$88,803,518	7.19%	5.21%
MAY	\$17,263,726	\$18,179,582	\$18,506,814	\$19,392,639	4.79%		\$91,212,618	\$101,023,828	\$102,908,833	\$108,196,158	7.10%	5.14%
JUNE	\$21,214,064	\$22,678,424	\$23,086,636	\$22,047,213	(4.50%)		\$112,426,682	\$123,702,252	\$125,995,469	\$130,243,371	5.29%	3.37%
JULY	\$20,766,582	\$22,245,190	\$22,645,603	\$20,233,341	(10.65%)		\$133,193,263	\$145,947,442	\$148,641,072	\$150,476,711	3.10%	1.23%
AUGUST	\$21,522,576	\$22,004,701	\$22,400,786									
SEPTEMBER	\$21,976,295	\$20,718,943	\$21,081,884									
OCTOBER	\$21,490,445	\$22,504,525	\$22,909,607									
NOVEMBER	\$20,326,433	\$21,022,885	\$21,222,252									
DECEMBER	\$20,832,735	\$22,755,868	\$22,773,184									
TOTAL	\$239,341,749	\$254,954,365	\$259,038,785	\$150,476,711								

Summary:

Month

9.04% (\$2,011,849) lower than July 2022 Actual
10.65% (\$2,412,262) lower than July 2023 estimate

YTD

3.10% (\$4,529,269) higher than 2022 Actual
1.23% (\$1,835,639) higher than 2023 estimate

GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
 INVENTORY OF TREASURY INVESTMENTS
 AS OF JUNE 30, 2023

FUND	PURCHASE DATE	MATURITY DATE	INSTRUMENT	INSTITUTION	TERM DAYS	TOTAL PRINCIPAL	ACCRUED INTEREST	AVERAGE DAYS TO MATURITY	AVERAGE YIELD
BOND RETIREMENT FUND									
	6/30/2023	7/3/2023	MONEY MARKET	HUNTINGTON BANK	3	\$8,532,805	\$0	3	3.39%
TOTAL BOND RETIREMENT FUND						\$8,532,805	\$0		2.98%
GENERAL FUND									
	6/30/2023	7/3/2023	MERCHANT ACCT-KEY MMKT	KEY BANK	3	\$63,748	\$0	3	0.95%
	3/1/2021	3/1/2024	FFCB	STIFEL NICOLAUS	1095	\$4,997,500	\$4,236	244	0.25%
	9/30/2021	9/30/2024	FHLB	STIFEL NICOLAUS	1095	\$3,000,000	\$21,708	455	0.57%
	1/27/2022	1/27/2025	FHLB	STIFEL NICOLAUS	1095	\$3,000,000	\$15,500	576	1.20%
	4/22/2002	4/22/2025	FHLB	STIFEL NICOLAUS	1095	\$3,000,000	\$62,500	661	3.00%
	6/27/2022	6/27/2025	FHLB	STIFEL NICOLAUS	1095	\$2,167,887	\$2,266	726	3.33%
	6/30/2023	7/3/2023	STAR OHIO	STATE OF OHIO	3	\$22,193,011	\$0	3	5.38%
	6/30/2023	7/3/2023	EMPLOYEE ACTIVITY FUND	KEY BANK	3	\$130,517	\$0	3	0.95%
	6/30/2023	7/3/2023	PNC CUSTODY ACCOUNT	PNC BANK	3	\$698,389	\$0	3	4.95%
	6/30/2023	7/3/2023	SALES TAX ACCOUNT	HUNTINGTON BANK	3	\$27,224	\$0	3	4.69%
	6/30/2023	7/3/2023	KEY ECR	KEY BANK	3	\$4,258,802	\$0	3	5.14%
TOTAL GENERAL FUND						\$49,537,079	\$106,210		3.79%
INSURANCE FUND									
	6/30/2023	7/3/2023	STAR OHIO	STATE OF OHIO	3	\$5,328,048	\$0	3	5.38%
	6/30/2023	7/3/2023	KEY ECR	KEY BANK	3	\$2,678	\$0	3	0.95%
TOTAL INSURANCE FUND						\$5,330,726	\$0		5.38%
LAW ENFORCEMENT FUND									
	6/30/2023	7/3/2023	KEY ECR	KEY BANK-SWEEP	3	\$7,713	\$0	3	0.95%
	6/30/2023	7/3/2023	STAR OHIO	STATE OF OHIO	3	\$314,167	\$0	3	5.38%
TOTAL LAW ENFORCEMENT FUND						\$321,879	\$0		5.09%
LOCAL MATCH FUND									
	6/30/2023	7/3/2023	LOCAL MATCH-STAR OHIO	STATE OF OHIO	3	\$50,993,774	\$0	3	5.38%
	3/28/2022	6/28/2024	FHLB	STIFEL NICOLAUS	546	\$2,344,125	\$50,337	87	1.79%
	3/14/2022	3/10/2025	FFCB	STIFEL NICOLAUS	1090	\$4,981,225	\$29,089	617	2.00%
	5/24/2022	5/12/2025	FHLMC	STIFEL NICOLAUS	1083	\$9,997,110	\$193,167	740	3.06%
	6/27/2022	6/27/2025	FHLB	STIFEL NICOLAUS	1095	\$15,000,000	\$4,163	726	3.33%
	8/30/2022	8/28/2025	FHLB	STIFEL NICOLAUS	1095	\$15,000,000	\$560,000	790	4.00%
	9/30/2022	9/30/2025	FHLB	STIFEL NICOLAUS	1095	\$5,000,000	\$181,556	822	4.30%
	10/24/2022	4/4/2025	FHLMC	STIFEL NICOLAUS	891	\$1,133,865	\$39,252	642	5.04%
	10/27/2022	10/27/2025	FHLB	STIFEL NICOLAUS	1094	\$2,077,920	\$17,911	848	5.04%
	10/28/2022	10/28/2025	FHLMC	STIFEL NICOLAUS	1294	\$1,498,500	\$13,175	849	5.14%
	12/16/2022	12/16/2024	FHLMC	HILLTOP SECURITIES	730	\$5,000,000	\$9,722	169	5.00%
	1/17/2023	1/17/2025	FHLMC	HILLTOP SECURITIES	730	\$4,800,000	\$109,333	507	5.00%
	2/17/2023	11/17/2025	FFCB	STIFEL NICOLAUS	1003	\$5,000,000	\$98,457	870	5.33%
	2/28/2023	2/28/2025	FHLMC	HILLTOP SECURITIES	731	\$5,000,000	\$86,271	912	5.05%
	3/6/2023	3/6/2026	FHLB	STIFEL NICOLAUS	1095	\$5,000,000	\$96,667	954	6.00%
	6/30/2023	7/3/2023	LOCAL MATCH-KEY ECR	KEY BANK-SWEEP	3	\$57,941	\$0	3	0.95%
	6/30/2023	7/3/2023	GRANT-ECR	KEY BANK	3	\$1,224,381	\$0	3	0.95%
	6/30/2023	7/3/2023	CATCH BASIN-KEY ECR	KEY BANK	3	\$102,178	\$0	3	0.95%
TOTAL LOCAL MATCH FUND						\$144,211,019	\$1,491,946		4.56%

GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
INVENTORY OF TREASURY INVESTMENTS
AS OF JUNE 30, 2023

FUND	PURCHASE DATE	MATURITY DATE	INSTRUMENT	INSTITUTION	TERM DAYS	TOTAL PRINCIPAL	ACCRUED INTEREST	AVERAGE DAYS TO MATURITY	AVERAGE YIELD
PENSION FUND	6/30/2023	7/3/2023	KEY ECR	KEY BANK	3	\$3,016	\$0	3	0.95%
	6/30/2023	7/3/2023	STAR OHIO	STATE OF OHIO	3	\$1,357,625	\$0	3	5.38%
						\$1,360,641			5.37%
RTA CAPITAL FUND	6/30/2023	7/3/2023	KEY ECR	KEY BANK	3	\$236,718	\$0	3	0.95%
	5/2/2022	4/30/2024	US TREASURY NOTE	HILLTOP SECURITIES	513	\$4,994,198	\$84,028	89	2.56%
	3/25/2022	9/25/2024	FHLB	STIFEL NICOLAUS	913	\$1,845,375	\$41,548	451	1.85%
	3/28/2022	3/28/2024	FHLB	STIFEL NICOLAUS	454	\$1,845,375	\$37,032	271	1.70%
	8/26/2021	8/26/2024	FHLB	STIFEL NICOLAUS	1095	\$5,000,000	\$18,335	420	0.43%
	9/30/2021	9/30/2024	FHLB	STIFEL NICOLAUS	1095	\$2,000,000	\$14,472	455	0.57%
	4/5/2021	4/5/2024	FFCB	STIFEL NICOLAUS	1095	\$2,000,000	\$4,895	279	0.33%
	3/4/2021	3/4/2024	FNMA	STIFEL NICOLAUS	1095	\$499,750	\$1,369	275	0.34%
						\$18,421,416	\$201,678		1.29%
	RESERVE FUND	3/23/2022	11/30/2023	US TREASURY NOTE	HILLTOP SECURITIES	616	\$4,875,994	\$2,153	153
4/14/2022		4/14/2025	FHLB	STIFEL NICOLAUS	1095	\$5,000,000	\$94,427	654	2.63%
6/30/2022		6/30/2025	FHLB	STIFEL NICOLAUS	1095	\$5,000,000	\$486	700	3.50%
9/30/2022		9/30/2025	FHLB	STIFEL NICOLAUS	1095	\$5,000,000	\$181,556	752	4.30%
8/30/2022		8/28/2023	FHLB	HILLTOP SECURITIES	728	\$5,000,000	\$175,000	364	3.75%
8/30/2022		8/28/2024	FHLB	HILLTOP SECURITIES	363	\$5,000,000	\$158,667	393	3.40%
12/9/2021		12/9/2024	FFCB from GF	STIFEL NICOLAUS	1095	\$4,994,785	\$2,771	497	0.96%
5/23/2022		5/23/2025	FHLB from GF	STIFEL NICOLAUS	1095	\$5,000,000	\$17,153	693	3.25%
8/26/2022		2/28/2024	FHLB from GF	HILLTOP SECURITIES	551	\$5,000,000	\$199,603	421	3.62%
11/15/2021		11/15/2024	US TREASURY from GF	STIFEL NICOLAUS	1095	\$4,995,508	\$24,592	504	0.78%
11/28/2022		11/28/2025	FHLMC	STIFEL NICOLAUS	1002	\$4,149,560	\$126,895	788	5.01%
1/27/2023		1/27/2026	FHLMC	STIFEL NICOLAUS	1095	\$5,000,000	\$117,306	940	5.15%
2/15/2023		6/28/2024	FHLB	STIFEL NICOLAUS	511	\$938,537	\$280	348	5.03%
2/17/2023		11/17/2025	FFCB	STIFEL NICOLAUS	1003	\$5,000,000	\$98,457	842	5.33%
2/24/2023		12/20/2024	FHLB	HILLTOP SECURITIES	668	\$5,000,000	\$91,875	514	5.25%
2/27/2023		8/27/2025	FHLB	STIFEL NICOLAUS	911	\$5,000,000	\$88,264	728	5.13%
2/28/2023		2/28/2025	FHLMC	HILLTOP SECURITIES	731	\$5,000,000	\$86,271	758	5.06%
2/28/2023		2/28/2025	FHLMC	HILLTOP SECURITIES	731	\$10,000,000	\$179,375	758	5.27%
3/6/2023		3/6/2026	FHLB	STIFEL NICOLAUS	1095	\$10,000,000	\$193,333	979	6.00%
3/27/2023		3/27/2026	FHLB	STIFEL NICOLAUS	1095	\$5,000,000	\$80,000	999	6.00%
6/16/2023		5/16/2026	FHLMC	HILLTOP SECURITIES	1095	\$5,000,000	\$10,408	1056	5.36%
6/22/2023		12/27/2024	FHLMC	STIFEL NICOLAUS	552	\$5,000,000	\$6,000	513	5.40%
6/30/2023		7/3/2023	PNC CUSTODY ACCOUNT	PNC BANK	3	\$87,500	\$0	3	4.95%
6/30/2023	7/3/2023	KEY ECR	KEY BANK	3	\$2,387	\$0	3	0.95%	
6/30/2023	7/3/2023	STAR OHIO	STATE OF OHIO	3	\$39,518,168	\$0	3	5.38%	
					\$154,562,439	\$1,934,869		4.56%	
TOTAL RESERVE FUND					\$382,278,004	\$3,734,703	404	4.28%	

GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
DEBT SERVICE SCHEDULE AND STATUS
AS OF JUNE 30, 2023

Bonds	Final Maturity Date	Total Principal Outstanding 12/1/2022	Interest Payable/ 6/1/2023	Principal Payable/ 6/1/2023	Debt Service Requirement/ 6/1/2023	Interest Payable/ 12/1/2023	Principal Payable/ 12/1/2023	Debt Service Requirement/ 12/1/2023	Total Debt Requirement 2023
Series 2014A-Sales Tax Rev.	Dec. 2025	5,040,000.00	109,100.00	0.00	109,100.00	109,100.00	1,810,000.00	1,719,100.00	1,828,200.00
Series 2015-Sales Tax Rev.	Dec. 2026	15,935,000.00	398,375.00	0.00	398,375.00	398,375.00	3,700,000.00	4,098,375.00	4,496,750.00
Series 2016-Sales Tax Rev.	Dec. 2027	6,910,000.00	172,750.00	0.00	172,750.00	172,750.00	1,250,000.00	1,422,750.00	1,595,500.00
Series 2019-Sales Tax Rev.	Dec. 2030	10,030,000.00	250,750.00	0.00	250,750.00	250,750.00	1,050,000.00	1,300,750.00	1,551,500.00
Total Bonds		\$37,915,000.00	\$930,975.00	\$0.00	\$930,975.00	\$930,975.00	\$7,610,000.00	\$8,540,975.00	\$9,471,950.00

Bond Retirement
\$8,532,805

Current Balance (Set Aside for 2023)

\$134,164

Monthly Set Aside Required

GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
SUMMARY OF INVESTMENT PERFORMANCE
YEAR TO DATE THROUGH JUNE 30, 2023

MONTH	2023		2022		STANDARD YIELD #	MONEYMKT YIELD #	AVERAGE BALANCE	INTEREST EARNED	AVERAGE YIELD	STANDARD YIELD #	2022 AVERAGE YIELD	STANDARD YIELD #	MARKET YIELD #
	AVERAGE BALANCE	INTEREST EARNED	AVERAGE YIELD	STANDARD YIELD #									
JANUARY	\$373,600,888	\$1,088,754	3.73%	4.26%	4.19%	\$286,544,522	\$37,367	0.19%	0.16%	0.01%			0.01%
FEBRUARY	\$375,262,233	\$1,025,329	3.96%	4.58%	4.43%	\$300,470,796	\$41,380	0.25%	0.25%	0.01%			0.01%
MARCH	\$378,391,518	\$1,443,221	4.11%	4.71%	4.69%	\$286,315,650	\$64,394	0.43%	0.42%	0.10%			0.10%
APRIL	\$380,299,265	\$1,438,394	4.19%	4.82%	4.89%	\$298,367,804	\$109,508	0.58%	0.63%	0.10%			0.10%
MAY	\$383,959,391	\$1,472,643	4.30%	4.93%	4.93%	\$346,505,648	\$283,066	1.05%	0.88%	0.50%			0.50%
JUNE	\$392,381,272	\$1,166,634	4.28%	5.06%	4.97%	\$359,979,169	\$356,367	1.64%	1.26%	1.17%			1.17%
JULY						\$359,458,838	\$548,323	2.08%	1.64%	1.76%			1.76%
AUGUST						\$350,687,979	\$755,153	2.20%	2.09%	2.09%			2.09%
SEPTEMBER						\$363,347,871	\$508,240	2.69%	2.56%	2.78%			2.78%
OCTOBER						\$355,748,894	\$1,302,763	2.87%	3.08%	2.97%			2.97%
NOVEMBER						\$369,046,114	\$861,617	3.24%	3.57%	3.26%			3.26%
DECEMBER						\$376,464,232	\$1,146,716	3.60%	3.93%	4.17%			4.17%
YEAR TO DATE	378,619,935	\$7,634,975	4.10%	4.73%	4.68%	\$365,933,723	\$6,014,894	1.74%	1.71%	1.58%			1.58%
RTA AVERAGE YIELDS OVER (UNDER) INDEX				-0.63%	-0.59%					0.03%			0.16%

Moving average coupon equivalent yields for 6 month Treasury Bills,

Market Yield equals US Treasury Money Fund 7 Day Yield

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
REPORT ON INVESTMENT EARNINGS (CASH BASIS)
JUNE 2023**

BOND RETIREMENT FUND			
HUNTINGTON MONEY MARKET			
		JUNE 2023	
		2023 YEAR TO DATE	\$31,892.06
		2022 YEAR TO DATE	\$2,623.14
GENERAL FUND			
HUNTINGTON-SALES TAX ACCOUNT			
STAROHIO			\$9,983.27
KEY BANK SWEEP ACCOUNT			\$48,818.04
FHLB			-\$4,238.14
PNC CUSTODY ACCOUNT			\$136,375.29
MERCHANT ACCOUNT-KEY BANK SWEEP ACCOUNT			\$31,281.45
			<u>\$58.30</u>
		JUNE 2023	\$230,754.49
		2023 YEAR TO DATE	\$779,944.80
		2022 YEAR TO DATE	\$106,078.54
INSURANCE FUND			
STAROHIO			
		JUNE 2023	<u>\$22,939.18</u>
		2023 YEAR TO DATE	\$22,939.18
		2022 YEAR TO DATE	\$125,011.35
			\$8,487.29
LAW ENFORCEMENT FUND			
KEY BANK SWEEP ACCOUNT			
STAROHIO			\$49.09
			<u>\$689.73</u>
		JUNE 2023	\$738.82
		2023 YEAR TO DATE	\$3,795.55
		2022 YEAR TO DATE	\$68.36

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
REPORT ON INVESTMENT EARNINGS (CASH BASIS)**

JUNE 2023

LOCAL MATCH FUND
STAROHIO-LOCAL MATCH
FHLB
FHLB
FHLMC
KEY BANK SWEEP ACCOUNT

\$174,413.05
\$249,738.21
\$150,000.00
\$125,000.00
\$690.92
\$699,842.18
\$2,424,184.12
\$173,275.01

JUNE 2023
2023 YEAR TO DATE
2022 YEAR TO DATE

PENSION FUND
STAROHIO
KEY BANK SWEEP ACCOUNT

\$5,845.07
\$0.99
\$5,846.06
\$32,079.00
\$1,781.08

JUNE 2023
2023 YEAR TO DATE
2022 YEAR TO DATE

EMPLOYEE ACTIVITY ACCOUNT
KEY BANK MONEY MARKET

\$29.50
\$29.50
\$123.60
\$3.56

JUNE 2023
2023 YEAR TO DATE
2022 YEAR TO DATE

RTA CAPITAL FUND
KEY BANK SWEEP ACCOUNT

\$77.81
\$77.81
\$111,293.52
\$26,376.75

JUNE 2023
2023 YEAR TO DATE
2022 YEAR TO DATE

GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
 REPORT ON INVESTMENT EARNINGS (CASH BASIS)
 JUNE 2023

RESERVE FUND		
STAROHIO		\$193,381.37
FHLB		\$87,500.00
FFCB		\$23,000.00
FHLB		\$1,650.00
KEY BANK SWEEP ACCOUNT		<u>\$142.61</u>
	JUNE 2023	\$305,673.98
	2023 YEAR TO DATE	\$1,877,194.60
	2022 YEAR TO DATE	\$175,724.09

TOTAL ALL FUNDS	JUNE 2023	\$1,297,794.08
	2023 YEAR TO DATE	\$5,476,567.06
	2022 YEAR TO DATE	\$494,417.82

INTEREST RECEIVED (CASH BASIS)	JUNE	2023 YEAR
	\$1,297,794	TO DATE
ACCRUED INTEREST:		\$5,476,567
BEGINNING:	(\$3,865,863)	
ENDING:	\$3,734,703	
INTEREST INCOME EARNED:	\$1,166,634	
AVERAGE INVESTMENT BALANCE (COST):	\$380,206,316	
AVERAGE YIELD ON INVESTMENTS:	<u>4.28%</u>	<u>4.10%</u>

COMPOSITION OF INVESTMENT PORTFOLIO
AS JUNE 30, 2023

Instrument	PRINCIPAL	FACE AMOUNT	PERCENT OF TOTAL	AVERAGE YIELD	AVERAGE MATURITY
Money Market Account	\$9,523,874	\$9,523,874	2.49%	3.46%	3
Key Bank Sweep Account	\$7,713	\$7,713	0.00%	0.95%	3
Star Ohio	\$119,704,793	\$119,704,793	31.31%	5.38%	3
Earnings Credit Rate Account	\$5,888,101	\$5,888,101	1.54%	0.95%	3
U.S. Government Securities	\$247,153,523	\$247,400,000	64.65%	3.80%	609
Total Investment Portfolio	<u>\$382,278,004</u>	<u>\$382,524,481</u>	<u>100.00%</u>	<u>4.28%</u>	<u>404</u>

Greater Cleveland Regional Transit Authority
 Banking and Financial Relationships
 As of June 30, 2023

Bank/Financial Institution	Nature of relationship
Key Bank	Main banking services
PNC Bank	Custodial Account and Credit card
Fifth Third	Escrow Account
Huntington Bank	Bond Retirement and Sales Tax Account Underwriter STAR Ohio-Investments
Bank of New York Mellon	Bond Registrar
BMO Harris Bank	Fuel Hedge

NOTE:

This information is being provided for applicable individuals to be in compliance with:

- Ohio Revised Code Sections 102.03(D) and (E)
- Ohio Ethics Commission Informal Opinion Number 2003-INF-0224-1
- Ohio Ethics Commission Staff Advisory Opinion to Sheryl King Benford (DGM - Legal Affairs) dated May 6, 2020
- Ohio Ethics Commission Opinion Number 2011-08
- Ohio Ethics Commission Staff Advisory Opinion to R. Brent Minney dated March 27, 2012

Please refer to Chapter 656 of the Codified Rules and Regulations of the Greater Cleveland Regional Transit Authority (Travel Policy), Administrative Procedure 024 and Board of Trustees Resolution No. 2020-80 for additional information.