



Greater Cleveland
Regional Transit Authority

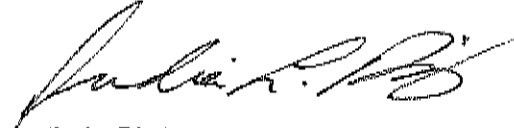
1240 West 6th Street
Cleveland, Ohio 44113-1302
Phone: 216-566-5100
riderta.com

MEETING NOTICE

Notice is hereby given that the following meeting of the Board of Trustees of the Greater Cleveland Regional Transit Authority will take place on **Tuesday, February 16, 2021** in the Board Room of the Authority, 1240 West Sixth Street, Cleveland, OH 44113 for consideration of the listed items and such other items that may properly come before the Board and be acted upon.

In accordance with Sub. H.B. 404 of the 133rd General Assembly, passed on November 19, 2020, signed by the Governor of the State of Ohio on November 22, 2020 and the March 9, 2020 order of the Governor of the State of Ohio declaring a public health emergency, this meeting will be live-streamed on RTA's Facebook page (www.facebook.com/rideRTA) for staff and members of the public. **Only Board members and required RTA staff will be allowed in the Board Room.** The meeting package will be posted on RTA's website at (www.riderta.com/board), on RTA's Facebook page, and RTA's Twitter page.

- 9:00 A.M. Organizational, Services & Performance Monitoring Committee
- Quarterly Management Report – Review of 4th quarter 2020 results.
- Audit, Safety Compliance And Real Estate Committee
- Internal Audit Quarterly Report - Review of 4th quarter 2020 audit work and 2021 Audit plan.
- Board of Trustees – agenda attached.



India L. Birdsong
General Manager, Chief Executive Officer

ILB:tab
Attachment

AGENDA

RTA ORGANIZATIONAL, SERVICES & PERFORMANCE MONITORING COMMITTEE

Tuesday, February 16, 2021

Committee Members: Rev. Charles P. Lucas, Chair
 Mr. Justin M. Bibb
 Mayor Michael P. Byrne
 Mr. Leo Serrano
 Mayor David E. Weiss

- I. Roll Call
- II. Quarterly Management Report – Review of fourth quarter 2020 results.

 Presenter:
 - India L. Birdsong, General Manager, CEO
- III. Adjourn

AGENDA

RTA AUDIT, SAFETY COMPLIANCE AND REAL ESTATE COMMITTEE

Tuesday, February 16, 2021

Committee Members: Ms. Karen Gabriel Moss - Chair
 Rev. Charles P. Lucas - Vice Chair
 Mr. Terence P. Joyce
 Ms. Valarie J. McCall
 Mayor David E. Weiss

- i. Roll Call
- ii. Internal Audit Quarterly Report – Review of fourth quarter 2020 audit work and 2021 Audit Plan.

Presenter:

- Tony Garofoli, Executive Director of Internal Audit

- iii. Adjourn

AGENDA

RTA Board of Trustees Meeting

Tuesday, February 16, 2021

9:00 a.m.

(This meeting will commence after the committee meetings)

- I. Call to order
- II. Roll Call
- III. Certification regarding notice of meeting
- IV. Approval of the January 19, 2021 Board Meeting minutes
- V. Public comments (**2 minutes**) on **agenda items** can be phoned in using the dial in information **or** submitted via form at www.riderta.com/events by selecting the meeting date:

Phone: 440-276-4600
- VI. Board Governance Committee report
- VII. Operational Planning & Infrastructure Committee report
 - Chair: Mr. Terence P. Joyce
- VIII. Organizational, Services & Performance Monitoring Committee report
 - Chair: Rev. Charles P. Lucas
- IX. Audit, Safety Compliance and Real Estate Committee report
 - Chair: Karen Gabriel Moss
- X. External and Stakeholder Relations and Advocacy Committee report
 - Chair: Valarie J. McCall
- XI. Community Advisory Committee (CAC)
 - Board Liaison: Justin M. Bibb
- XII. Ad Hoc Committee reports
 - Ad Hoc Paratransit Committee – Rev. Charles P. Lucas, Chair
 - Ad Hoc Technology Committee – Justin M. Bibb, Chair
- XIII. Introduction of new employees and announcement of promotions
- XIV. Introduction of resolutions:
 - A. 2021-9 – Expressing appreciation to the Honorable Dennis M. Clough for his service as a member of the Board of Trustees of the Greater Cleveland

Regional Transit Authority and extending best wishes for many years to come

- B. 2021-10 – Authorizing Contract No. 2020-149 with Michael Baker International, Inc. for Project 62A – Engineering Services for the Rehabilitation of the Track & Platform Bridges over W. 117th Street in an amount not to exceed \$490,211.00 (Engineering & Project Development Department budget)
- C. 2021-11 – Authorizing Contract No. 2020-162 with Mott MacDonald, LLC for Project 12F – Engineering Services for the 515 switch return to service in an amount not to exceed \$219,284.00 (RTA Development Fund, Engineering & Project Development Department budget)
- D. 2021-12 – Authorizing Contract No. 2020-174 with Pirc Company Landscaping and Snowplowing, LLC for Non-Rail and Healthline Landscaping Services in an amount not to exceed \$792,995.98 for a period of three years, and in amounts not to exceed \$266,975.31 and \$269,645.07 for each option year, respectively, for a total contract amount not to exceed \$1,329,616.36 (General Fund, Service Management Department budget)
- E. 2021-13 – Authorizing Contract No. 2020-193 with ADMJ Holdings LLC, DBA Advance Door Co., for overhead door repair, as specified and as required, for a three year base period not to exceed \$405,000.00, with two, one-year options not to exceed \$135,000.00 each, for a total contract amount not to exceed \$675,000.00 (General Fund, Service Management Department budget)
- F. 2021-14 – Authorizing Contract No. 2020-196 with Railworks Track Services, Inc. for Project 52T – Light Rail Track Rehabilitation Buckeye-Woodhill to Shaker Square Station, as specified and as required, in an amount not to exceed \$7,996,000.00 (RTA Development Fund, Engineering & Project Development Department budget)
- G. 2021-15 – Authorizing an increase in the General Manager, Chief Executive Officer's Change Order Authority under Contract 2019-001 – Project 52M Rehabilitation of Tracks 10 and 13 at Tower City Station in an amount not to exceed \$250,000.00
- H. 2021-16 – Authorizing an agreement with the City of Shaker Heights for landscape maintenance and parking lot snow removal for a period of three years in an amount not to exceed \$824,305.78 (General Fund, Rail District Department budget)
- I. 2021-17 – Approving the Authority's 2021 Public Transportation Agency Safety Plan (PTASP)
- J. 2021-18 – Amending budget appropriations for the FY 2021 capital improvement fund budget to provide for an increase of \$124,500,000

- K. 2021-19 – Amending appropriations for the current expenses and other expenditures for the Greater Cleveland Regional Transit Authority, as adopted in Resolution No. 2020-101 and to provide for a \$15,000,000 transfer to the Development Fund and increasing appropriation to the Reserve Fund by \$28,000,000 for Rail Car Replacement Program
- XV. Interim Secretary-Treasurer’s Report:
- A. General Fund Revenue – status as of January, 2021 versus 2020 actuals
 - B. General Fund Revenue – status as of January, 2021 versus the 2021 budget
 - C. Sales & Use Tax Receipts Report budgeted during 2020, actual receipts through February 2020
 - D. Inventory of Treasury Investments as of January 31, 2020
 - E. Debt Service Schedule and Status of Bond Retirement Fund (cash basis) as of January 31, 2020
 - F. Summary of Investment Performance, Year to Date through January 31, 2020
 - G. Report on Investment Earnings (cash basis) as of January, 2020
 - H. Composition of Investment Portfolio as of January 31, 2020
 - I. Banking and Financial Relationships as of January 31, 2020
- XVI. General Manager’s Report
- XVII. President’s Report
- XVIII. Old Business
- XIX. New Business
- XX. Public comments (**2 minutes**) on **non-agenda items** can be phoned in using the dial in information or submitted via form at www.riderta.com/events by selecting the meeting date:
- Phone: 440-276-4600
- XXI. Executive Session Requested - To consider the appointment, employment, dismissal, discipline, promotion, demotion, or compensation of a public employee or official.
- XXII. The next regular Board meeting and Annual Meeting is scheduled for **Tuesday, March 23, 2021** in the Board Room of the Authority, Root-McBride Building, 1240 West Sixth Street, Cleveland, Ohio 44113. This meeting will be live-streamed on RTA’s Facebook page (www.facebook.com/rideRTA) for staff and members of the public. Only Board members and required RTA staff will be allowed in the Board Room.
- XXIII. Adjournment

Minutes

RTA Board of Trustees Meeting

9:01 a.m., January 19, 2021

Present: Clough (Chair), Lucas (Vice Chair), Bibb, Byrne, Joyce, McCall, Moss, Pellet, Serrano, Weiss

Not present: None

Also Present: Becker, Benford, Birdsong, Bitto, Burney, Caver, Coffey, Cottrell, Dangelo, Davidson, Foke, Fields, Freilich, Garofoli, Gautam, Johnson, Kirkland, Martin, Miller, Mothes, Schipper, Sutula

Mayor Clough called the meeting to order at 9:01 a.m. The secretary called the roll and reported that nine (9) board members were present. Mr. Bibb joined after the roll call. This meeting was conducted by teleconference for members of the Board in accordance with Sub. H.B. 404 of the 133rd General Assembly, passed on November 19, 2020, signed by the Governor of the State of Ohio on November 22, 2020 and the March 9, 2020 order of the Governor of the State of Ohio declaring a public health emergency, this meeting was live-streamed on RTA's Facebook page (www.facebook.com/rideRTA) for staff and members of the public.

The Secretary advised that notice of this meeting have been posted more than twenty-four hours in advance of the meeting, that the usual notification has been given the news media and other interested persons, and that all requirements of the Ohio Revised Code and Rules and Bylaws of this Board regarding notice of meeting have been complied with.

Minutes

Mayor Clough stated that the minutes from the December 15, 2020 Board Meeting had been previously distributed and reviewed and asked whether there were any additions and/or corrections. There were no corrections. The minutes were approved.

Public Comments – Agenda Items (comments were submitted by form and phone)

1. Dominic Matthew – Cleveland, OH - Saw the news about the recently launched Transit Ambassadors program by CPT. These ambassadors are transit riders who will encourage Cleveland residents in their neighborhoods to use transit and communicate any hardships faced by them. Does the RTA propose any assistance in outreach or aiding this grassroots program, given the urgent need to restore public trust in using transit?
2. Chris Martin – Cleveland, OH - Please install mask dispensers on board buses and trains. During this pandemic, masking is extremely important when social distancing is not possible, and providing masks on board buses and trains will help any person who might not otherwise have one. RTA has taken some steps to mitigate transmission of the virus on its vehicles, but not enough. RTA does mandate wearing masks but does not enforce the mandate and not all riders follow it. RTA installed vinyl sheeting to separate operators from riders, but many of these are now broken and many were never used. RTA promised to install hard plastic barriers to separate rider and operator but they have not been installed. RTA will hire 18 cleaners this year to disinfect buses and trains even though we now know the disease spreads more through the air than through surface contact. It is not clear what, if anything, RTA has done to improve ventilation on buses and trains.

We know the more transmissible UK variant of COVID-19 is likely to become the dominant strain in the US by March. This makes it all the more important for RTA do everything it can to protect the riding public as well as its own staff. And you can afford it. RTA ended 2020 with nearly \$140

million in reserves. The second stimulus bill gave you something like \$30 million more and you will receive even more than that under the new president's announced plans. Please install mask dispensers on board buses and trains.

3. Rev. Pam M. Pinkney – Cleveland, OH – She congratulated the retirees. She is concerned about Resolution No. 2021-2 concerning Tower City. She asked if the smoking ban included in this project. She supports Cook Paving in Resolution No. 2021-3. She does not like the plastic seats on the bus in Resolution No. 2021-4. She congratulated the retirees.

Ms. Birdsong added that mask are being handed out to riders. Mask are required on RTA buses and trains. Signage is on the front of the bus and on the website. Operators are not encouraged to engage people not wearing mask to avoid altercations. If there is someone that is a nuisance to customers, the operator can contact Transit Police for assistance. The hard plastic barriers are being procured. They will be received in the next several weeks. Rev. Lucas asked if the barriers will be placed on paratransit vehicles. Dr. Caver said they are looking at what can be put on paratransit buses.

Committee Reports

There were no committee reports.

Community Advisory Committee (CAC)

There was no CAC report.

Ad Hoc Committee Reports

There was no Ad Hoc Committee report.

Introduction of New Employees/Promotions

New Hires:

1. Sheila Miller, Information Technology Engineer
2. Ann Marie Prebish, Talent Acquisition Business Partner
3. Jenai Payton, Talent Acquisition Business Partner
4. Marketa Hill, Assistant Contract Administrator

Promotions:

1. Quennel Marshall, Supervisor Power and Way
2. Enjoli Anderson, Dispatcher
3. Pedro Colon, Supervisor Signals
4. Conor Miller, ITS Specialist

Mayor Clough congratulated the new hires and promotions.

Introduction of Resolutions

- A. 2021-1 – Expressing congratulations to the employees of the Greater Cleveland Regional Transit Authority who retired during the fourth quarter of 2020, the adoption of which was moved by Mr. Serrano, seconded by Rev. Lucas and approved by unanimous vote.

Mr. Fields said there were 18 retirees. Mayor Clough thanked them for their service.

- B. 2021-2 – Authorizing an increase to Contract No. 2018-070 with E.L. Robinson Engineering for Project 52N Engineering Services for the Tower City East Portal Rehabilitation in the amount of \$220,459.54 for a total contract amount of \$989,942.01 and reinstating the General

Manager, Chief Executive Officer's change order signing authority in its entirety (RTA Development Fund, Engineering & Project Development Department budget), the adoption of which was moved by Mr. Serrano, seconded by Ms. Pellot and approved by unanimous vote.

A number of the resolutions have gone through committee.

- C. 2021-3 – Authorizing Contract No. 2020-182 with Cook Paving & Construction, Co., Inc. for Project 18.71 – GCRTA Salt Storage Facilities, in an amount not to exceed \$275,000.00 (RTA Development Fund, Engineering & Project Development Department budget), the adoption of which was moved by Mr. Joyce, seconded by Ms. Moss and approved by unanimous vote.

This is for a salt facility, but the procurement for salt will follow the normal practice. This is our first step towards housing our salt so we can be less depended on previous practices and be more proactive for the winter.

- D. 2021-4 – Authorizing Contract No. 2020-189 with the Aftermarket Parts Company, LLC for the furnishing of Gemini Plastic Seat Inserts (back & bottom-with drain holes), as specified and as required, for a period of one (1) year in an amount not to exceed \$385,078.32 (General Fund, Fleet Management Department budget), the adoption of which was moved by Chief McCall, seconded by Mr. Serrano and approved by unanimous vote.

Ms. Birdsong said this would bring us up to date with the plastic seating for all Gillig vehicles in the fleet. The plastic seating is easier to maintain and clean. We are moving away from the fabric seating, which is harder to clean. We are looking to have the entire fleet fitted with plastic. Paratransit has vinyl because of the longer seat ride and fabrication of the vehicles. There are variations within plastic seating to assist with sliding. That has been reviewed as well as drainage.

- E. 2021-5 – Authorizing Contract No. 2021-001 with Oracle Corporation to provide Oracle Software Maintenance and Support Services for a period of one year in an amount not to exceed \$388,132.99 (General Fund, Information Technology Department budget), the adoption of which was moved by Mr. Serrano, seconded by Ms. Pellot and approved by unanimous vote.
- F. 2021-6 – Authorizing Contract No. 2021-002 with Ultramain Systems, Inc. to provide software maintenance for the Authority's CITME Maintenance and Materials Management System for a period of one year in an amount not to exceed \$373,093.80, with two annual renewals in amounts not to exceed \$386,152.09 and \$399,667.41, respectively, for a total contract amount not to exceed \$1,158,913.30. (General Fund, Information Technology Department budget), the adoption of which was moved by Ms. Moss, seconded by Mr. Joyce and approved by unanimous vote.
- G. 2021-7 – Authorizing a Memorandum of Understanding with the Cuyahoga County Board of Health for implementation of the Baby on Board Program, the adoption of which was moved by Rev. Lucas, seconded by Mayor Byrne and approved by unanimous vote.

Angela Newman White, the grant supervisor at the Cuyahoga County Board of Health was on the line. They are excited to enter into a MOU with RTA to support transportation needs of families in three targeted zip codes with the overall goal to improve birth and health outcomes. They are confident that through their partnership they will be able to disseminate the resources and provide documentation and reporting. Ms. Moss asked if this is a pilot program since it only targets three zip codes as opposed to other people who may need the service, who are not in those zip codes. Ms. Birdsong said this is a pilot. They have determined the locations in concert the County. It is important for RTA to be invested in the community and the future of Cleveland and Ohio wide residents. Ms. Feke added that this is a pilot. The zip

codes and census tracts have the highest infant mortality in the area. It is a two-year program. It will provide transportation for young women to get to the doctor and help decrease immortality rates in this area.

- H. 2021-8 – Authorizing the Greater Cleveland Regional Transit Authority to grant a permanent right-of-way and easement to the East Ohio Gas Company (“Dominion”) to access and maintain the measuring and regulating station on Cuyahoga County Permanent Parcel Number 671-07-002, located at the Hayden Garage in East Cleveland, Ohio for the price of \$10,000.00, the adoption of which was moved by Mr. Joyce, seconded by Ms. Moss and approved by unanimous vote.

Interim Secretary-Treasurer's Report

Dr. Caver gave the report. The unemployment rate for the nation was 6.7% in December 2020. The county and state numbers have not come out, but we have traditionally been higher than the country. Nationally, 9.8 million jobs have been lost since Feb. 2020. They have been in industries that affect our community. It is predicted that the second half of 2020 may get better as the vaccine is disseminated throughout the country. February-April, 22 million jobs were lost. Over half have been re-gained by December 2020, but there are some shifts that will affect RTA. One is that in December 2020, nearly 25% of all employees were able to telework. This will present challenges for transit agencies and city centers related to taxing and economic activity. Another aspect is that government employment is down nationwide by 45,000 jobs. Government jobs are important because they are some of the largest employers in the community. About one and six restaurants have closed. This is money that will leave the community and jobs. Leisure and hospitality had the largest loss in jobs. Other job categories are trending up.

Ridership throughout the Pandemic was down about 54%. We have kept that at 50-55% down throughout the recovery. Passenger fares has a direct impact on revenue. Revenue compared to 2019, was down by 57%. Sales tax has been resilient. It has not taken the negative drop expected. The unemployment supplemental payments have helped. The last stimulus package and the next one will help keep cash in people's hand. The technicals do not suggest that given a 7% national unemployment rate that sales tax will be robust going forward. Online sales has had a tremendous increase in sales tax. Motor vehicles and alcohol sales have been steady.

General Manager, Chief Executive Officer Report

Ms. Birdsong gave the presentation. In 2020, we received about \$112 million through the CARES Act. All of that funding was drawn down by October 2020. The money was used to offset operating expenses and to keep us afloat. We did not incur any layoffs or terminations as a result of COVID. We are currently operating at 93% of normal service levels. For 2021, the COVID relief package is expected to be able to provide us with \$50-\$60 million or better. This is an estimate. We have not received the final timetable to determine what finances will look like through the 2nd round of the Coronavirus Response & Relief Supplemental Appropriations Act (CRRSAA) for 2021. We have been advised that there is a total of \$14 billion awarded to transit agencies in the nation. The Cleveland Urbanized Area (CUZA) is expected to be awarded about \$74.3 million. This includes Cleveland RTA, Medina, Laketran, Akron, Metro PARTA and Geauga County. The Board and public will be updated on the expected funds.

There has been a lot of conversation and media coverage regarding the COVID-19 vaccine and who can take it and when. We have been in conversation with frontline employees and finding what the City of Cleveland is undergoing regarding vaccination and when it will be our turn to get the vaccine. In order to prepare for this information, all RTA employees were surveyed to gauge their interest in taking the vaccine to have data to respond regarding demand for RTA. All responses will remain anonymous. There will be a two-week turnaround for responses that should be received by the end of the month. Employees will be notified on where to get the vaccine once it is available. They are not mandating that all employees take

the vaccine. However, they will continue to update that progress as the Pandemic continues. Rev. Lucas said that he would receive the vaccine as a member of the leadership of the Board.

Ohio Loves Transit is traditionally hosted annually in Columbus. It will be a virtual event this year for format and looking through the different ways to communicate through the transit industry with legislators and staff to be able to stand behind transit and continue to advocate for funding and continuous of service. RTA will attend virtually. OLT cookies were placed at the Board desk that will be sent to our legislatures as a thank you and reminder that we are an essential service. The purpose of Ohio Loves Transit is to educate and inform passengers, stakeholders and community leaders about the importance of public transportation. The goals are to meet in person or virtually to explain our need for support whether its engagement regarding eligibility for grants and funding or whether it is general advocates for safe passage for riders and operators through COVID.

Due to reconstruction on track 13 on Tower City, Red Line riders will board and exit at a different location through spring of this year. The Blue and Green Line is not affected. Check the website for updates. Mayor Paul A. Koomar of Bay Village will join the Board in March. He was appointed by the Cuyahoga County Mayors and Managers City Managers Association. He will take the seat of current RTA Board President, Mayor Dennis M. Clough of Westlake. Mayor Clough welcomed the mayor. He has worked with him over the years through the Council of Governments in the Westshore area. He has a financial background that will be of assistance and will be a great addition to the Board. Mayor Koomar said he would be happy to serve.

All Board members are reminded to get their audit feedback to Anthony Garofoli for the 2021 Internal Audit program by this Friday. He and his staff is finalizing an authority-wide risk assessment to formulate the audit plan for your review and approval next month. Transit Police body cameras and portable radios have arrived. We have a kick off meeting scheduled and we are ahead of schedule for this project. We will come back to the Board on policies and procedures and how to distribute the cameras. The American Public Transportation Association (APTA) requested to have the Rail Conference confirmed here in Cleveland in June. That will be on the heels of the NFL Draft planned for Cleveland in April/May. The rodeo was cancelled, but the conference will go forward. Interviews for Deputy General Manager positions and the Chief of Police are ongoing. There should be updates within the next quarter for all three positions. The Ad Hoc Technology Committee will meet Feb. 4 at 5 p.m. in the Main Office or virtually for those who would like to attend. Rev. Lucas asked when the hiring would be completed. She said they are wrapping up the DGM interviews. They expect to select a candidate for one DGM position by the end of this month and the end of next month for the other DGM. The Chief of Police position should be selected by the first of March.

President's Report

Mayor Clough reminded the Board that the Nominating Committee for the President and Vice President needs one more volunteer from the Board, namely a County appointee since someone from the City and Mayors has been identified. The committee member should not be interested in running for office. Please notify Theresa if you are interested. All interested in running for office should send a letter to the committee by the end of February so the Board can select the officers at the March Board Meeting.

Public comments on non-agenda items

Comments were received via form and by dial in. Comments were limited to two minutes.

1. Rev. Pamela M. Pinkney – Cleveland, OH – She hasn't received a returned phone call from Mayor Jackson. She opposes the plastic seats in Resolution 2021-4. She is concerned about the violence taking place locally and globally. She is disappointed in Ms. Birdsong's work. She requested a meeting. She has some concerns she wants to discuss with her.

2. Rico Dancy – Cleveland, OH – He is a Board member at WMATA in D.C. They have a Video Remote Interpreter (VRI) app on every phone of the police department. He asked if the Board is considering an app to assist Transit Police in communicating with the deaf community. Dr. Caver will provide an update with what his staff came up with concerning this app.

Ms. Birdsong said a few apps have come to staff's attention that have been forwarded to Transit Police. She said she would reach out to Rev. Pinkney to discuss her concerns.

3. Donte Taylor – He applauded RTA on their time and effort on the paratransit and big bus service.
4. Bob Ross - Thank you Mayor Clough for your service to GCRTA. Welcome to Mayor Koomar of Bay Village. Perhaps an expansion of the Board would be appropriate in these challenging times. I would really like to see a member that uses RTA extensively. It's time to give the Riders some representation. 2021 is the time...let's do it!
5. No Name - RTA is hiring 18 more vehicle cleaners, and has been focusing on cleaning during the COVID-19 pandemic, even though we have learned that the disease commonly spreads through the air instead of through touching surfaces. While we are waiting for vaccine rollout, new variant strains of the virus may become dominant like in the UK. We need to stay vigilant in the fight against COVID-19. To better fight COVID, it's more important to: Improve ventilation on buses and trains. Install hard barriers for drivers as promised (most buses still have plastic curtains installed, but many of these have broken or fallen). Distribute masks on all vehicles, like Detroit and Laketran. Masks currently available at Customer Service at Tower City are not accessible to most riders. (You need a mask to walk into Tower City in the first place!)
6. Gail Long – Shaker Heights, OH - Happy New Year to Board Members and Staff of RTA! I urge you to make the following adjustments and/or additions in RTA's COVID-19 protocol. One, please install permanent (not plastic curtains) barriers in all buses and trains and two, make masks available to all riders who enter RTA vehicles - trains, buses and vans throughout the service region. RTA has received pandemic funds to be used for just these purposes.
7. Luke Robertson -To better fight COVID in the RTA and make riders safe we, we need to do more than cleaning the buses more. It's more important to: 1. Improve ventilation on buses and trains. 2. Install hard barriers for drivers as promised (most buses still have plastic curtains installed, but many of these have broken or fallen) 3. Distribute masks on all vehicles, like Detroit and Laketran. Masks currently available at Customer Service at Tower City are not accessible to most riders. (You need a mask to walk into Tower City in the first place!).
8. Laqueta Worley-Bell – Cleveland, OH - It's very hard to seriously believe RTA couldn't find a fiscal agent to waive the cost of fare of all transit riders. I am scratching & scraping to ride every month. I know yeah the grant is only support the drivers and maintenance of the vehicles. But what about the riders?
9. Lynn Solomon – Cleveland, OH - Please install mask dispensers on buses so that those riders who don't have a mask can grab one and put it on as soon as they get on the bus. The virus is contracted most easily thru the air we breathe. By that rider putting on a mask he is protecting all the other riders on the bus (who have masks on) and the bus driver. Having masks available at RTA in Tower City is not helpful in getting a mask to someone who is boarding a bus. Please use some of the money you are receiving from the government because of the pandemic for this pandemic-related issue. Thank you.

Related to the question on expanding the Board, Ms. Benford said the RTA Board appointments are set up by the formation of the Board by agreement between the County, the City of Cleveland and Mayors & Managers in the County. Mayor Clough said that the RTA Board has no power on expanding the Board. The three agencies would have to do that.

Executive Session Requested

There will not be any action taken after the Executive Session other than to adjourn the meeting.

10:03 a.m. - Mayor Clough asked for a motion to go into Executive Session to consider the appointment, employment, dismissal, discipline, promotion, demotion, or compensation of a public employee or official. It was moved by Rev. Lucas, seconded by Mr. Bibb. There was ten (10) ayes and none opposed.

10:31 a.m. - It was moved by Rev. Lucas, seconded by Mr. Serrano to come out of Executive Session. There were ten (10) ayes and none opposed.

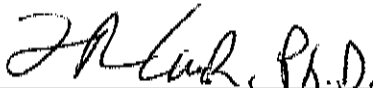
Upcoming Meetings

The next regular Board meeting is scheduled for Tuesday, February 16, 2021 in the Board Room of the Authority, Root-McBride Building, and 1240 West Sixth Street, Cleveland, Ohio 44113. This meeting will be live streamed on RTA's Facebook page for staff and members of the public at www.facebook.com/riderta Only Board members and required RTA staff will be allowed in the Board Room.

This meeting was adjourned at 10:31 a.m.

President

Attest:



Interim Secretary-Treasurer

RESOLUTION NO. 2021-9

EXPRESSING APPRECIATION TO THE HONORABLE DENNIS M. CLOUGH FOR HIS SERVICE AS A MEMBER OF THE BOARD OF TRUSTEES OF THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY AND EXTENDING BEST WISHES FOR MANY YEARS TO COME

WHEREAS, the Honorable Dennis M. Clough has served as a member of the Board of Trustees of the Greater Cleveland Regional Transit Authority for 21 years, having been selected for appointment by the Cuyahoga County Mayors and City Managers Association in 1999; and

WHEREAS, the Honorable Dennis M. Clough has been a faithful and dedicated Board Trustee during his tenure, serving as Vice President of the Board of Trustees from 2011 to 2018 and President of the Board of Trustees since 2018; and

WHEREAS, during his tenure as a member of the Board of Trustees, the Honorable Dennis M. Clough was a strong advocate for public transit and demonstrated his unwavering commitment to local, regional and state collaboration in order to achieve the best possible outcomes for all; and

WHEREAS, the Honorable Dennis M. Clough has served with distinction as the Mayor of the City of Westlake since 1986; and

WHEREAS, the Honorable Dennis M. Clough has performed with great diligence, insight and passion in serving the citizens of Cuyahoga County.

NOW, THEREFORE BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the most sincere appreciation of the Board of Trustees is hereby extended to the Honorable Dennis M. Clough for his dedicated service to the Authority and his community.

Section 2. That the members of the Board of Trustees express their gratitude to the Honorable Dennis M. Clough for his diligence in the performance of his responsibilities to the residents of Cuyahoga County.

Section 3. That the Board of Trustees offers its best wishes to the Honorable Dennis M. Clough and hopes he will enjoy many more years of successful public service.

Section 4. That this resolution shall become effective immediately upon its adoption.

Adopted: February 16, 2021

Vice-President

Attest: _____
Interim Secretary-Treasurer



TITLE/DESCRIPTION: CONTRACT: PROJECT 62A ENGINEERING SERVICES FOR THE REHABILITATION OF THE TRACK & PLATFORM BRIDGES OVER W. 117 TH STREET VENDOR: MICHAEL BAKER INTERNATIONAL, INC. AMOUNT: NTE \$490,211.00	Resolution No.: 2021-10
	Date: February 11, 2021
	Initiator: Engineering & Project Development
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This action will allow the Authority to enter into a contract for Project 62A – Engineering Services for the Rehabilitation of the Track & Platform Bridges over W. 117th Street.
- 2.0 **DESCRIPTION/JUSTIFICATION:** The West 117th Street Bridges carry the two Red Line heavy rail east and west lines flanking the center station platform. The bridges were constructed in 1953 with the last major rehabilitation work performed in 1989. The nearly 70 year old bridges have been deteriorated by freeze-thaw cycle damage, steel corrosion, and water intrusion.
- 3.0 **PROCUREMENT BACKGROUND:** The Request for Proposals (“RFP”) was posted on the GCRTA Procurement web site and advertised in the local newspapers. Thirty-eight interested parties, including potential subcontractors, downloaded the solicitation package. These services were solicited through a competitive negotiated procurement, utilizing the Brooks Act Procedures. Under this process, the Authority’s evaluation panel first selects the most technically qualified firm, solicits a pricing proposal from that firm, and negotiates price only with that firm. Should the Authority determine that an agreement could not be reached with the most qualified firm, it may reject that proposal and repeat the process with the next most qualified firm. In this instance, negotiations were held with Michael Baker International, Inc., the first ranked firm, and an acceptable price was reached.

Michael Baker International, Inc. has successfully completed projects for the GCRTA, DART (Dallas, TX), the LA Metro, and ODOT, among others.

The Procurement Department performed a cost analysis and determined the proposed pricing from Michael Baker International, Inc. in an amount not to exceed \$490,211.00 to be fair and reasonable to the Authority. This negotiated price is 8.9% above the Engineer’s Estimate.

- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** All Affirmative Action requirements have been met. A 9% DBE goal was established for this procurement. Michael Baker International, Inc. has committed to achieving the DBE participation goal through the utilization of 2LMN, Inc. (Subcontinent Asian-owned) in the amount of \$80,825.00 and Van Auken Akins Architects, LLC (Female-owned) in the amount of \$70,400.00 for a total of \$151,225.00 or 26%.
- 5.0 **POLICY IMPACT:** Does not apply.
- 6.0 **ECONOMIC IMPACT:** This procurement shall be payable through the RTA Development Fund, Engineering & Project Development Department budget, including but not limited to 100% Local Funds and Capital Grant, OH-2018-024, in an amount not to exceed \$490,211.00 (\$392,168.80 in federal funds which represents 80% of the total cost).

- 7.0 ALTERNATIVES: Reject this offer. Rejection of this offer will allow for continued deterioration of this asset.
- 8.0 RECOMMENDATION: This procurement was discussed by the Board of Trustees at the February 2, 2021 Operational Planning and Infrastructure Committee meeting. It is recommended that the offer from Michael Baker International, Inc. be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 ATTACHMENTS: None.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

RESOLUTION NO. 2021-10

AUTHORIZING CONTRACT NO. 2020-149 WITH MICHAEL BAKER INTERNATIONAL, INC. FOR PROJECT 62A – ENGINEERING SERVICES FOR THE REHABILITATION OF THE TRACK & PLATFORM BRIDGES OVER W. 117TH STREET IN AN AMOUNT NOT TO EXCEED \$490,211.00 (ENGINEERING & PROJECT DEVELOPMENT DEPARTMENT BUDGET)

WHEREAS, The Authority requires task order inspection services for construction inspection and construction management support services; and

WHEREAS, the proposal of Michael Baker International, Inc., with an office located at 1111 Superior Avenue, Cleveland, Ohio 44114, to perform said services was received on October 22, 2020 in response to a competitive solicitation; and

WHEREAS, after negotiations, Michael Baker International, Inc. has agreed to perform the required services in an amount not to exceed \$490,211.00; and

WHEREAS, the General Manager, Chief Executive Officer deems the offer of Michael Baker International, Inc. to be the most advantageous to the Authority, and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the negotiated offer of Michael Baker International, Inc. to provide various task order services under Project 62A – Engineering Services for the Rehabilitation of the Track & Platform Bridges over W. 117th Street be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a contract with Michael Baker International, Inc. for the performance of said services.

Section 3. That said contract shall be payable from the RTA Development Fund, Engineering & Project Development Department budget, including but not limited to 100% Local Funds and Capital Grant OH-2018-024, in an amount not to exceed \$490,211.00 (\$392,168.80 in federal funds which represents 80% of the total cost).

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon compliance by the contractor to the Specifications and Addenda, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements and all applicable laws relating to contractual obligations of the Authority.

Section 5. That the Greater Cleveland Regional Transit Authority's Board of Trustees expects that Michael Baker International, Inc. will attempt to exceed the 9% minimum DBE goal assigned to this procurement.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: February 16, 2021

President

Attest: _____
Interim Secretary-Treasurer



TITLE/DESCRIPTION: CONTRACT: PROJECT 12F – ENGINEERING SERVICES FOR THE 515 SWITCH RETURN TO SERVICE VENDOR: MOTT MACDONALD, LLC AMOUNT: NTE \$219,284.00	Resolution No.: 2021-11
	Date: February 11, 2021
	Initiator: Engineering & Project Development
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This action will allow the Authority to enter into a contract for Engineering Services for the 515 Switch Return to Service.
- 2.0 **DESCRIPTION/JUSTIFICATION:** This project will provide professional engineering services for the design and technical support services for the return to service of the 515 switch located at the Brook Park Rail Yard. The purpose of this project is to place this switch back in service so new trains can be commissioned at the rail shop. This switch has not been operational due to the lack of need until now. Work will include design of all signal related items required to place the switch back in service, block design, design of new signals, confirmation of the reuse of the switch machine, signal foundations, cable, test loop cab signal, and snow melters.
- 3.0 **PROCUREMENT BACKGROUND:** The Request for Proposals ("RFP") was posted on the GCRTA Procurement web site and advertised in the local newspapers. Twelve interested parties, including potential subcontractors, downloaded the solicitation package. These services were solicited through a competitive negotiated procurement, utilizing the Brooks Act procedures. Under this process, the Authority's evaluation panel first selects the most technically qualified firm, solicits a pricing proposal from that firm, and negotiates price only with that firm. Should the Authority determine that an agreement could not be reached with the most qualified firm, it may reject that proposal and repeat the process with the next most qualified firm. In this instance, negotiations were held with Mott MacDonald, LLC, the first ranked firm, and an acceptable price was reached.
- Mott MacDonald, LLC has successfully completed projects for the GCRTA, Chicago Transit Authority, Port Authority of Allegheny County and WMATA, among others.
- The Procurement Department performed a cost analysis and determined the proposed pricing to be fair and reasonable to the Authority.
- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** All Affirmative Action requirements have been met. A 4% DBE goal was established for this procurement. Mott MacDonald, LLC has committed to achieving the DBE participation goal through the utilization of Raul V. Bravo & Assoc. (Hispanic-owned) in the amount of \$7,569.00 and GeoTrans (Hispanic-owned) in the amount of \$31,465.00 for a total of \$39,034.00 or 17.8%.
- 5.0 **POLICY IMPACT:** Does not apply.
- 6.0 **ECONOMIC IMPACT:** This contract shall be payable from the RTA Development Fund, Engineering & Project Development Department budget, including but not limited to Capital Grant OH-2019-007 (OTP3 Red Line West Track Rehab) and 100% Local funds, in an amount not to exceed \$219,284.00 (\$175,427.20 in federal funds which represents 80% of total cost).

- 7.0 ALTERNATIVES: Reject this offer. Rejection of this offer would leave the Authority without the technical expertise of a professional signal engineering firm to supplement the resources of the Authority's Engineering and Project Management Division for the return of the 515 switch to service at the Brook Park Rail Yard.
- 8.0 RECOMMENDATION: This procurement was discussed by the Board of Trustees at the February 2, 2021 Operational Planning and Infrastructure Committee meeting. It is recommended that the offer from Mott MacDonald, LLC be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 ATTACHMENTS: None.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.


General Manager, Chief Executive Officer

RESOLUTION NO. 2021-11

AUTHORIZING CONTRACT NO. 2020-162 WITH MOTT MACDONALD, LLC FOR PROJECT 12F – ENGINEERING SERVICES FOR THE 515 SWITCH RETURN TO SERVICE IN AN AMOUNT NOT TO EXCEED \$219,284.00 (RTA DEVELOPMENT FUND, ENGINEERING & PROJECT DEVELOPMENT DEPARTMENT BUDGET)

WHEREAS, the Authority requires signal engineering design and technical support services for the 515 Switch Return to Service, in order to commission new rail cars at the Brook Park Rail Yard; and

WHEREAS, the Authority also has a need for a consultant to design the specifications to put the switch back into service and provide bid documents & support, construction duration estimates and construction support services; and.

WHEREAS, the proposal of Mott MacDonald, LLC, with an office located at 18013 Cleveland Parkway Drive, Suite 200, Cleveland, Ohio 44135, to perform said services was received on November 10, 2020 in response to a competitive solicitation; and

WHEREAS, after negotiations, Mott MacDonald, LLC has agreed to perform the required services for an amount not to exceed \$219,284.00; and

WHEREAS, the General Manager, Chief Executive Officer deems the offer of Mott MacDonald, LLC to be the most advantageous to the Authority and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the negotiated offer of Mott MacDonald, LLC to provide signal engineering design and technical support services under Project 12F – Engineering Services for the 515 Switch Return to Service, be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a contract with Mott MacDonald, LLC for the performance of said services.

Section 3. That said contract shall be payable from the RTA Development Fund, Engineering & Project Development Department budget, including but not limited to Capital Grant OH-2019-007 (OTP3 Red Line West Track Rehab) and 100% Local funds, in an amount not to exceed \$219,284.00 (\$175,427.20 in federal funds which represents 80% of total cost).

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon compliance by the contractor to the Specifications and Addenda, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements and all applicable laws relating to contractual obligations of the Authority.

Section 5. That the Greater Cleveland Regional Transit Authority's Board of Trustees expects that Mott MacDonald, LLC will attempt to exceed the 4% minimum DBE goal assigned to this procurement.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: February 16, 2021

President

Attest: _____
Interim Secretary-Treasurer




<p>TITLE/DESCRIPTION: CONTRACT: NON-RAIL AND HEALTHLINE LANDSCAPING SERVICES VENDOR: PIRC COMPANY LANDSCAPING AND SNOWPLOWING, LLC AMOUNT: NTE \$792,995.98 FOR A PERIOD OF THREE YEARS, AND IN AMOUNTS NTE \$266,975.31 AND \$269,645.07 FOR EACH OPTION YEAR, RESPECTIVELY, FOR A TOTAL CONTRACT AMOUNT NTE \$1,329,616.36</p>	<p>Resolution No.: 2021-12 Date: February 11, 2021 Initiator: Service Management Department</p>
<p>ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____</p>	

- 1.0 **PURPOSE/SCOPE:** This action will allow the Authority to enter into a contract to provide Non-Rail and HealthLine Landscaping Services for a period of three years with two, one-year options.
- 2.0 **DESCRIPTION/JUSTIFICATION:** Landscaping is an integral aspect of maintaining the public image of the Authority, and it is required for compliance with laws and regulations. The Authority does not have the equipment or expertise to properly maintain the non-rail and HealthLine landscaping sites, therefore a landscaping contractor is necessary to maintain the high standards established by all of the key stakeholders. The HealthLine and non-rail landscaping sites include weekly turf and bed maintenance, spring and fall cleanups, mulching, tree, bush, and shrub pruning, weed control, and bio-retention basin maintenance. The HealthLine landscaping sites specifically includes semi-annual flower planting with watering, as needed, and winter plant protection.
- 3.0 **PROCUREMENT BACKGROUND:** The Request for Proposals ("RFP") was posted on the procurement website and advertised in the local newspapers. Two (2) proposals were received on December 8, 2020 in response to this solicitation. After evaluation by a panel of Authority employees in accordance with established Procurement Department policies and procedures, and, after negotiations, the proposal of Pirc Company Landscaping and Snowplowing, LLC to provide Non-Rail and HealthLine Landscaping Services was selected as the most advantageous to the Authority.

A cost analysis was performed and the Procurement Department has determined the negotiated price to be fair and reasonable to the Authority.
- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** All Affirmative Action requirements have been met. A 20% DBE goal was established for this procurement. Pirc Company Landscaping and Snowplowing, LLC has committed to achieving the DBE participation goal through the utilization of Top Design Landscaping (African American male-owned) for a total of \$269,910.00 or 20%.
- 5.0 **POLICY IMPACT:** Does not apply.
- 6.0 **ECONOMIC IMPACT:** This procurement shall be payable through the General Fund, Service Management Department budget, in an amount not to exceed \$792,995.98 for a period of three years, and in amounts not to exceed \$266,975.31 and \$269,645.07 for each option year, respectively, for a total contract amount not to exceed \$1,329,616.36. This is 3% below the cost estimate.

- 7.0 ALTERNATIVES: Reject this offer. Rejection of this offer will result in a delay of necessary landscaping services at numerous Authority properties, resulting in a poor public perception and the risk of being fined by municipalities for not maintaining our property.
- 8.0 RECOMMENDATION: This procurement was discussed by the Board of Trustees at the February 2, 2021 Organizational, Services & Performance Monitoring Committee meeting. It is recommended that the offer of Pirc Company Landscaping and Snowplowing, LLC be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 ATTACHMENT: None.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.


General Manager, Chief Executive Officer

RESOLUTION NO. 2021-12

AUTHORIZING CONTRACT NO. 2020-174 WITH PIRC COMPANY LANDSCAPING AND SNOWPLOWING, LLC FOR NON-RAIL AND HEALTHLINE LANDSCAPING SERVICES IN AN AMOUNT NOT TO EXCEED \$792,995.98 FOR A PERIOD OF THREE YEARS, AND IN AMOUNTS NOT TO EXCEED \$266,975.31 AND \$269,645.07 FOR EACH OPTION YEAR, RESPECTIVELY, FOR A TOTAL CONTRACT AMOUNT NOT TO EXCEED \$1,329,616.36 (GENERAL FUND, SERVICE MANAGEMENT DEPARTMENT BUDGET)

WHEREAS, the Authority deems it necessary to acquire a landscape contractor to maintain the landscaping on the non-rail and HealthLine properties; and

WHEREAS, the proposal of Pirc Company Landscaping and Snowplowing, LLC, located at 30202 Lakeland Boulevard, Wickliffe, Ohio, was received on December 8, 2020; and

WHEREAS, after negotiations, the proposal of Pirc Company Landscaping and Snowplowing, LLC to provide Non-Rail and HealthLine Landscaping Services in an amount not to exceed \$792,995.98 for a period of three years, and in amounts not to exceed \$266,975.31 and \$269,645.07 for each option year, respectively, for a total contract amount not to exceed \$1,329,616.36, was determined to be most advantageous to the Authority, cost and other factors considered; and

WHEREAS, the General Manager, Chief Executive Officer, deems the offer of Pirc Company Landscaping and Snowplowing, LLC, in the best interest of the Authority and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the offer of Pirc Company Landscaping and Snowplowing, LLC, as negotiated, to provide Non-Rail and HealthLine Landscaping Services be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a contract with Pirc Company Landscaping and Snowplowing, LLC, to provide Non-Rail and HealthLine Landscaping Services for a period of three years with two, one-year options, and is further authorized to exercise the option years.

Section 3. This procurement shall be payable through the General Fund, Service Management Department budget, in an amount not to exceed \$792,995.98 for a period of three years, and in amounts not to exceed \$266,975.31 and \$269,645.07 for each option year, respectively, for a total contract amount not to exceed \$1,329,616.36.

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon future funding, compliance by the contractor to the Specifications and Addenda, thereto, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements; and all applicable laws relating to the contractual obligations of the Authority.

Section 5. That the Greater Cleveland Regional Transit Authority's Board of Trustees expects that Pirc Company Landscaping and Snowplowing, LLC will attempt to exceed the 20% minimum DBE goal assigned to this procurement.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: February 16, 2021

President

Attest: _____
Interim Secretary-Treasurer



TITLE/DESCRIPTION: CONTRACT: OVERHEAD DOOR REPAIR, AS SPECIFIED AND AS REQUIRED VENDOR: ADMJ HOLDINGS LLC, DBA ADVANCE DOOR CO. AMOUNT: NOT TO EXCEED \$405,000.00 FOR A THREE-YEAR BASE PERIOD, WITH TWO, ONE-YEAR OPTIONS NOT TO EXCEED \$135,000.00 EACH, FOR A TOTAL CONTRACT AMOUNT NOT TO EXCEED \$675,000.00	Resolution No.: 2021-13
	Date: February 11, 2021
	Initiator: Service Management Department
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

1.0 **PURPOSE/SCOPE:** This action will allow the Authority to enter into a contract for overhead door repair and maintenance services at various facilities throughout the Authority, as specified and as required, for a base period of three years, with two, one-year options, for a total contract period of five years.

2.0 **DESCRIPTION/JUSTIFICATION:** This project provides services to repair and maintain the overhead doors at various facilities across the Authority due to damage from accidents, as well as failures from age and normal wear. This service maintains the security of the Authority's buildings and equipment, as well as prevents employees and assets from being exposed to inclement weather.

3.0 **PROCUREMENT BACKGROUND:** The Invitation for Bid ("IFB") was posted on the procurement web site and advertised in the local newspapers. Notifications were sent to six potential bidders. Five interested parties downloaded the solicitation from the web site. Two responsive bids from responsible bidders were opened on December 29, 2020. A summary is as follows:

		ADMJ Holdings LLC, dba Advance Door Co.	BLD Door Service, LLC dba Overhead Door Company of Akron
<u>Hourly Rate for 2-Person Crew</u>	Yr 1	\$150	\$165
	Yr 2	\$152	\$165
	Yr 3	\$154	\$175
	Yr 4	\$155	\$175
	Yr 5	\$158	\$180

The bid of ADMJ Holdings LLC, DBA Advance Door Co. has been determined by the Procurement Department to be the lowest responsive bid from a responsible bidder. The contract will include both material and labor, at the labor rates contained in the bid document. The "not to exceed" amount is based on the average cost incurred on both material and labor over the past three years, resulting in an estimated annual cost of \$135,000.00, for a three year base period not to exceed \$405,000.00, with two, one-year options not to exceed \$135,000.00 each, for a total contract not to exceed \$675,000.00. A price analysis was performed and the Procurement Department has determined the price to be fair and reasonable to the Authority.

- 4.0 AFFIRMATIVE ACTION/DBE BACKGROUND: All Affirmative Action requirements have been met. A 0% DBE goal was established for this procurement due to the lack of certified DBE firms.
- 5.0 POLICY IMPACT: Does not apply.
- 6.0 ECONOMIC IMPACT: This procurement will be funded through the General Fund, Service Management Department budget, in an amount not to exceed \$405,000.00, at established hourly rates, for the base period of three years, with two, one year options in an amount not to exceed \$135,000 per year, for a total contract amount not to exceed \$675,000.00.
- 7.0 ALTERNATIVES: Reject this offer. Rejection of this offer would delay the continued repair and maintenance service required on the Authority's overhead doors, potentially exposing the Authority's employees and assets to financial and safety risks.
- 8.0 RECOMMENDATION: It is recommended that the bid of ADMJ Holdings LLC, DBA Advance Door Co., be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 ATTACHMENTS: None

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.


General Manager, Chief Executive Officer

RESOLUTION NO. 2021-13

AUTHORIZING CONTRACT NO. 2020-193 WITH ADMJ HOLDINGS LLC, DBA ADVANCE DOOR CO., FOR OVERHEAD DOOR REPAIR, AS SPECIFIED AND AS REQUIRED, FOR A THREE YEAR BASE PERIOD NOT TO EXCEED \$405,000.00, WITH TWO, ONE-YEAR OPTIONS NOT TO EXCEED \$135,000.00 EACH, FOR A TOTAL CONTRACT AMOUNT NOT TO EXCEED \$675,000.00 (GENERAL FUND, SERVICE MANAGEMENT DEPARTMENT BUDGET)

WHEREAS, the Greater Cleveland Regional Transit Authority requires recurring services for repair and maintenance of the Authority's overhead doors at various facilities; and

WHEREAS, the bid of ADMJ Holdings LLC, DBA Advance Door Co., located at 4555 Willow Parkway, Cuyahoga Heights, Ohio 44125, for the furnishing of overhead door repair services, as specified and as required, for a three-year base period with two, one-year options, was received on December 29, 2020; and

WHEREAS, the General Manager, Chief Executive Officer deems the bid of ADMJ Holdings LLC, DBA Advance Door Co., to be the lowest responsive bid from a responsible bidder and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the bid of ADMJ Holdings LLC, DBA Advance Door Co., for overhead door repair services, as specified and as required, be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a contract with ADMJ Holdings LLC, DBA Advance Door Co. for the furnishing of overhead door repair services, as specified and as required, for the base three years with two, one-year options, with the right to exercise the two, one-year options.

Section 3. This procurement will be funded through the General Fund, Service Management Department budget, in an amount not to exceed \$405,000.00, at established hourly rates, for the base period of three years, with two, one year options in an amount not to exceed \$135,000 per year, for a total contract amount not to exceed \$675,000.00.

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon future funding, compliance by the contractor to the Specifications and Addenda, thereto, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements; and all applicable laws relating to the contractual obligations of the Authority.

Section 5. That the Greater Cleveland Regional Transit Authority's Board of Trustees expects that ADMJ Holdings LLC, DBA Advance Door Co., will attempt to exceed the 0% minimum DBE goal assigned to this procurement.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: February 16, 2021

President

Attest: _____
Interim Secretary-Treasurer



TITLE/DESCRIPTION: CONTRACT: PROJECT 52T – LIGHT RAIL TRACK REHABILITATION BUCKEYE-WOODHILL TO SHAKER SQUARE VENDOR: RAILWORKS TRACK SERVICES, INC. AMOUNT: \$7,996,000.00	Resolution No.: 2021-14
	Date: February 11, 2021
	Initiator: Engineering & Project Development
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This action will allow the Authority to enter into a contract to provide construction services for Project 52T – Light Rail Track Rehabilitation Buckeye-Woodhill to Shaker Square.
- 2.0 **DESCRIPTION/JUSTIFICATION:** The work to be performed under this contract consists of the rehabilitation of east and westbound tracks from Buckeye-Woodhill Station to Shaker Square from station 225+00 to 307+50.
- 3.0 **PROCUREMENT BACKGROUND:** The Invitation for Bid ("IFB") was posted on the GCRTA Procurement website and advertised in the local newspapers. Twenty-one interested parties, including potential subcontractors, downloaded the solicitation package. Two responsive bids were received and opened on January 26, 2021, as follows:

Company Name	Total Base Bid
RailWorks Track Services, Inc.	\$7,996,000.00
Delta Railroad Construction, Inc.	\$9,249,302.00

The Basis of Award is the lowest responsive bid from a responsible bidder for the Total Base Bid price. RailWorks Track Services, Inc. was determined to be a responsible bidder. The Total Base Bid price of \$7,996,000.00 from RailWorks Track Services, Inc. is 19.6% less than the Engineer's Estimate of \$9,948,950.00.

- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** All Affirmative Action requirements have been met. A 9% participation goal was established for this procurement. RailWorks Track Services, Inc. has committed to achieving the DBE participation through the utilization of Cook Paving and Construction (African American female-owned) in the amount of \$720,000.00 or 9%.
- 5.0 **POLICY IMPACT:** Does not apply.
- 6.0 **ECONOMIC IMPACT:** This procurement shall be payable through the RTA Development Fund, Engineering & Project Development Department budget, including but not limited to Capital Grants OH-2019-037-307 and OH-2020-044-5337 in an amount not to exceed \$1,996,000.00, and 100% Local Funds pending Ohio Transit Partnership Program (OTP2) reimbursement in an amount not to exceed \$6,000,000.00, for a total contract amount not to exceed \$7,996,000.00 (\$1,596,800.00 in federal funds which represents 20% of total cost).

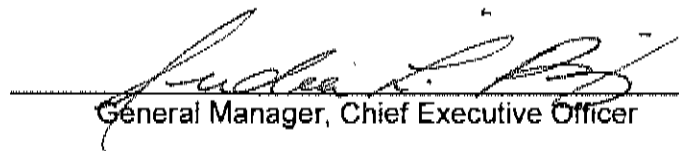
Staff Summary & Comments

Light Rail Track Rehabilitation Buckeye-Woodhill to Shaker Square

Page 2

- 7.0 ALTERNATIVES: Reject this offer. Rejection of this offer will impact the rehabilitation of the tracks from Buckeye-Woodhill Station to Shaker Square.
- 8.0 RECOMMENDATION: This project was discussed by the Board of Trustees at the February 2, 2021 Operational Planning & Infrastructure Committee meeting. It is recommended that the bid of RailWorks Track Services, Inc. be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 ATTACHMENTS: None.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

RESOLUTION NO. 2021-14

AUTHORIZING CONTRACT NO. 2020-196 WITH RAILWORKS TRACK SERVICES, INC. FOR PROJECT 52T – LIGHT RAIL TRACK REHABILITATION BUCKEYE-WOODHILL TO SHAKER SQUARE STATION, AS SPECIFIED AND AS REQUIRED, IN AN AMOUNT NOT TO EXCEED \$7,996,000.00 (RTA DEVELOPMENT FUND, ENGINEERING & PROJECT DEVELOPMENT DEPARTMENT BUDGET)

WHEREAS, the Authority deems it necessary to acquire construction services under Project 52T – Light Rail Track Rehabilitation Buckeye-Woodhill to Shaker Square; and

WHEREAS, the bid of RailWorks Track Services, Inc., located at 2101 S Carpenter St, 2nd Floor, Chicago, Illinois 60608, was received on January 26, 2021 in an amount not to exceed \$7,996,000.00; and

WHEREAS, the General Manager, Chief Executive Officer deems the bid of RailWorks Track Services, Inc. to be the lowest responsive bid from a responsible bidder and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the bid of RailWorks Track Services, Inc. for Project 52T – Light Rail Track Rehabilitation Buckeye-Woodhill to Shaker Square, be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a contract with RailWorks Track Services, Inc. for Project 52T – Light Rail Track Rehabilitation Buckeye-Woodhill to Shaker Square.

Section 3. That said contract shall be payable from the RTA Development Fund, Engineering & Project Development Department budget, including but not limited to Capital Grants OH-2019-037-307 and OH-2020-044-5337, in an amount not to exceed \$1,996,000.00, and 100% Local Funds pending Ohio Transit Partnership Program reimbursement in an amount not to exceed \$6,000,000.00, for a total contract amount not to exceed \$7,996,000.00 (\$1,596,800.00 in federal funds which represents 20% of total cost).

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon compliance by the contractor to the Specifications and Addenda, thereto, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements; and all applicable laws relating to the contractual obligations of the Authority.

Section 5. That the Greater Cleveland Regional Transit Authority's Board of Trustees expects that RailWorks Track Services, Inc. will attempt to exceed the 9% minimum DBE goal assigned to this procurement.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: February 16, 2021

President

Attest: _____
Interim Secretary-Treasurer

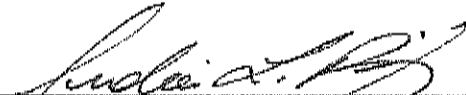


TITLE/DESCRIPTION: CONTRACT: AUTHORIZING AN INCREASE IN THE GENERAL MANAGER, CHIEF EXECUTIVE OFFICER'S CHANGE ORDER SIGNING AUTHORITY UNDER CONTRACT 2019-001 - PROJECT NO. 52M REHABILITATION OF TRACKS 10 AND 13 AT TOWER CITY STATION VENDOR: RAILWORKS TRACK SERVICES, INC. AMOUNT: NTE \$250,000.00	Resolution No.: 2021-15
	Date: February 11, 2021
	Initiator: Engineering & Project Development Department
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This action will allow the Authority to increase the General Manager, Chief Executive Officer's original change order signing authority for this contract.
- 2.0 **DESCRIPTION/JUSTIFICATION:** Project 52M Rehabilitation of Tracks 10 and 13 at Tower City Station is 139 days away from final completion. This request will increase the General Manager/Chief Executive Officer's change order signing authority for this contract by \$250,000.00. This action will streamline the processing of any change order that might be needed during the remainder of the project and ensure that the project is completed on time.
- 3.0 **PROCUREMENT BACKGROUND:** Contract No. 2019-001 was competitively bid and awarded to RailWorks Track Services, Inc. on March 26, 2019, by Resolution No. 2019-027, in an amount of \$11,860,000.00. There have been 16 change orders, as detailed in the attached Change Order Log, totaling \$471,070.66. The current balance in the change order signing authority stands at \$28,929.34. This request will increase the authority by \$250,000.00 bringing the total change order authority authorized under this contract to \$750,000.00. This is an approximate 6% increase to the original contract total.
- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** All Affirmative Action requirements have been met. A 17% DBE goal was established on this procurement in 2018. Railworks Track Services, Inc. has agreed to maintain the established DBE participation goal on the project through the use of existing DBE firms.
- 5.0 **POLICY IMPACT:** Does not apply.
- 6.0 **ECONOMIC IMPACT:** That said change order authorization shall be payable from the RTA Development Fund, Engineering & Project Development budget, including but not limited to Capital Grants OH-2018-001, OH-2018-024, OH-2018-027, and 100% Local funds.
- 7.0 **ALTERNATIVES:** Not increasing the General Manager, Chief Executive Officer's change order signing authority for this contract may impact the performance schedule for the work.

- 8.0 RECOMMENDATION: A reinstatement of a \$500,000.00 signing authority was discussed by the Board of Trustees at the February 2, 2021 Operational Planning & Infrastructure Committee meeting. Based upon this discussion, it is recommended that the General Manager, Chief Executive Officer's change order signing authority for this contract be increased by \$250,000.00.
- 9.0 ATTACHMENT: Change Order Log.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

**APPROVED CHANGE ORDERS
REHABILITATION OF TRACKS 10 AND 13 AT TOWER CITY STATION**

PROJECT NO. 52M

Contract # 2019-001

Contractor: RailWorks Track Services, Inc.

Original Contract Amount \$11,860,000.00

Total G.M. C.O. Authority Remaining **\$28,929.34**

Total Change Order Amount To Date \$ **471,070.66**

% Change to Date: **94.2%**

<i>C/O NO.</i>	<i>C/O DESCRIPTION</i>	<i>APPROVAL DATE</i>	<i>CHANGE ORDER AMOUNT</i>	<i>NEW CONTRACT</i>
1.	Wheel Detectors	09/25/2019	\$9,817.08	\$11,869,817.08
2.	Concrete Under Platform	11/15/2019	\$45,473.79	\$11,915,290.87
3.	Removal of Subgrade Material	01/08/2020	\$274.35	\$11,915,565.22
4.	Signal Cable Conduit	01/30/2020	\$1,145.09	\$11,916,710.31
5.	Relocation of Signal Cables	01/30/2020	\$4,700.61	\$11,921,410.92
6.	Installation of Floor Tile and Block	02/14/2020	\$9,045.43	\$11,930,456.35
7.	Witness Holes	02/14/2020	\$3,032.98	\$11,933,489.33
8.	Expansion Material	02/14/2020	\$15,154.86	\$11,948,644.19
9.	ADA Panels along Track 10E	02/14/2020	\$6,856.04	\$11,955,500.23
10.	No Cost Time Extension	02/27/2020	\$0.00	\$11,955,500.23
11.	Additional Design for GL-1	05/19/2020	\$132,415.97	\$12,087,916.20
12.	No Cost Time Extension	06/23/2020	\$0.00	\$12,087,916.20
13.	Replacement of 100# RA Rail	07/20/2020	\$49,578.54	\$12,137,494.74
14.	Drainage Improvements	08/19/2020	\$4,239.17	\$12,141,733.91
15.	Removal and Replacement of Sub-Floor	10/07/2020	\$12,836.75	\$12,154,570.66
16.	Final Design and Field Modifications for GL-1	01/13/2021	\$176,500.00	\$12,331,070.66

RESOLUTION NO. 2021-15

AUTHORIZING AN INCREASE IN THE GENERAL MANAGER, CHIEF EXECUTIVE OFFICER'S CHANGE ORDER AUTHORITY UNDER CONTRACT 2019-001 – PROJECT 52M REHABILITATION OF TRACKS 10 AND 13 AT TOWER CITY STATION IN AN AMOUNT NOT TO EXCEED \$250,000.00

WHEREAS, the General Manager, Chief Executive Officer approved a contract for construction services with RailWorks Track Services, Inc., for a total contract price of \$11,860,000.00, as authorized under Resolution 2019-027; and

WHEREAS, the General Manager, Chief Executive Officer utilized \$471,070.66 of the original \$500,000.00 signing authority allotted for change order work required for this extensive project, thereby leaving a balance of \$28,929.34 in her present change order signing authority; and

WHEREAS, the General Manager, Chief Executive Officer deems the present contract value of \$12,331,070.66 may need to be increased, due to the possibility of future changes beyond the available signing authority that may be necessary to complete the project; and

WHEREAS, the General Manager, Chief Executive Officer deems an increase in her change order signing authority in an amount not to exceed \$250,000.00 will help streamline the processing of any change order that might be needed during the remainder of the project and ensure that the project is completed on time.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the General Manager, Chief Executive Officer's change order signing authority under Contract No. 2019-001 – Project No. 52M Rehabilitation of Tracks 10 and 13 at Tower City Station be increased in an amount not to exceed \$250,000.00, bringing the total change order amount authorized under this contract to \$750,000.00.

Section 2. That said change order authority shall be payable from the RTA Development Fund, Engineering & Project Development budget, including but not limited to Capital Grants OH-2018-001, OH-2018-024, OH-2018-027, and 100% Local funds.

Section 3. That all other terms and conditions of this contract will remain unchanged.

Section 4. That this resolution shall become effective immediately upon its adoption.

Adopted: February 16, 2021

President

Attest: _____
Interim Secretary-Treasurer



Greater Cleveland Regional Transit Authority
STAFF SUMMARY AND COMMENTS

TITLE/DESCRIPTION: CONTRACT: LANDSCAPE MAINTENANCE AND PARKING LOT SNOW REMOVAL FOR THREE YEARS VENDOR: CITY OF SHAKER HEIGHTS AMOUNT: \$824,305.78	Resolution No.: 2021-16
	Date: February 11, 2021
	Initiator: Rail District
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This action will renew an existing agreement with the City of Shaker Heights to perform landscape maintenance of the Authority's right of way along the Blue and Green Lines and remove snow from the Authority's rail station parking lots within the City.

- 2.0 **DESCRIPTION/JUSTIFICATION:** The initial Governmental Interagency Agreement was entered into as part of the Mass Transit System Transfer Agreement by which the Authority acquired the Shaker Heights Rapid Transit System, including the rights-of-way. Shaker Heights reserved to itself the right to perform those services, and the Authority agreed to compensate the City for its labor and material costs pursuant to a fixed schedule of services. This new agreement is for three years, which coincides with the terms of the City's union agreements.

- 3.0 **PROCUREMENT BACKGROUND:** The initial agreement, entered into on November 23, 1982 and amended on May 1, 2000 and again on February 23, 2001, is an ongoing contractual agreement with the City of Shaker Heights for the maintenance of grounds adjoining rail rights-of-way. It delineated the respective responsibilities as to the maintenance of those grounds and facilities adjoining the Blue and Green Lines of the Authority. Ohio Revised Code 306.43(H)(4) permits a regional transit authority to enter into agreements for goods or services with another political subdivision without competition.

 The cost for the period from January 2021 through December 2023 is not to exceed \$824,305.78. The annual cost is \$273,031.34 for year 2021, \$274,762.82 for year 2022 and \$276,511.62 for year 2023. This three-year total is approximately 1.5% below the previous contract pricing.

- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** The Office of Business Development does not conduct Affirmative Action reviews or establish DBE participation goals on governmental contracts or interagency agreements.

- 5.0 **POLICY IMPACT:** This action will carry out a provision of the agreement by which the Authority acquired the Blue and Green Lines.

- 6.0 **ECONOMIC IMPACT:** This agreement will be funded through the General Fund, Rail District Department budget, in an amount not to exceed \$824,305.78 for the three-year period.

- 7.0 ALTERNATIVES: Reject this offer. Rejection of this agreement would represent a breach of the Mass Transit System Transfer Agreement.
- 8.0 RECOMMENDATION: It is recommended that the resolution be passed authorizing the General Manager, Chief Executive Officer to enter into the Agreement.
- 9.0 ATTACHMENTS: None.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

RESOLUTION NO. 2021-16

AUTHORIZING AN AGREEMENT WITH THE CITY OF SHAKER HEIGHTS FOR LANDSCAPE MAINTENANCE AND PARKING LOT SNOW REMOVAL FOR A PERIOD OF THREE YEARS IN AN AMOUNT NOT TO EXCEED \$824,305.78 (GENERAL FUND, RAIL DISTRICT DEPARTMENT BUDGET)

WHEREAS, Ohio Revised Code, Section 306.43(H)(4) permits a regional transit authority to enter into agreements for goods or services with another political subdivision without competition; and

WHEREAS, by virtue of the Mass Transit System Transfer Agreement of 1975, the City of Shaker Heights ("City") and the Greater Cleveland Regional Transit Authority ("Authority") agreed that the City will perform maintenance of grounds adjoining rail rights-of-way and will remove snow from the Authority's parking lots at rail stations within the City and that the Authority shall reimburse the City for the cost thereof; and

WHEREAS, the parties wish to renew this Agreement for a period of three years commencing January 1, 2021 and ending December 31, 2023 for a total amount not to exceed \$824,305.78.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the CEO, General Manager/Secretary-Treasurer of the Authority be and she is hereby authorized to enter into an agreement with the City of Shaker Heights for the maintenance of grounds adjoining rail rights-of-way and removal of snow from the Authority's parking lots at rail stations within said city for the period commencing January 1, 2021 and ending December 31, 2023.

Section 2. That said agreement shall be payable from the General Fund, Rail District Department budget in an amount of \$273,031.34 for year 2021, \$274,762.82 for year 2022 and \$276,511.62 for year 2023, for a total amount not to exceed \$824,305.78 for the three-year period.

Section 3. That said contract shall be binding upon and an obligation of the Authority contingent upon appropriation of funds for future years and upon compliance by the contractor to the Specifications and Addenda, thereto, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements; and all applicable laws relating to the contractual obligations of the Authority.

Section 4. That this resolution shall become effective immediately upon its adoption.

Adopted: February 16, 2021

President

Attest: _____
Interim Secretary-Treasurer

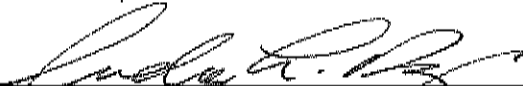


Greater Cleveland Regional Transit Authority
STAFF SUMMARY AND COMMENTS

TITLE/DESCRIPTION: APPROVAL OF THE AUTHORITY'S 2021 PUBLIC TRANSPORTATION AGENCY SAFETY PLAN (PTASP)	Resolution No.: 2021-17
	Date: February 11, 2021
	Initiator: Safety Department
ACTION REQUEST: X Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 PURPOSE/SCOPE: This action will approve the Authority's 2021 Public Transportation Agency Safety Plan (PTASP). Under Federal Transit Administration (FTA) regulations, the PTASP must be approved by the transit agency's governing board.
- 2.0 DESCRIPTION/JUSTIFICATION: The Authority's Safety Department has prepared a 2021 PTASP with updated performance targets to meet FTA requirements per 49 CFR 673. The PTASP is a risk and performance based plan utilizing Safety Management System (SMS) principles to describe safety processes and guide the Authority's activities in such areas as emergency preparedness, hazard reporting and management, safety training, and the promotion of safety to maintain a positive safety culture. The PTASP contains a requirement for annual updates and subsequent approvals from the Authority's Board of Trustees.
- 3.0 PROCUREMENT BACKGROUND: Does not apply.
- 4.0 DBE/AFFIRMATIVE ACTION BACKGROUND: Does not apply.
- 5.0 POLICY IMPACT: Adoption of the Resolution will enable the Authority to comply with 49 CFR Part 673.
- 6.0 ECONOMIC IMPACT: Failure to adopt the Resolution will result in failure to satisfy FTA's approval requirements which could cause the Authority to be out of compliance with grantee requirements and affect current and future funding.
- 7.0 ALTERNATIVES: Rejection of the resolution would impact and delay compliance with FTA regulations.
- 8.0 RECOMMENDATION: The plan was discussed at the Board's Audit, Safety Compliance & Real Estate Committee meeting on February 2, 2021, which reviewed and referred it to the full Board for approval. It is recommended that the Resolution be approved to satisfy FTA's requirements.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



(General Manager, Chief Executive Officer)

RESOLUTION NO. 2021-17

APPROVING THE AUTHORITY'S 2021 PUBLIC TRANSPORTATION AGENCY SAFETY PLAN (PTASP)

WHEREAS, the Board of Trustees has been granted the power and authority, pursuant to Chapter 306 of the Ohio Revised Code, to manage and conduct the affairs of the Greater Cleveland Regional Transit Authority (the "Authority"); and

WHEREAS, the Authority's 2021 Public Transportation Agency Safety Plan ("PTASP") will replace the Authority's 2020 version; and

WHEREAS, under federal regulation, 49 CFR Part 673, the Federal Transit Administration ("FTA") has set forth requirements and guidelines for a PTASP; and

WHEREAS, as set forth in the regulation, the Authority's governing board is required to review and approve each transit agency's annual PTASP; and

WHEREAS, on January 28, 2021, the PTASP was emailed to all members of the Board of Trustees; and

WHEREAS, on February 2, 2021, the Audit, Safety Compliance & Real Estate Committee considered the PTASP and recommended approval to the full Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the Authority's 2021 Public Transportation Agency Safety Plan ("PTASP"), which is in the form of the attachment hereto, is approved, and the General Manager, Chief Executive Officer is hereby authorized to submit the PTASP to the Ohio Department of Transportation for adoption and approval.

Section 2. That this resolution shall become effective immediately upon its adoption.

Attachment: 2021 Public Transportation Agency Safety Plan (PTASP).

Adopted: February 16, 2021

President

Attest: _____
Interim Secretary-Treasurer



Greater Cleveland Regional Transit Authority
STAFF SUMMARY AND COMMENTS

TITLE/DESCRIPTION: AMENDING BUDGET APPROPRIATIONS FOR THE FY 2021 CAPITAL IMPROVEMENT FUND BUDGET TO PROVIDE FOR AN INCREASE OF \$124,500,000	Resolution No.: 2021-18
	Date: February 11, 2021
	Initiator: Office of Management & Budget
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This action will authorize an increase in the Fiscal Year ("FY") 2021 Capital Improvement Fund budget appropriation by \$124,500,000.

- 2.0 **DESCRIPTION/JUSTIFICATION:** The FY 2021 Capital Improvement Fund was adopted by the Board of Trustees on May 12, 2020 through Resolution No. 2020-039 and on December 15, 2020 by Resolution No. 2020-100. In December 2020, the scope of work for the procurement of rail cars had not been sufficiently finalized to include reasonable appropriation for the rail car replacement program in the FY 2021 Capital Improvement Fund budget. An additional appropriation of \$64,500,000 will provide sufficient balance for the rail car replacement program in FY 2021.

On December 27, 2020, President Trump signed H.R. 133, the Coronavirus Response and Relief Supplemental Appropriations Act ("CRRSAA"). This Act provides \$14 billion in emergency funding for transit agencies to be managed by the Federal Transit Administration ("FTA"). The Authority is estimating to receive \$60,000,000 through CRRSAA to cover operating expenditures incurred due to the COVID-19 pandemic.

The proposed amendment to the FY 2021 Capital Improvement Fund budget will increase the overall appropriation by \$124,500,000 in order to establish the proper budget authority to cover funding to be received from the Federal Transit Administration ("FTA") under the Coronavirus Response and Relief Supplemental Appropriations Act ("CRRSAA") estimated at \$60,000,000 and \$64,500,000 for the rail car replacement program.

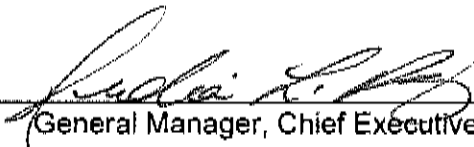
- 3.0 **PROCUREMENT BACKGROUND:** Does not apply.

- 4.0 **POLICY IMPACT:** The FY 2021 Capital Improvement Fund budget provides for the upkeep of the Authority's existing infrastructure, facilities, equipment, vehicle replacement programs, and also provides for the implementation of projects included in the Long-Range Plan. The funds expended under the CRRSAA grant will be 100% federally funded, with no local match requirement.

- 5.0 **ECONOMIC IMPACT:** These revisions will increase the total FY 2021 Capital Improvement Fund budget appropriation established in Resolution Nos. 2020-39 and 2020-100 by \$124,500,000. This increase in the FY 2021 Capital Improvement Fund budget will enable the Authority to procure new rail cars and use the CRRSAA Act funding to reimburse the Operating budget for operating expenses incurred due to the COVID-19 pandemic.

- 6.0 ALTERNATIVES: Not approve the budget revision as proposed. This would leave insufficient funding in the Capital Budget and delay procurement for the proposed projects into future years.
- 7.0 RECOMMENDATION: The proposed amendment to the FY 2021 Capital Improvement Fund budget appropriation was reviewed by the Board's Operational Planning & Infrastructure Committee on February 2, 2021. It is recommended that the Board adopt the amended FY 2021 Capital Improvement Fund budget appropriation as proposed.
- 8.0 ATTACHMENTS:
- A. 2021 – 2025 Combined Amended Capital Improvement Plan
 - B. 2021 – 2025 Amended RTA Development Fund Capital Improvement Plan
 - C. 2021 – 2025 RTA Capital Fund Capital Improvement Plan

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

ATTACHMENT A

2021 - 2025 AMENDED COMBINED CAPITAL IMPROVEMENT PLAN

Combined Budget Authority

PROJECT CATEGORY	2021 Budget	2022 Plan	2023 Plan	2024 Plan	2025 Plan	2021-2025
Bus Garages	\$0	\$700,000	\$3,525,000	\$1,700,000	\$0	\$5,925,000
Bus Improvement Program	\$21,300,000	\$21,300,000	\$20,800,000	\$20,800,000	\$20,800,000	\$105,000,000
Equipment & Vehicles	\$4,923,246	\$5,530,180	\$3,393,984	\$3,272,000	\$3,313,540	\$20,432,950
Facilities Improvements	\$14,888,260	\$17,000,000	\$12,715,000	\$11,830,000	\$11,335,000	\$67,768,260
Other Projects	\$2,859,576	\$2,637,500	\$2,637,500	\$2,637,500	\$2,637,500	\$13,409,576
Preventive Maint./Oper. Reimb.	\$78,000,000	\$16,500,000	\$16,500,000	\$16,500,000	\$16,500,000	\$144,000,000
Rail Projects	\$22,903,670	\$26,217,500	\$21,682,500	\$21,742,500	\$25,450,000	\$117,996,170
Rail Car Replacement Program	\$106,000,000	\$49,250,000	\$34,250,000	\$34,250,000	\$28,000,000	\$251,750,000
Transit Centers	\$300,000	\$300,000	\$300,000	\$300,000	\$300,000	\$1,500,000
TOTALS	\$251,174,752	\$139,435,180	\$115,803,984	\$113,032,000	\$108,336,040	\$727,781,956

ATTACHMENT B

RTA Development Fund Balance Analysis

	2021 Budget	2021 Amended Budget	2022 Plan	2023 Plan
Beginning Balance	19,234,929	19,234,929	45,271,968	39,255,385
Revenue				
Debt Service Proceeds	25,000,000	25,000,000	0	25,000,000
Revenue Bond Proceeds	0	0	0	0
State Infrastructure Bank Loan	0	0	0	0
Transfer from RTA Capital Fund	22,878,174	37,878,174	5,884,255	5,884,255
Transfer from the Reserve Fund	21,000,000	49,000,000	5,000,000	5,000,000
Investment Income	200,000	200,000	200,000	200,000
Federal Capital Grants	92,633,201	92,633,201	108,949,744	90,160,000
CARES/CRRSAA Act Assistance	0	60,000,000	0	0
State Capital Grants	9,336,598	9,336,598	10,136,598	10,136,598
State Funds for Waterfront Line	0	0	0	0
Access to Jobs Grants	0	0	0	0
Capital Lease	0	0	0	0
Other Revenue	0	0	0	0
Total Revenue	171,047,973	274,047,973	130,170,597	136,380,853
Total Resources	190,282,902	293,282,902	175,442,565	175,636,238
Expenditures				
Capital Outlay	123,510,934	188,010,934	136,187,180	112,700,000
CARES Act / CRRSAA	0	60,000,000	0	0
Other Expenditures	0	0	0	0
Transfer to Bond Retirement Fund	0	0	0	0
Transfer to Insurance Fund	0	0	0	0
Total Expenditures	123,510,934	248,010,934	136,187,180	112,700,000
Ending Balance	66,771,968	45,271,968	39,255,385	62,936,238

ATTACHMENT C

RTA Capital Fund Balance Analysis

	2021 Budget	2021 Amended Budget	2022 Plan	2023 Plan
Beginning Balance	2,769,320	2,769,320	5,191,186	6,117,955
Revenue				
Transfer from General Fund	28,398,859	43,398,859	9,994,023	10,065,825
Investment Income	65,000	65,000	65,000	65,000
Other Revenue	0	0	0	0
Total Revenue	28,463,859	43,463,859	10,059,023	10,130,825
Total Resources	31,233,178	46,233,178	15,250,210	16,248,780
Expenditures				
Asset Maintenance	1,581,000	1,581,000	1,755,000	1,710,000
Routine Capital	1,582,818	1,582,818	1,493,000	1,393,984
Other Expenditures	0	0	0	0
Transfer to RTA Development Fund	22,878,174	37,878,174	5,884,255	5,884,255
Reimbursement to FTA	0	0	0	0
Total Expenditures	26,041,992	41,041,992	9,132,255	8,988,239
Ending Balance	5,191,186	5,191,186	6,117,955	7,260,541

RESOLUTION NO. 2021-18

AMENDING BUDGET APPROPRIATIONS FOR THE FY 2021 CAPITAL
IMPROVEMENT FUND BUDGET TO PROVIDE FOR AN INCREASE OF
\$124,500,000

WHEREAS, there is both a legal and a managerial requirement to establish the revenues to be received for the upcoming fiscal year, including all taxes, user fees, and other types of revenues, as well as estimates of all expenditures or outlays for the capital programs of this public transportation system to be paid or met from said revenue during each fiscal year; and

WHEREAS, in order to meet those requirements, a Capital Improvement Fund budget for the Greater Cleveland Regional Transit Authority ("Authority") for Fiscal Year ("FY") 2021, beginning January 1, 2021 and ending December 31, 2021 was prepared and adopted by the Board of Trustees on May 12, 2020 through Resolution Nos. 2020-39 and 2020-100 on December 15, 2020; and

WHEREAS, on December 27, 2020, President Trump signed H.R. 133, the Coronavirus Response and Relief Supplemental Appropriations Act ("CRRSAA"); and

WHEREAS, the CRRSAA provides \$25 billion in aid to individuals, businesses, and hospitals in response to the economic distress caused by the coronavirus ("COVID-19") pandemic; and

WHEREAS, the CRRSAA provides \$14 billion in emergency funding for transit agencies to be managed by the Federal Transit Administration ("FTA") through the Transit Award Management System ("TrAMS"); and

WHEREAS, the Greater Cleveland Regional Transit Authority is increasing its appropriation by \$60,000,000 through CRRSAA to cover operating expenses incurred due to the COVID-19 pandemic in FY 2021; and

WHEREAS, the Authority will require an additional \$64,500,000 appropriation for the rail car replacement program during FY 2021; and

WHEREAS, this funding was not included in the FY 2021 Capital Improvement Fund budget and there is a need to increase the FY 2021 Capital Improvement Fund budget appropriation by \$124,500,000; and

WHEREAS, increasing the appropriation for the Capital Improvement Fund by \$124,500,000 will establish a sufficient balance in the FY 2021 Capital Budget to align with funding to be advanced for the rail car replacement program and funding to reimburse the Operating budget for operating expenses incurred due to COVID-19.

NOW, THEREFORE BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, and Ohio:

Section 1. That the revenues and appropriation budget set forth in Resolution Nos. 2020-39, adopted May 12, 2020 and 2020-100 approved on December 15, 2020, be and are hereby amended as follows:

FY 2021 CAPITAL IMPROVEMENT FUND BUDGET APPROPRIATION

Current 2021 Appropriation

\$126,674,752

Amended 2021 Appropriation

\$251,174,752

Section 2. That Resolution Nos. 2020-39 and 2020-100 shall remain unchanged in all other respects.

Section 3. That this resolution shall become effective immediately upon its adoption.

Adopted: February 16, 2021

President

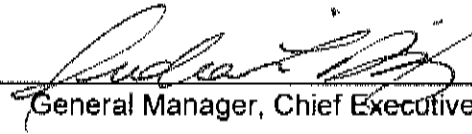
Attest: _____
Interim Secretary-Treasurer



<p>TITLE/DESCRIPTION:</p> <p>AMENDING APPROPRIATIONS FOR THE CURRENT EXPENSES AND OTHER EXPENDITURES FOR THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY, AS ADOPTED IN RESOLUTION NO. 2020-101 AND TO PROVIDE FOR A \$15,000,000 TRANSFER TO THE DEVELOPMENT FUND AND INCREASING APPROPRIATION TO THE RESERVE FUND BY \$28,000,000 FOR RAIL CAR REPLACEMENT PROGRAM</p>	<p>Resolution No.: 2020-19</p> <hr/> <p>Date: February 11, 2021</p> <hr/> <p>Initiator: Office of Management & Budget</p>
<p>ACTION REQUEST:</p> <p><input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____</p>	

- 1.0 **PURPOSE/SCOPE:** This action will authorize an amendment to increase the transfer to the Development Fund and increase appropriations in the Reserve Fund for the expenditures for the rail car replacement program.
- 2.0 **DESCRIPTION/JUSTIFICATION:** The proposed amendment will authorize an increase in the overall appropriations budget for Fiscal Year ("FY") 2021 by \$43 million. An additional \$15 million will be transferred from the General Fund to the Capital Improvement Fund and \$28 million will be transferred from the Reserve Fund to the RTA Development Fund for the rail car replacement program.
- 3.0 **PROCUREMENT BACKGROUND:** Does not apply.
- 4.0 **DBE/AFFIRMATIVE ACTION BACKGROUND:** Does not apply.
- 5.0 **POLICY IMPACT:** The FY 2021 Budget provides for the revenues and expenditures of the Authority. These transfers from the General Fund and Reserve Fund will enable the Authority to increase the appropriation, established by Resolution No. 2020-101, by \$43 million for the rail car replacement program.
- 6.0 **ECONOMIC IMPACT:** These amendments will increase the transfers to the Capital Improvement Fund by \$15 million and increase the expenditures of the Reserve Fund by \$28 million. These funds will be used for the rail car replacement program.
- 7.0 **ALTERNATIVES:** Modify or not approve the budget amendment as proposed. This would prevent the Authority from replacing its aging rail fleet.
- 8.0 **RECOMMENDATION:** The proposed 2021 Amended General Fund Budget has been reviewed at the February 2, 2021 Operational Planning & Infrastructure Committee and is recommended for adoption. It is recommended that the Board approve the proposed FY 2021 Amended General Fund Budget and Fund Transfers as proposed herein.
- 9.0 **ATTACHMENTS:**
 - A. Amended General Fund Balance Analysis
 - B. Amended Capital Improvement Fund Balance Analysis
 - C. Amended Reserve Fund Balance Analysis

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

ATTACHMENT A
Amended General Fund Balance Analysis

	2021 Budget	2021 Amended Budget	2022 Plan	2023 Plan
Beginning Balance	133,581,757	133,581,757	129,108,244	83,556,384
Revenue				
Passenger Fares	27,814,100	27,814,100	28,092,241	28,373,163
Advertising & Concessions	1,803,916	1,803,916	1,803,916	1,700,863
Sales & Use Tax	215,720,056	215,720,056	218,955,857	222,240,195
Federal Operating Assistance - CARES Act/CRRSAA	0	60,000,000	0	0
Investment Income	2,069,958	2,069,958	808,221	1,754,684
Other Revenue	1,348,918	1,348,918	1,348,918	1,348,918
Reimbursed Expenditures	19,413,919	19,413,919	2,935,471	21,520,000
Total Revenue	268,170,867	328,170,867	253,944,624	276,937,823
Total Resources	401,752,624	461,752,624	383,052,868	360,494,208
Total Operating Expenditures	262,486,498	262,486,498	267,162,935	271,925,973
Transfers to (from) Other Funds				
Transfer to (from) the Insurance Fund	2,000,000	2,000,000	2,600,000	2,700,000
Transfer to (from) the Pension Fund	45,000	45,000	40,000	40,000
Transfers to Reserve Fund	10,878,615	10,878,615	5,878,615	5,878,615
Transfers from the Reserve Fund				
Transfers to (from) Capital				
Bond Retirement Fund	13,835,408	13,835,408	13,820,910	14,065,825
Capital Improvement Fund	28,398,859	43,398,859	9,994,023	10,065,825
Total Transfers to (from) Capital	42,234,267	57,234,267	23,814,934	24,131,650
Total Transfers to (from) Other Funds	55,157,882	70,157,882	32,333,549	32,750,265
Total Expenditures	317,644,379	332,644,379	299,496,484	304,676,238
Available Ending Balance	84,108,243	129,108,243	83,556,384	55,817,969

Passenger Fare & Sales Tax % Change 3.99%
 Operating Expenses % Change 6.40%
 Revenues - Expenses (millions) (\$49.47)

1.44%
 1.78%
 (\$45.55)

0.00%
 0.00%
 (\$4.47)

1.44%
 1.78%
 (\$27.74)

ATTACHMENT B

Amended Capital Improvement Fund Balance Analysis

	2021 Budget	2021 Amended Budget	2022 Plan	2023 Plan
Beginning Balance	22,004,249	22,004,249	50,463,154	45,373,340
Revenue				
Transfer from General Fund	28,398,859	43,398,859	9,994,023	10,065,825
Transfer from Reserve Fund	21,000,000	49,000,000	5,000,000	5,000,000
Investment Income	265,000	265,000	265,000	265,000
Federal Capital Grants	92,633,201	92,633,201	108,949,744	90,160,000
CARES Act / CRRSAA Assistance	0	60,000,000	0	0
State Capital Grants	9,336,598	9,336,598	10,136,598	10,136,598
Debt Service Proceeds	25,000,000	25,000,000	0	25,000,000
Capital Lease	0	0	0	0
Other Revenue	0	0	0	0
Total Revenue	176,633,657	279,633,657	134,345,365	140,627,423
Total Resources	198,637,906	301,637,906	184,808,520	186,000,763
Expenditures				
Capital Outlay	126,674,752	191,174,752	139,435,180	115,803,984
Capital Outlay - CARES Act / CRRSAA	0	60,000,000	0	0
Other Expenditures	0	0	0	0
Transfer to Bond Retirement Fund	0	0	0	0
Transfer to Insurance Fund	0	0	0	0
Total Expenditures	126,674,752	251,174,752	139,435,180	115,803,984
Available Ending Balance	71,963,154	50,463,154	45,373,340	70,196,779

ATTACHMENT C

Amended Reserve Fund Balance Analysis

	2021 Budget	2021 Amended Budget	2022 Plan	2023 Plan
Beginning Balance	51,377,465	51,377,465	13,881,080	15,509,695
Revenue				
Investment Income	625,000	625,000	750,000	900,000
Transfer from GF for Compensated Absences	0	0	0	0
Transfer from GF for Fuel	0	0	0	0
Transfer from GF for Hospitalization	0	0	0	0
Transfer from GF for Rolling Stock Reserve	10,000,000	10,000,000	5,000,000	5,000,000
Transfer from GF for 27th Pay	878,615	878,615	878,615	878,615
Other Revenue	0	0	0	0
Total Revenue	11,503,615	11,503,615	6,628,615	6,778,615
Total Resources	62,881,080	62,881,080	20,509,695	22,288,310
Expenditures				
Transfer to General Fund (Compensated Absences)	0	0	0	0
Transfer to General Fund (Fuel)	0	0	0	0
Transfer to General Fund (Hospitalization)	0	0	0	0
Transfer to RTA Development Fund (Rolling Stock)	21,000,000	49,000,000	5,000,000	5,000,000
Transfer to General Fund (27th Pay - 2024)	0	0	0	0
Total Expenditures	21,000,000	49,000,000	5,000,000	5,000,000
Ending Balance	41,881,080	13,881,080	15,509,695	17,288,310
Rolling Balances				
Compensated Absences	3,751,037	3,751,037	3,818,210	4,039,774
Fuel	2,647,050	2,647,050	2,694,453	2,850,808
Hospitalization	2,709,942	2,709,942	2,758,471	2,918,540
Rolling Stock	28,925,145	925,145	1,443,132	1,526,874
27th Pay: Salary: 2020 / Hourly 2025	3,847,906	3,847,906	4,795,428	5,952,314
Total	41,881,080	13,881,080	15,509,695	17,288,310

RESOLUTION NO. 2021-19

AMENDING APPROPRIATIONS FOR THE CURRENT EXPENSES AND OTHER EXPENDITURES FOR THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY, AS ADOPTED IN RESOLUTION NO. 2020-101 AND TO PROVIDE FOR A \$15,000,000 TRANSFER TO THE DEVELOPMENT FUND AND INCREASING APPROPRIATION TO THE RESERVE FUND BY \$28,000,000 FOR RAIL CAR REPLACEMENT PROGRAM

WHEREAS, the Authority has both a legal and managerial requirement to establish the revenues to be received for the upcoming fiscal year, including all taxes, user fees, and other types of revenues, as well as estimates of all expenditures or outlays for the operation of this public transportation system in the fiscal year to be paid or met from said revenue; and

WHEREAS, in order to meet those requirements, a budget for the Greater Cleveland Regional Transit Authority for the fiscal year beginning January 1, 2021 and ending December 31, 2021 ("FY 2021") was prepared; and

WHEREAS, the Reserve Fund helps to protect the Authority from future economic downturns, as well as significant increases in fuel and hospitalization costs, to financially plan for payment of earned vacation hours when an employee separates or retires, to establish a method to account for future budget years with 27 pay periods, and to accumulate sufficient funds to replace the Authority's aging revenue vehicles; and

WHEREAS, it is in the best interest of the Authority to increase the appropriation in the Reserve Fund by \$28 million for the rail car replacement program. This would increase the Reserve Fund appropriation from \$21 million to \$49 million; and

WHEREAS, the Capital Improvement Fund is used for the construction and acquisition of major capital facilities and equipment, including purchasing of revenue vehicles; and

WHEREAS, it is in the best interest of the Authority to increase the transfer to the Capital Improvement Fund for the rail car replacement program by \$15 million, to establish sufficient funding in the FY 2021 Capital Budget; and

WHEREAS, the Board of Trustees adopted the FY 2021 General Fund Budget on December 15, 2020 through Resolution No. 2020-101; and

WHEREAS, the Operational Planning & Infrastructure Committee of the Board of Trustees reviewed and discussed the proposed increase to the FY 2021 General Fund Budget on February 2, 2021 and recommended its approval by the Board on February 16, 2021.

NOW, THEREFORE BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the appropriations for the current expenses and other expenditures of the Authority, during the period of January 1, 2021 through December 31, 2021 ("Fiscal Year 2021"), as set forth in Resolution No. 2020-101, adopted by the Board on December 15, 2020 are hereby amended as follows:

General Fund

	2021 Budget Appropriation	2021 Amended Budget Appropriation	Variance
OPERATIONS DIVISION			
31 PARATRANSIT DISTRICT	25,535,790	25,535,790	-
32 RAIL DISTRICT	25,268,804	25,268,804	-
33 ASSET & CONFIGURATION MANAGEMENT	1,891,859	1,891,859	-
34 TRANSIT POLICE	14,020,698	14,020,698	-
35 SERVICE MANAGEMENT	6,177,697	6,177,697	-
36 POWER & WAY	14,531,161	14,531,161	-
38 SERVICE QUALITY MGMT	7,301,441	7,301,441	-
39 FLEET MANAGEMENT	37,144,344	37,144,344	-
43 PASS THRU	650,000	650,000	-
46 HAYDEN DISTRICT	44,424,459	44,424,459	-
49 TRISKETT DISTRICT	33,963,672	33,963,672	-
58 INTELLIGENT TRANSPORTATION SYSTEMS	1,760,873	1,760,873	-
DIVISION TOTAL	\$ 212,670,798	\$ 212,670,798	\$ -
FINANCE & ADMINISTRATION DIVISION			
10 OFFICE OF BUSINESS DEVELOPMENT	417,470	417,470	-
60 ACCOUNTING	4,312,684	4,312,684	-
62 SUPPORT SERVICES	1,028,499	1,028,499	-
64 PROCUREMENT	1,777,707	1,777,707	-
65 REVENUE	2,225,912	2,225,912	-
67 OFFICE OF MANAGEMENT & BUDGET	5,688,459	5,688,459	-
DIVISION TOTAL	\$ 15,450,731	\$ 15,450,731	\$ -

	2021 Budget Appropriation	2021 Amended Budget Appropriation	Variance
ENGINEERING & PROJECT MANAGEMENT DIVISION			
55 PROJECT SUPPORT	692,133	692,133	-
57 PROGRAMMING & PLANNING	901,055	901,055	-
80 ENGINEERING & PROJECT DEVELOPMENT	2,085,804	2,085,804	-
DIVISION TOTAL	\$ 3,678,992	\$ 3,678,992	\$ -
LEGAL AFFAIRS DIVISION			
15 SAFETY	920,422	920,422	-
21 LEGAL	4,068,215	4,068,215	-
22 RISK MANAGEMENT	4,804,560	4,804,560	-
DIVISION TOTAL	\$ 9,793,203	\$ 9,793,203	\$ -
HUMAN RESOURCES DIVISION			
14 HUMAN RESOURCES	2,167,314	2,167,314	-
18 LABOR & EMPLOYEE RELATIONS	1,391,113	1,391,113	-
30 TRAINING & EMPLOYEE DEVELOPMENT	4,050,528	4,050,528	-
DIVISION TOTAL	\$ 7,608,955	\$ 7,608,955	\$ -
EXECUTIVE DIVISION			
12 EXECUTIVE	1,839,584	1,839,584	-
16 SECRETARY/TREASURER - BOARD OF TRUSTEES	280,572	280,572	-
19 INTERNAL AUDIT	1,019,110	1,019,110	-
53 MARKETING & COMMUNICATIONS	2,417,932	2,417,932	-
61 INFORMATION TECHNOLOGY	7,726,620	7,726,620	-
99 FUND TRANSFERS	55,157,882	70,157,882	15,000,000
DIVISION TOTAL	\$ 68,441,699	\$ 83,441,699	\$ 15,000,000
GRAND TOTAL	\$ 317,644,379	\$ 332,644,379	\$ 15,000,000

CAPITAL IMPROVEMENT FUNDS

(Resolution No 2020-100 amended and approved on Decemember 15, 2020)
(Resolution No 2021-18 amended and approved on February 16, 2021)

	Appropriation 12/15/20	Appropriation 2/16/21	Variance
Construction and Acquisition of Transit Facilities and Equipment	\$126,674,752	\$191,174,752	\$64,500,000
Coronavirus Response and Relief Supplemental Appropriations Act	0	60,000,000	60,000,000

BOND RETIREMENT FUND

	Appropriation 12/15/20	Appropriation 2/16/21	Variance
Debt Service – Principal	\$11,345,000	\$11,345,000	0
Debt Service – Interest	5,279,000	5,279,000	0
Other	1,500	1,500	0

INSURANCE FUND

	Appropriation 12/15/20	Appropriation 2/16/21	Variance
Claims & Premium Outlay	\$2,919,000	\$2,919,000	0

SUPPLEMENTAL PENSION FUND

	Appropriation 12/15/20	Appropriation 2/16/21	Variance
Benefits Payments	\$58,000	\$58,000	0

LAW ENFORCEMENT FUND

	Appropriation 12/15/20	Appropriation 2/16/21	Variance
Safety & Security Training	\$20,000	\$20,000	0
Capital & Related Items	0	0	0

RESERVE FUND

	Appropriation 12/15/20	Appropriation 2/16/21	Variance
Transfers to General Fund	0	0	0
Transfers to RTA Development Fund	\$21,000,000	\$49,000,000	\$28,000,000

CUMULATED APPROPRIATIONS

	Budget Appropriation	Amended Appropriation	Variance
General Fund	\$317,644,379	\$332,644,379	\$15,000,000
Capital Improvement Funds	126,674,752	251,174,752	124,500,000
Bond Retirement Fund	16,625,500	16,625,500	0
Insurance Fund	2,919,000	2,919,000	0
Supplemental Pension Fund	58,000	58,000	0
Law Enforcement Fund	20,000	20,000	0
Reserve Fund	21,000,000	49,000,000	28,000,000

Section 2. That all other provisions of the appropriations for the current expenses and other expenditures of the Authority, during Fiscal Year 2021, as forth in Resolution No. 2020-101, not otherwise amended, shall remain in full force and effect.

Section 3. That this resolution shall become effectively immediately upon its adoption.

Adopted: February 16, 2021

President

Attest: _____
Interim Secretary-Treasurer

THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
 REPORT OF CASH RECEIVED COMPARED TO PRIOR YEAR - GENERAL FUND
 FOR THE PERIOD ENDED JANUARY 31, 2021 AND JANUARY 31, 2020

	CURRENT MONTH	PRIOR YR MONTH	VARIANCE	%CHANGE	CURRENT % OF TOTAL	2021 YTD	2020 YTD	VARIANCE	%CHANGE	CURRENT % OF TOTAL
PASSENGER FARES:										
CASH FARES	\$ 464,986	\$ 2,279,103	\$ (634,197)	(49.58%)	3.16%	\$ 644,906	\$ 1,279,103	\$ (634,197)	(49.58%)	3.16%
PASS/TICKET SALES	422,169	903,418	(481,249)	(53.33%)	2.06%	421,169	902,438	(481,249)	(53.33%)	2.06%
CRSD - STUDENT FARECARDS	3,395	165,873	(162,480)	(97.95%)	0.02%	3,393	165,873	(162,480)	(97.95%)	0.02%
U-PASS	-	356,650	(356,650)	-	0.00%	-	356,650	(356,650)	-	0.00%
MOBILE TICKETING	314,253	519,903	(205,650)	(39.56%)	1.54%	314,253	519,903	(205,650)	(39.56%)	1.54%
SUBTOTAL PASSENGER FARES	1,383,721	3,223,947	(1,840,226)	(57.08%)	6.78%	1,383,721	3,223,947	(1,840,226)	(57.08%)	6.78%
OPERATING SUBSIDIES:										
SALES & USE TAX	18,510,754	18,303,734	207,020	1.13%	90.66%	18,510,754	18,303,734	207,020	1.13%	90.66%
SUBTOTAL OPERATING SUBSIDIES	18,510,754	18,303,734	207,020	1.13%	90.66%	18,510,754	18,303,734	207,020	1.13%	90.66%
OTHER REVENUE:										
ADVERTISING/CONCESSIONS/COMMISSIONS	113,383	39,549	73,834	386.69%	0.56%	113,383	39,549	73,834	186.65%	0.56%
RAMMING RIGHTS LESS COMMISSIONS	-	154,379	(154,379)	-	0.00%	-	154,379	(154,379)	-	0.00%
RENTAL INCOME	27,108	25,367	1,741	6.86%	0.13%	27,308	25,367	1,741	6.86%	0.13%
INTEREST INCOME	10,561	61,231	(50,670)	(83.07%)	0.05%	10,561	63,231	(52,670)	(83.30%)	0.05%
OTHER	22,425	10,595	11,830	111.66%	0.11%	22,425	20,595	1,830	131.68%	0.11%
SUBTOTAL OTHER REVENUE	173,477	293,121	(119,644)	(40.82%)	0.85%	173,477	293,121	(119,644)	(40.82%)	0.85%
REIMBURSEMENTS AND OTHER SOURCES OF CASH:										
FUELING/PROPANE TAX REFUNDS	315,545	69,792	245,753	352.12%	1.55%	315,545	69,792	245,753	352.12%	1.55%
GRANT REIMBURSEMENT (FEDERAL, STATE, LOCAL MATCH)	9,734	266,607	(256,873)	(96.35%)	0.05%	9,734	266,607	(256,873)	(96.35%)	0.05%
PREVENTIVE MAINTENANCE (FEDERAL, STATE, LOCAL MATCH)	-	-	-	-	0.00%	-	-	-	-	0.00%
FEDERAL OPERATING ASSISTANCE	-	22,562	(22,562)	-	0.00%	-	22,562	(22,562)	-	0.00%
MISCELLANEOUS RECEIPTS	25,055	56,956	(31,901)	(56.01%)	0.12%	25,055	56,956	(31,901)	(56.01%)	0.12%
SUBTOTAL REIMBURSEMENTS AND OTHER SOURCES OF CASH	350,334	415,917	(65,583)	(15.77%)	1.72%	350,334	415,917	(65,583)	(15.77%)	1.72%
TOTAL CASH RECEIVED - GENERAL FUND	\$ 20,418,286	\$ 22,236,719	\$ (1,818,433)	(8.18%)	100.00%	\$ 20,418,286	\$ 22,236,719	\$ (1,818,433)	(8.18%)	100.00%

THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
 REPORT OF CASH RECEIVED COMPARED TO BUDGET - GENERAL FUND
 FOR THE PERIOD ENDED JANUARY 31, 2021

	BUDGET MONTH	CURRENT MONTH	VARIANCE	%CHANGE	CURRENT % OF TOTAL	2021 YTD BUDGET	2021 YTD	VARIANCE	%CHANGE	CURRENT % OF TOTAL
PASSENGER FARES:										
CASH FARES	\$ 912,077	\$ 644,906	\$ (267,171)	(29.29%)	3.48%	\$ 912,077	\$ 644,906	\$ (267,171)	(29.29%)	3.16%
PASS/TICKET SALES	808,458	423,169	(387,289)	(47.90%)	2.06%	808,458	423,169	(387,289)	(47.90%)	2.06%
CMISD - STUDENT FARECARDS	1,000	3,393	2,393	239.30%	0.02%	1,000	3,393	2,393	239.30%	0.02%
U-PASS					0.00%					0.00%
MOBILE TICKETING	343,800	314,253	(29,547)	(8.59%)	3.54%	343,800	314,253	(29,547)	(8.59%)	1.54%
SUBTOTAL PASSENGER FARES	2,065,335	1,385,721	(681,614)	(33.00%)	6.78%	2,065,335	1,385,721	(681,614)	(33.00%)	6.78%
OPERATING SUBSIDIES:										
SALES & USE TAX	17,599,541	19,510,754	911,213	5.18%	90.66%	17,599,541	19,510,754	911,213	5.18%	90.66%
SUBTOTAL OPERATING SUBSIDIES	17,599,541	19,510,754	911,213	5.18%	90.66%	17,599,541	19,510,754	911,213	5.18%	90.66%
OTHER REVENUE:										
ADVERTISING/CONCESSIONS/COMMISSIONS	780,491	113,383	(667,108)	(85.47%)	0.56%	780,491	113,383	(667,108)	(85.47%)	0.56%
NAMING RIGHTS LESS COMMISSIONS	396,106	-	(396,106)	0.00%	0.00%	396,106	-	(396,106)	0.00%	0.00%
RENTAL INCOME	68,567	27,308	(41,459)	(60.47%)	0.33%	68,567	27,308	(41,459)	(60.47%)	0.13%
INTEREST INCOME	219,456	10,561	(208,895)	(95.39%)	0.05%	219,456	10,561	(208,895)	(95.19%)	0.05%
OTHER	26,653	22,425	(4,228)	(15.86%)	0.11%	26,653	22,425	(4,228)	(15.86%)	0.11%
SUBTOTAL OTHER REVENUE	1,291,274	173,477	(1,117,797)	(86.57%)	0.83%	1,291,274	173,477	(1,117,797)	(86.57%)	0.83%
REIMBURSEMENTS AND OTHER SOURCES OF CASH:										
FUELING/PROPANE TAX REFUNDS	39,001	315,545	276,544	709.07%	1.55%	39,001	315,545	276,544	709.07%	1.55%
GRANT REIMBURSEMENT (FEDERAL, STATE, LOCAL MATCH)	11,315	9,734	(1,581)	(13.87%)	0.05%	11,315	9,734	(1,581)	(13.97%)	0.05%
PREVENTIVE MAINTENANCE (FEDERAL, STATE, LOCAL MATCH)	9,581,207	-	(9,581,207)	-	0.00%	9,581,207	-	(9,581,207)	-	0.00%
FEDERAL OPERATING ASSISTANCE					0.00%					0.00%
MISCELLANEOUS RECEIPTS	75,531	25,055	(50,476)	(66.83%)	0.12%	75,531	25,055	(50,476)	(66.83%)	0.12%
SUBTOTAL REIMBURSEMENTS AND OTHER SOURCES OF CASH	9,707,054	350,334	(9,356,720)	(96.39%)	1.72%	9,707,054	350,334	(9,356,720)	(96.39%)	1.72%
TOTAL CASH RECEIVED - GENERAL FUND	\$ 30,663,204	\$ 20,418,286	\$ (10,244,918)	(33.41%)	100.00%	\$ 30,663,204	\$ 20,418,286	\$ (10,244,918)	(33.41%)	100.00%

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
SALES AND USE TAXES
ACTUAL RECEIPTS THROUGH JANUARY 2021**

<u>MONTH RECEIVED</u>	<u>2019 ACTUAL</u>	<u>2020 ACTUAL</u>	<u>2021 ESTIMATE</u>	<u>2021 ACTUAL</u>	<u>2021 VERSUS 2020 MONTH % CHANGE</u>	<u>2021 MONTHLY ACTUAL VERSUS 2021 MONTHLY ESTIMATE</u>	<u>2019 YTD ACTUAL</u>	<u>2020 YTD ACTUAL</u>	<u>2021 YTD ESTIMATE</u>	<u>2021 YTD ACTUAL</u>	<u>2021 VERSUS 2020 YTD % CHANGE</u>	<u>2021 YTD % EST VARIANCE</u>
JANUARY	\$17,311,711	\$18,303,734	\$18,739,713	\$18,510,754	1.13%	(1.22%)	\$17,311,711	\$18,303,734	\$18,739,713	\$18,510,754	1.13%	(1.22%)
FEBRUARY	\$17,753,526	\$18,450,264	\$18,889,733	\$17,982,308	(2.54%)	(4.80%)	\$35,065,237	\$36,753,998	\$37,629,446	\$36,493,063	(0.71%)	(3.02%)
MARCH	\$19,588,186	\$21,219,411	\$21,724,838									
APRIL	\$15,303,867	\$16,460,465	\$16,852,539									
MAY	\$15,802,827	\$15,293,102	\$15,657,370									
JUNE	\$18,297,841	\$15,926,194	\$16,305,542									
JULY	\$16,425,144	\$13,364,639	\$13,682,973									
AUGUST	\$17,918,246	\$17,532,128	\$17,949,728									
SEPTEMBER	\$19,225,063	\$19,653,853	\$20,121,990									
OCTOBER	\$17,757,984	\$18,570,261	\$19,012,588									
NOVEMBER	\$18,251,949	\$17,091,363	\$17,498,463									
DECEMBER	\$18,555,735	\$18,282,055	\$19,284,581									
TOTAL	\$212,192,079	\$210,147,468	\$215,720,058	\$36,493,063								

Summary:

Month

2.54% (\$467,956) lower than February 2020 Actual
 4.80% (\$907,425) lower than February 2021 estimate

YTD

.71% (\$260,935) lower than 2020 Actual
 3.02% (\$1,136,383) lower than 2021 estimate

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
INVENTORY OF TREASURY INVESTMENTS
AS OF JANUARY 31, 2021**

FUND	PURCHASE DATE	MATURITY DATE	INSTRUMENT	INSTITUTION	TERM DAYS	TOTAL PRINCIPAL	ACCRUED INTEREST	AVERAGE DAYS TO MATURITY	AVERAGE YIELD
BOND RETIREMENT FUND									
	1/29/2021	2/1/2021	MONEY MARKET	HUNTINGTON BANK	3	\$3,296,219	\$0	3	0.01%
	5/8/2019	5/31/2021	TREASURY BILL FOR PREMIUM	HUNTINGTON BANK	752	\$719,364	\$1,757	150	2.10%
	5/8/2019	5/31/2022	TREASURY BILL FOR PREMIUM	HUNTINGTON BANK	1118	\$247,412	\$766	515	2.10%
	5/8/2019	11/30/2021	TREASURY BILL FOR PREMIUM	HUNTINGTON BANK	936	\$1,665,366	\$5,145	334	2.10%
TOTAL BOND RETIREMENT FUND						\$5,928,361	\$7,667		0.79%
GENERAL FUND									
	1/29/2021	2/1/2021	MERCHANT ACCT-KEY MMKT	KEY BANK	3	\$107,817	\$0	3	0.75%
	1/29/2021	2/1/2021	STAR OHIO	STATE OF OHIO	3	\$16,856,252	\$0	3	0.09%
	1/29/2021	2/1/2021	STAR OHIO-CARES	STATE OF OHIO	3	\$112,090,376	\$0	3	0.09%
	1/29/2021	2/1/2021	BLACKROCK MONEY MKT	PNC BANK	3	\$8,591	\$0	3	0.01%
	1/29/2021	2/1/2021	EMPLOYEE ACTIVITY FUND	KEY BANK	3	\$158,139	\$0	3	0.75%
	1/29/2021	2/1/2021	SALES TAX ACCOUNT	HUNTINGTON BANK	3	\$4,330	\$0	3	0.01%
	1/29/2021	2/1/2021	KEY ECR	KEY BANK	3	\$10,312,969	\$0	3	0.75%
TOTAL GENERAL FUND						\$139,546,473	\$0		0.14%
INSURANCE FUND									
	2/23/2018	2/16/2021	FHLMC	HUNTINGTON BANK	1092	\$1,000,000	\$2,045	76	2.37%
	1/29/2021	2/1/2021	STAR OHIO	STATE OF OHIO	3	\$4,808,695	\$0	3	0.09%
	1/29/2021	2/1/2021	KEY ECR	KEY BANK	3	\$115,811	\$0	3	0.75%
TOTAL INSURANCE FUND						\$5,924,506	\$2,045		0.49%
LAW ENFORCEMENT FUND									
	1/29/2021	2/1/2021	LAW ENFORCEMENT	KEY BANK-SWEEP	3	\$22,467	\$0	3	0.75%
	1/29/2021	2/1/2021	STAR OHIO	STATE OF OHIO	3	\$44,011	\$0	3	0.09%
TOTAL LAW ENFORCEMENT FUND						\$66,478	\$0		0.20%
LOCAL MATCH FUND									
	3/17/2020	1/24/2023	LOCAL MATCH-FFCB	STIFEL NICOLAUS	1042	\$5,091,175	\$1,367	722	1.64%
	1/29/2021	2/1/2021	2019 DEBT ACCT-STAR OHIO	STATE OF OHIO	3	\$15,762,201	\$0	3	0.09%
	1/29/2021	2/1/2021	2019 DEBT ACCT-KEY ECR	KEY BANK	3	\$28,173	\$0	3	0.75%
	1/29/2021	2/1/2021	BLACKROCK MONEY MKT	PNC BANK	3	\$41,000	\$0	3	0.01%
	1/29/2021	2/1/2021	LOCAL MATCH-STAR OHIO	STATE OF OHIO	3	\$4,663,618	\$0	3	0.09%
	1/29/2021	2/1/2021	LOCAL MATCH-KEY ECR	KEY BANK	3	\$552,126	\$0	3	0.75%
	1/29/2021	2/1/2021	GRANT-ECR	KEY BANK	3	\$242,213	\$0	3	0.75%
	1/29/2021	2/1/2021	CATCH BASIN-KEY ECR	KEY BANK	3	\$101,881	\$0	3	0.75%
TOTAL LOCAL MATCH FUND						\$26,682,386	\$1,367		0.41%
PENSION FUND									
	1/29/2021	2/1/2021	KEY ECR	KEY BANK	3	\$50,815	\$0	3	0.75%
	1/29/2021	2/1/2021	STAR OHIO	STATE OF OHIO	3	\$1,228,692	\$0	3	0.09%
TOTAL PENSION FUND						\$1,279,508	\$0		0.12%

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
INVENTORY OF TREASURY INVESTMENTS
AS OF JANUARY 31, 2021**

FUND	PURCHASE DATE	MATURITY DATE	INSTRUMENT	INSTITUTION	TERM DAYS	TOTAL PRINCIPAL	ACCRUED INTEREST	AVERAGE DAYS TO MATURITY	AVERAGE YIELD
RTA CAPITAL FUND	1/29/2021	2/1/2021	KEY ECR	KEY BANK	3	\$6,156,293	\$0	3	0.75%
TOTAL RTA CAPITAL FUND						\$6,156,293	\$0		0.75%
RESERVE FUND									
	3/17/2020	1/24/2023	FFCB	STIFEL NICOLAUS	1042	\$5,091,175	\$1,367	722	1.64%
	1/29/2021	2/1/2021	BLACKROCK MONEY MKT	PNC BANK	3	\$41,000	\$0	3	0.01%
	1/29/2021	2/1/2021	KEY ECR	KEY BANK	3	\$387,526	\$0	3	0.75%
	1/29/2021	2/1/2021	STAR OHIO	STATE OF OHIO	3	<u>\$47,930,771</u>	<u>\$0</u>	3	0.09%
TOTAL RESERVE FUND						\$52,520,472	\$1,367		0.24%
TOTAL ALL FUNDS						\$238,194,477	\$12,446	37	0.24%

GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
DEBT SERVICE SCHEDULE AND STATUS
AS OF JANUARY 31, 2021

Bonds	Final Maturity Date	Total Principal Outstanding 12/1/2020	Interest Payable/ 6/1/2021	Principal Payable/ 6/1/2021	Debt Service Requirement/ 6/1/2021	Interest Payable/ 12/1/2021	Principal Payable/ 12/1/2021	Debt Service Requirement/ 12/1/2021	Total Debt Requirement 2021
Series 2014A-Sales Tax Rev.	Dec. 2025	10,305,000.00	240,725.00	0.00	240,725.00	240,725.00	3,730,000.00	3,970,725.00	4,211,450.00
Series 2015-Sales Tax Rev.	Dec. 2034	41,360,000.00	1,034,000.00	0.00	1,034,000.00	1,034,000.00	3,350,000.00	4,384,000.00	5,418,000.00
Series 2016-Sales Tax Rev.	Dec. 2031	15,000,000.00	374,900.00	0.00	374,900.00	374,900.00	10,000.00	384,900.00	759,800.00
Series 2019-Sales Tax Rev.	Dec. 2039	29,095,000.00	727,375.00	0.00	727,375.00	727,375.00	955,000.00	1,682,375.00	2,409,750.00
Total Bonds		\$106,260,000.00	\$2,639,500.00	\$0.00	\$2,639,500.00	\$2,639,500.00	\$11,345,000.00	\$13,984,500.00	\$16,624,000.00

Bond Retirement
\$5,680,949

Current Balance (Set Aside for 2021)

Monthly Set Aside Required
\$1,215,855

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
SUMMARY OF INVESTMENT PERFORMANCE
YEAR TO DATE THROUGH JANUARY 31, 2021**

<u>MONTH</u>	<u>2021</u>		<u>2020</u>		<u>MARKET YIELD #</u>	<u>STANDARD YIELD #</u>	<u>MARKET YIELD #</u>	
	<u>AVERAGE BALANCE</u>	<u>INTEREST EARNED</u>	<u>AVERAGE YIELD</u>	<u>STANDARD YIELD</u>				
JANUARY	\$247,915,757	\$25,844	0.24%	\$153,025,000	\$306,867	1.94%	1.66%	1.49%
FEBRUARY				\$147,326,500	\$177,033	1.93%	1.53%	1.22%
MARCH				\$153,725,000	\$446,742	1.49%	1.25%	0.10%
APRIL				\$150,720,000	\$364,880	1.17%	1.01%	0.01%
MAY				\$187,360,000	\$234,705	0.86%	0.77%	0.01%
JUNE				\$212,554,447	\$209,413	0.75%	0.53%	0.07%
JULY				\$221,871,717	\$98,135	0.74%	0.30%	0.01%
AUGUST				\$232,155,834	\$99,171	0.48%	0.14%	0.01%
SEPTEMBER				\$236,404,000	\$28,674	0.39%	0.13%	0.01%
OCTOBER				\$267,168,925	\$28,216	0.28%	0.13%	0.01%
NOVEMBER				\$255,122,283	\$4,471	0.24%	0.12%	0.01%
DECEMBER				\$240,885,693	\$9,764	0.27%	0.11%	0.01%
YEAR TO DATE	247,915,757	\$25,844	0.24%	\$184,786,142	\$2,008,071	0.88%	0.64%	0.25%
RTA AVERAGE YIELDS OVER (UNDER) INDEX			0.14%				0.24%	0.63%

Moving average coupon equivalent yields for 6 month Treasury Bills.

Market Yield equals US Treasury Money Fund 7 Day Yield

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
REPORT ON INVESTMENT EARNINGS (CASH BASIS)
JANUARY 2021**

BOND RETIREMENT FUND		
HUNTINGTON MONEY MARKET		
	JANUARY 2021	
	2021 YEAR TO DATE	\$22.24
	2020 YEAR TO DATE	\$22.24
		<u>\$2,239.46</u>
GENERAL FUND		
HUNTINGTON-SALES TAX ACCOUNT		
STAROHIO		\$0.04
KEY BANK SWEEP ACCOUNT		\$811.82
PNC CUSTODY ACCOUNT		\$99.13
STAR OHIO-CARES GRANT		\$5.05
MERCHANT ACCOUNT-KEY BANK SWEEP ACCOUNT		\$9,643.40
		<u>\$1.66</u>
	JANUARY 2021	\$10,561.10
	2021 YEAR TO DATE	\$10,561.10
	2020 YEAR TO DATE	\$63,230.65
INSURANCE FUND		
STAROHIO		<u>\$413.25</u>
	JANUARY 2021	\$413.25
	2021 YEAR TO DATE	\$413.25
	2020 YEAR TO DATE	\$70,706.65
LAW ENFORCEMENT FUND		
KEY BANK SWEEP ACCOUNT		
STAROHIO		\$0.14
		<u>\$3.78</u>
	JANUARY 2021	\$3.92
	2021 YEAR TO DATE	\$3.92
	2020 YEAR TO DATE	\$98.63

GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
 REPORT ON INVESTMENT EARNINGS (CASH BASIS)
 JANUARY 2021

LOCAL MATCH FUND
 STAROHIO-LOCAL MATCH
 STAROHIO-2019 DEBT
 FFCB-LOCAL MATCH
 KEY BANK SWEEP ACCOUNT

\$781.27
 \$1,613.30
 \$41,000.00
\$10.56
 \$43,405.13
 \$43,405.13
 \$147,906.76

JANUARY 2021
 2021 YEAR TO DATE
 2020 YEAR TO DATE

PENSION FUND
 STAROHIO
 KEY BANK SWEEP ACCOUNT

\$105.59
\$0.44
 \$106.03
 \$106.03
 \$508.99

JANUARY 2021
 2021 YEAR TO DATE
 2020 YEAR TO DATE

EMPLOYEE ACTIVITY ACCOUNT
 KEY BANK MONEY MARKET

\$1.34
 \$1.34
 \$1.34
 \$64.96

JANUARY 2021
 2021 YEAR TO DATE
 2020 YEAR TO DATE

RTA CAPITAL FUND
 KEY BANK SWEEP ACCOUNT

\$53.81
 \$53.81
 \$53.81
 \$23,295.90

JANUARY 2021
 2021 YEAR TO DATE
 2020 YEAR TO DATE

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
REPORT ON INVESTMENT EARNINGS (CASH BASIS)
JANUARY 2021**

RESERVE FUND		\$4,039.19
STAROHIO		\$41,000.00
FFCB		\$3.29
KEY BANK SWEEP ACCOUNT		\$45,042.48
	JANUARY 2021	\$45,042.48
	2021 YEAR TO DATE	\$45,042.48
	2020 YEAR TO DATE	\$48,250.45
TOTAL ALL FUNDS	JANUARY 2021	\$99,609.30
	2021 YEAR TO DATE	\$99,609.30
	2020 YEAR TO DATE	\$356,302.45

INTEREST RECEIVED (CASH BASIS)	JANUARY	2021 YEAR
ACCRUED INTEREST:	\$99,609	TO DATE
BEGINNING:		\$99,609
ENDING:	(\$86,211)	
INTEREST INCOME EARNED:	\$12,446	
	\$25,844	
AVERAGE INVESTMENT BALANCE (COST):	\$247,915,757	\$247,915,757
AVERAGE YIELD ON INVESTMENTS:	<u>0.24%</u>	<u>0.24%</u>

COMPOSITION OF INVESTMENT PORTFOLIO
AS JANUARY 31, 2021

Instrument	PRINCIPAL	FACE	PERCENT OF	AVERAGE	AVERAGE
	AMOUNT	AMOUNT	TOTAL	YIELD	MATURITY
Money Market Account	\$3,498,958	\$3,498,958	1.47%	0.03%	3
Key Bank Sweep Account	\$180,606	\$180,606	0.08%	0.75%	3
Star Ohio	\$202,462,616	\$202,462,616	85.03%	0.09%	3
Earnings Credit Rate Account	\$18,147,808	\$18,147,808	7.62%	0.75%	3
U.S. Government Securities	\$13,814,490	\$13,660,000	5.80%	1.60%	597
Total Investment Portfolio	<u>\$238,104,477</u>	<u>\$237,949,987</u>	<u>100.00%</u>	<u>0.24%</u>	<u>37</u>

Greater Cleveland Regional Transit Authority
 Banking and Financial Relationships
 As of January 31, 2021

Bank/Financial Institution	Nature of relationship
Key Bank	Main banking services
PNC Bank	Custodial Account and Credit card
Fifth Third	Escrow Account
Huntington Bank	Bond Retirement and Sales Tax Account Underwriter STAR Ohio-Investments
Bank of New York Mellon	Bond Registrar
BMO Harris Bank	Fuel Hedge

NOTE:

This information is being provided for applicable individuals to be in compliance with:

- Ohio Revised Code Sections 102.03(D) and (E)
- Ohio Ethics Commission Informal Opinion Number 2003-INF-0224-1
- Ohio Ethics Commission Staff Advisory Opinion to Sheryl King Benford (DGM - Legal Affairs) dated May 6, 2020
- Ohio Ethics Commission Opinion Number 2011-08
- Ohio Ethics Commission Staff Advisory Opinion to R. Brent Minney dated March 27, 2012

Please refer to Chapter 656 of the Codified Rules and Regulations of the Greater Cleveland Regional Transit Authority (Travel Policy), Administrative Procedure 024 and Board of Trustees Resolution No. 2020-80 for additional information.